# Construction of combinatorial operads

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Definitions
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# Operads (1/2)

A (non-symmetric set-)operad is a triple  $(\mathcal{P}, \circ_i, \mathbf{1})$  where

 $\triangleright \mathcal{P}$  is a set of the form

$$\mathcal{P}:=\biguplus_{n\geqslant 1}\mathcal{P}(n);$$

 $\triangleright \circ_i$  is a grafting application

$$\circ_i: \mathcal{P}(n) \times \mathcal{P}(m) \to \mathcal{P}(n+m-1),$$

defined for all  $n, m \ge 1$  and  $i \in [n]$ ;

▶ 1 is an element of  $\mathcal{P}(1)$ , called unit.

These data has to satisfy some associativity, commutativity, and unitarity relations.

## Operads (2/2)

For all  $x \in \mathcal{P}(n)$ ,  $y \in \mathcal{P}(m)$ , and  $z \in \mathcal{P}(k)$ , following relations must be satisfied.

1. Associativity relation:

$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z),$$

for all  $i \in [n]$  and  $j \in [m]$ .

2. Commutativity relation:

$$(x \circ_i y) \circ_{j+m-1} z = (x \circ_j z) \circ_i y,$$

for all  $1 \leqslant i < j \leqslant n$ .

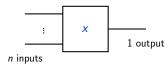
3. Unitarity relation:

$$\mathbf{1} \circ_1 x = x = x \circ_i \mathbf{1}$$

for all  $i \in [n]$ .

## Intuition (1/2)

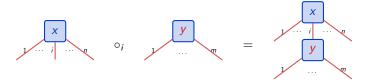
Element of  $\mathcal{P}(n) \rightsquigarrow$  operator of arity n:



Operator of arity  $n \rightsquigarrow \text{planar rooted tree}$  (parse trees) with n leaves:

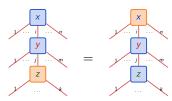


Grafting application → grafting of trees:

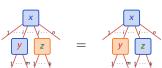


# Intuition (2/2)

1. Associativity relation:



2. Commutativity relation:



3. Unitarity relation:



### Some definitions

Let  $(\mathcal{P}, \circ_i^{\mathcal{P}}, \mathbf{1}^{\mathcal{P}})$  and  $(\mathcal{Q}, \circ_i^{\mathcal{Q}}, \mathbf{1}^{\mathcal{Q}})$  be two operads.

The arity |x| of an element x of  $\mathcal{P}$  is n if  $x \in \mathcal{P}(n)$ .

An operad morphism is a map  $\phi: \mathcal{P} \to \mathcal{Q}$  mapping elements of arity n of  $\mathcal{P}$  to elements of arity n of  $\mathcal{Q}$ , and such that, for all  $x, y \in \mathcal{P}$  and  $i \in [|x|]$ ,

$$\phi\left(\mathbf{x}\circ_{i}^{\mathcal{P}}\mathbf{y}\right)=\phi(\mathbf{x})\circ_{i}^{\mathcal{Q}}\phi(\mathbf{y}).$$

The operad  $\mathcal{Q}$  is a suboperad of  $\mathcal{P}$  if for all  $n \geqslant 1$ ,  $\mathcal{Q}(n) \subseteq \mathcal{P}(n)$ .

Let G be a set of elements of  $\mathcal{P}$ . The operad generated by G is the smallest suboperad of  $\mathcal{P}$  which contains G.

Non-symmetric set-operads

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## Example: The associative operad

Let  $(Assoc, \circ_i, a_1)$  be the operad defined for all  $n \ge 1$  by

$$\mathsf{Assoc}(n) := \{\mathtt{a}_n\},\,$$

and for all  $n, m \geqslant 1$  and  $i \in [n]$  by

$$\mathbf{a}_n \circ_i \mathbf{a}_m := \mathbf{a}_{n+m-1}$$
.

- ▶ Dimensions: 1, 1, 1, 1, 1, 1, ...
- ► Assoc is generated by a₂:

$$a_1, \quad a_2, \quad a_3 = a_2 \circ_1 a_2, \quad a_4 = a_3 \circ_1 a_2, \quad a_5 = a_4 \circ_1 a_2, \quad \dots$$

▶ The generator a₂ is subject to the relation

$$\mathbf{a}_2 \circ_1 \mathbf{a}_2 = \mathbf{a}_2 \circ_2 \mathbf{a}_2,$$

which translates into parse trees by

Presentation by generators and relations:

$$\mathsf{Assoc} = \langle \mathsf{a}_2 \quad | \quad \mathsf{a}_2 \circ_1 \mathsf{a}_2 = \mathsf{a}_2 \circ_2 \mathsf{a}_2 \rangle \,.$$

## Example: The magmatic operad

Let  $(Mag, \circ_i, \stackrel{\perp}{h})$  be the operad defined for all  $n \ge 1$  by

$$Mag(n) := \{ T : T \text{ binary tree with } n \text{ leaves} \},$$

and for all  $n, m \geqslant 1$  and  $i \in [n]$  by

 $S \circ_i T := \text{tree obtained by grafting } T \text{ on the } i\text{-th leaf of } S.$ 

### Example



- ▶ Dimensions : 1, 1, 2, 5, 14, 42, ... (Catalan numbers).
- ▶ Mag is generated by ♣️ (proof by induction on the arities).
- Presentation by generators and relations:

$$\mathsf{Mag} = \langle \mathsf{Q} \mid \rangle$$
.

→ Mag is the free operad on one generator of arity 2.

## From monoids to operads

The construction

Properties of the construction

# The T construction (1/2)

Let us start with a monoid  $(M, \bullet, 1)$ .

Let TM be the set  $TM := \biguplus_{n\geqslant 1} TM(n)$  where

$$TM(n) := \{(x_1, \dots, x_n) : x_i \in M \text{ for all } i \in [n]\}.$$

Let  $\circ_i$  be a grafting application

$$\circ_i : \mathsf{T}M(n) \times \mathsf{T}M(m) \to \mathsf{T}M(n+m-1),$$

defined for all  $x \in TM(n)$ ,  $y \in TM(m)$ , and  $i \in [n]$  by

$$x \circ_i y := (x_1, \ldots, x_{i-1}, x_i \bullet y_1, \ldots, x_i \bullet y_m, x_{i+1}, \ldots, x_n).$$

# The T construction (2/2)

Let M and N be two monoids and  $\theta: M \to N$  be a monoid morphism.

Let  $T\theta$  be the application

$$T\theta: TM \to TN$$
,

defined for all 
$$(x_1, \ldots, x_n) \in TM(n)$$
 by

$$T\theta(x_1,\ldots,x_n):=(\theta(x_1),\ldots,\theta(x_n)).$$

## Some examples

 $M := (\mathbb{N}, +)$ . Elements of TM: words on the alphabet  $\mathbb{N}$ .

### Example

$$2\mathbf{1}23 \circ_2 30313 = 24142423$$

 $N := \{a, b\}^*$ . Elements of TN: multiwords on the alphabet  $\{a, b\}$ .

### Example

Let  $\theta: N \to M$  be the monoid morphism defined by  $\theta(u) := |u|$ .

## Example

$$T\theta \begin{pmatrix} b & a & a & \epsilon & a & a \\ & b & & & a & \\ & a & & & \end{pmatrix} = 131021$$

### From monoids to operads

The construction

Properties of the construction

## Properties of the T construction (1/2)

#### **Theorem**

If M is a monoid, TM is an operad.

If  $\theta: M \to N$  is a monoid morphism,  $T\theta$  is an operad morphism.

Moreover, T preserves injections and surjections.

Hence, T is an exact functor from the category of monoids with monoid morphisms to the category of operads with operad morphisms.

If N is a quotient monoid of M, then TN is an quotient operad of TM.

## Properties of the T construction (2/2)

The sets TM(n) are finite if and only if M is finite. In this case, the dimensions of TM are

$$m, m^2, m^3, m^4, ...$$

where m := #M.

TM is generated by the family

$$\{(1,1)\} \uplus \{(g) : g \in G(M)\},\$$

where G(M) is a set of generators of M.

The T construction coincides in some cases with a former and different construction [Berger, Moerdijk, 2003] which associates to any commutative bialgebra a cooperad.

## Objectives and goals

#### Main motivations:

- 1. Give alternative constructions of some well-known operads;
- 2. Construct new operads.

#### The general line is as following:

We pick a monoid M, a subset G of generators of TM, and we consider the suboperad  $\mathcal{P}$  of TM generated by G. Typical questions:

- 1. Give a description of the elements of  $\mathcal{P}$ ;
- 2. Find the dimensions of  $\mathcal{P}$ ;
- 3. Find a bijection between elements of  $\mathcal{P}$  and combinatorial objects;
- 4. Give an interpretation of the grafting application of  $\mathcal{P}$  in terms of operations on combinatorial objects;
- 5. Give a presentation of  $\mathcal{P}$  by generators and relations.

#### Application of the construction

Survey of the constructed operads

The operad of planar rooted trees

The operad of integer compositions

The operad of directed animals

The diassociative and triassociative operads

# Survey of some obtained operads (1/2)

Here is a list of the obtained suboperads or quotients of  $T(\mathbb{N}, +)$ :

Operad	Generators	First dimensions	Combinatorial objects
End	. , , , , , , , , , , , , , , , , , , ,		Endofunctions
PF	_	1, 3, 16, 125, 1296	Parking functions
PW	<b>—</b> 1, 3, 13, 75, 541		Packed words
Per		1, 2, 6, 24, 120	Permutations
PRT	01	1, 1, 2, 5, 14, 42	Planar rooted trees
FCat <sup>(k)</sup>	00,, 0 <i>k</i>	Fuß-Catalan numbers	Trees of arity $k$
Schr	00, 01, 10	1, 3, 11, 45, 197	Schröder trees
Motz	otz 00, 010 1, 1, 2, 4, 9, 21, 51	Motzkin paths	
Comp	00, 01	1, 2, 4, 8, 16, 32	Int. compo.
DA	00, 01	1, 2, 5, 13, 35, 96	Directed animals
SComp	00, 01, 02	1, 3, 27, 81, 243	Segmented int. compo.

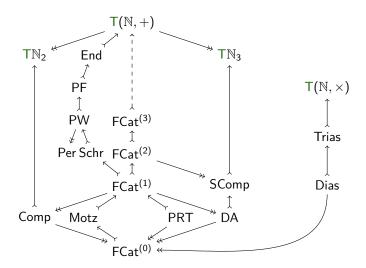
We also obtain already known operads as suboperads of  $T(\mathbb{N}, \times)$ :

Operad	Generators	First dimensions	Combinatorial objects
Dias	01, 10	1, 2, 3, 4, 5, 6	Bin. words with exactly one 1
Trias	01, 10, 11	1, 3, 7, 15, 31, 63	Bin. words with at least one 1

# Survey of some obtained operads (2/2)

These operads fit into following diagram.

 $\rightarrowtail$  (resp.  $\twoheadrightarrow)$  stands for an injective (resp. surjective) operad morphism.



#### Application of the construction

Survey of the constructed operads

The operad of planar rooted trees

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## Experimenting with Sage

Let PRT be the suboperad of  $T(\mathbb{N},+)$  generated by 01.

```
sage: M = AdditiveMonoid()
sage: P = TConstruction(M)
sage: G = [Word(M, [0, 1])]
sage: PRT = SubOperad(P, G)
sage: print [PRT.dimension(n) for n in xrange(1, 10)]
[1, 1, 2, 5, 14, 42, 132, 429, 1430]
sage: print PRT.elements(5)
[01111, 01112, 01121, 01122, 01123, 01211, 01212, 01221, 01222, 01223, 01231, 01232, 01233, 01234]
```

### Elements and dimensions of PRT

### Proposition

The elements of PRT are exactly the words x of the alphabet  $\mathbb{N}$  satisfying  $x_1 = 0$  and  $1 \le x_{i+1} \le x_i + 1$  for all  $i \in [|x| - 1]$ .

The bijection between elements of PRT and planar rooted trees is computed by a depth-first traversal reading depths of nodes.

### Example



Thus, PRT defines an operad structure on planar rooted trees, and

$$\dim \mathsf{PRT}(n) = \frac{1}{n} \binom{2n-2}{n-1}.$$

## Interpretation of the grafting of PRT

Thanks to the bijection between elements of PRT and planar rooted trees, we obtain the following grafting operation on planar rooted trees:

### **Proposition**

Let S and T be two planar rooted trees and s be the i-th node of S (for the depth-first traversal). The grafting  $S \circ_i T$  in PRT returns to graft the subtrees of the root of T as leftmost sons of s.

### Example

### Presentation of PRT

#### Proposition

The operad PRT is isomorphic to the magmatic operad through the operad isomorphism  $\phi: \mathsf{Mag} \to \mathsf{PRT}$  defined by

$$\phi\left(\mathbf{n}^{\mathbf{O}_{\mathbf{n}}}\right):=\mathbf{0}.$$

Hence,

$$\mathsf{PRT} = \left\langle \left. \begin{array}{c} & | & \\ & | & \end{array} \right\rangle.$$

The operad PRT can be thought as a planar version of the Non Associative Permutative operad NAP [Livernet, 2006].

#### Application of the construction

Survey of the constructed operads The operad of planar rooted trees

### The operad of integer compositions

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## Experimenting with Sage

Let Comp be the suboperad of  $T\mathbb{N}_2$  generated by 00 and 01.

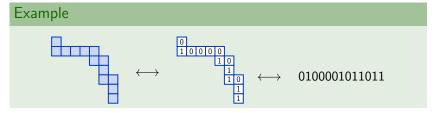
```
sage: M = CyclicMonoid(2)
sage: P = TConstruction(M)
sage: G = [Word(M, [0, 0]), Word(M, [0, 1])]
sage: Comp = SubOperad(P, G)
sage: print [Comp.dimension(n) for n in xrange(1, 10)]
[1, 2, 4, 8, 16, 32, 64, 128, 256]
sage: print Comp.elements(5)
[00000, 00001, 00001, 00011, 00100, 00101, 00110, 00111, 01000, 01001, 01010, 01011, 01100, 01101, 01110]
```

## Elements and dimensions of Comp

### Proposition

The elements of Comp are exactly the words on the alphabet  $\{0,1\}$  which begin by 0.

There is a classical bijection between such words and ribbon diagrams.



Thus, Comp defines an operad structure on ribbon diagrams, and

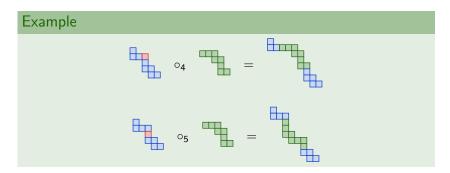
$$\dim \mathsf{Comp}(n) = 2^{n-1}.$$

## Interpretation of the grafting of Comp

Thanks to the bijection between elements of Comp and ribbon diagrams, we obtain the following grafting operation on ribbon diagrams:

## Proposition

Let C and D be two ribbon diagrams and c be the i-th box of C. The grafting  $C \circ_i D$  in Comp returns to replace c by D if c is the upper box of its column, or to replace c by the transpose of D otherwise.



## Presentation of Comp

#### **Theorem**

The operad Comp admits the following presentation:

```
\mathsf{Comp} = \langle \, \, \square \,, \, \, \square \, \, \, | \, \, \square \, \circ_1 \, \square \, = \, \square \, \circ_2 \, \square \,, \quad \square \, \circ_1 \, \square \, = \, \square \, \circ_2 \, \square \,, \\ \square \, \circ_1 \, \square \, = \, \square \, \circ_2 \, \square \,, \quad \square \, \circ_1 \, \square \, = \, \square \, \circ_2 \, \square \,\rangle.
```

This implies that any algebra over the operad Comp is a set S with two applications  $\Box$ ,  $\exists: S \times S \to S$  which satisfy, for all  $x, y, z \in S$ , the relations

$$(x \square y) \square z = x \square (y \square z),$$
  $(x \square y) \square z = x \square (y \square z),$   $(x \square y) \square z = x \square (y \square z),$   $(x \square y) \square z = x \square (y \square z).$ 

The free Comp-algebra on one generator is the set  $\mathcal{C}$  of ribbon diagrams endowed with the applications  $\square$ ,  $\square: \mathcal{C} \times \mathcal{C} \to \mathcal{C}$ , where  $x \square y$  is the concatenation of y to right of x, and  $x \square y$  is the concatenation of the transpose of y below x.

# Proof of the presentation of Comp (1/2)

Let  $\mathcal{P}$  be the operad defined by

$$\mathcal{P} := \langle a, b \mid a \circ_1 a = a \circ_2 a, b \circ_1 a = a \circ_2 b,$$
  
 $b \circ_1 b = b \circ_2 a, a \circ_1 b = b \circ_2 b \rangle.$ 

Let the application  $\phi: \mathcal{P} \to \mathsf{Comp}$  defined by

$$\phi(a) := \blacksquare$$
 and  $\phi(b) := \blacksquare$ .

Since the relations between generators of  $\mathcal{P}$  also hold in Comp by replacing a by  $\square$  and b by  $\blacksquare$ ,  $\phi$  is an operad morphism and is surjective.

The idea is now to show that for all  $n \ge 1$ ,

$$\#\mathcal{P}(n) \leqslant \#\mathsf{Comp}(n),$$

so that  $\phi$  will turn to be an operad isomorphism.

# Proof of the presentation of Comp (2/2)

Let us orient the relations of  $\mathcal{P}$  in the following way:

$$\mathbf{a} \circ_1 \mathbf{a} \to \mathbf{a} \circ_2 \mathbf{a},$$
  $\mathbf{b} \circ_1 \mathbf{b} \to \mathbf{b} \circ_2 \mathbf{a},$   $\mathbf{b} \circ_1 \mathbf{a} \to \mathbf{a} \circ_2 \mathbf{b},$   $\mathbf{a} \circ_1 \mathbf{b} \to \mathbf{b} \circ_2 \mathbf{b}.$ 

 $\rightarrow$  is a rewriting rule on the elements of the free operad generated by a and b. It exchanges in the parse trees a left oriented edge into a right oriented one, with a relabeling.

Moreover,  $\rightarrow$  is terminating and its normal forms are all right comb binary trees, where each node is labeled by a or b.

Hence, there are  $2^{n-1}$  normal forms of arity n for  $\rightarrow$ . That implies that there are at most  $2^{n-1}$  elements of arity n in  $\mathcal{P}$ .

Finally, since there are  $2^{n-1}$  elements of arity n in Comp and  $\phi$  is surjective,  $\phi$  also is an isomorphism.

#### Application of the construction

Survey of the constructed operads The operad of planar rooted trees The operad of integer compositions

### The operad of directed animals

The diassociative and triassociative operads

## Experimenting with Sage

Let DA be the suboperad of  $T\mathbb{N}_3$  generated by 00 and 01.

```
sage: M = CyclicMonoid(3)
sage: P = TConstruction(M)
sage: G = [Word(M, [0, 0]), Word(M, [0, 1])]
sage: DA = SubOperad(P, G)
sage: print [DA.dimension(n) for n in xrange(1, 10)]
[1, 2, 5, 13, 35, 96, 267, 750, 2123]
sage: print DA.elements(5)
[00000, 00001, 00010, 00011, 00012, 00100, 00101, 00110,
00111, 00112, 00120, 00121, 00122, 01000, 01001, 01010,
01011, 01012, 01100, 01101, 01110, 01111, 01112, 01120,
01121, 01122, 01200, 01201, 01202, 01210, 01211, 01212,
01220, 01221, 01222]
```

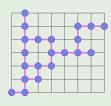
### Directed animals

Directed animal: subset A of  $\mathbb{N}^2$  such that  $(0,0) \in A$  and

 $(i,j) \in A$  with  $i \ge 1$  or  $j \ge 1$  implies  $(i-1,j) \in A$  or  $(i,j-1) \in A$ .

## Example

A directed animal of size 21 (size is the number of points):



## Prefixes of Motzkin paths

Prefix of Motzkin path: word x on  $\{\overline{1}:=-1,0,1\}$  such that for all  $k\in[|x|]$ ,  $x_1+\cdots+x_k\geqslant 0$ .

### Example

0111011 is a prefix of Motzkin path;

 $10\overline{1}\overline{1}1111$  is not a prefix of Motzkin path.

## Theorem ([Gouyou-Beauchamps, Viennot, 1988])

Prefixes of Motzkin paths of length n-1 are in bijection with directed animals of size n.

### Prefixes of Motzkin and elements of DA

### Proposition

The application  $\phi: \mathsf{DA}(n) \to \{\bar{1},0,1\}^{n-1}$  defined for all  $x \in \mathsf{DA}(n)$  by

$$\phi(x) := egin{cases} \epsilon & \textit{if } |x| = 1, \\ u_1 \dots u_{n-1} & \textit{otherwise}, \end{cases}$$

where for all  $i \in [n-1]$ ,

$$u_{i} := \begin{cases} x_{i+1} - x_{i} & \text{if } |x_{i+1} - x_{i}| \leq 1, \\ 1 & \text{if } x_{i}x_{i+1} = 20, \\ \bar{1} & \text{otherwise } (x_{i}x_{i+1} = 02), \end{cases}$$

is a bijection between the elements of arity n of DA and prefixes of Motzkin paths of length n-1.

### Example

$$\phi(011220201) = 10101\overline{1}11$$

## Elements, dimensions, and grafting of DA

Thus, DA defines an operad structure on prefixes of Motzkin paths.

By composing our bijection with the one of Gouyou-Beauchamps and Viennot, we can see elements of DA(n) as directed animals of size n.

### Question

What is the interpretation of the grafting in DA in terms of directed animals?

### Presentation of DA

#### Theorem

The operad DA admits the following presentation:

```
DA = \langle \bullet \bullet, \bullet \mid \bullet \circ_{1} \bullet \bullet = \bullet \circ_{2} \bullet \bullet,
\bullet \circ_{1} \bullet \bullet = \bullet \circ_{2} \bullet,
\bullet \circ_{1} \bullet = \bullet \circ_{2} \bullet,
(\bullet \circ_{2} \bullet) \circ_{3} \bullet = (\bullet \circ \circ_{1} \bullet) \circ_{2} \bullet \rangle.
```

The proof of this presentation follows same pattern as the one of Comp.

DA is binary (its generators are of arity 2) but is not quadratic (there is a nontrivial relation involving more than two generators).

#### Application of the construction

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The diassociative and triassociative operads

## Experimenting with Sage

Let D be the suboperad of  $T(\mathbb{N}, \times)$  generated by 01 and 10.

```
sage: M = MultiplicativeMonoid()
sage: P = TConstruction(M)
sage: G = [Word(M, [0, 1]), Word(M, [1, 0])]
sage: D = SubOperad(P, G)
sage: print [D.dimension(n) for n in xrange(1, 10)]
[1, 2, 3, 4, 5, 6, 7, 8, 9]
sage: print D.elements(8)
[00000001, 00000010, 00000100, 00001000, 00010000,
00100000, 01000000, 10000000]
```

### Elements and dimensions of D

### **Proposition**

The elements of D are exactly the words on the alphabet  $\{0,1\}$  which have exactly one occurrence of 1.

Thus,

$$\dim D(n) = n$$
.

## The diassociative operad

The diassociative operad Dias [Loday, 2001] is defined by

### Proposition

The operad D is isomorphic to the operad Dias through the operad isomorphism  $\phi: \mathsf{Dias} \to \mathsf{D}$  defined by

$$\phi(\dashv) := 10$$
 and  $\phi(\vdash) := 01$ .

Hence, D is a realization of Dias.

## The triassociative operad

The triassociative operad Trias [Loday, Ronco, 2004] is defined by

$$\begin{split} \text{Trias} := \langle \dashv, \bot, \vdash & \mid & \dashv \circ_1 \vdash = \vdash \circ_2 \dashv, \\ & \bot \circ_1 \bot = \bot \circ_2 \bot, \\ & \dashv \circ_1 \bot = \bot \circ_2 \dashv, \\ & \bot \circ_1 \dashv = \bot \circ_2 \vdash, \\ & \bot \circ_1 \vdash = \vdash \circ_2 \bot, \\ & \dashv \circ_1 \dashv = \dashv \circ_2 \dashv = \dashv \circ_2 \vdash = \dashv \circ_2 \bot, \\ & \vdash \circ_2 \vdash = \vdash \circ_1 \vdash = \vdash \circ_1 \dashv = \vdash \circ_1 \bot \rangle. \end{split}$$

### Proposition

The suboperad  $\mathcal P$  of  $\mathsf T(\mathbb N,\times)$  generated by the elements 01, 10, and 11 is isomorphic to the operad Trias through the operad isomorphism  $\phi: \mathsf{Trias} \to \mathcal P$  defined by

$$\phi(\dashv) := 10, \quad \phi(\vdash) := 01, \quad \textit{and} \quad \phi(\perp) := 11.$$

Hence,  $\mathcal{P}$  is a realization of Trias.