# Combinatorial operads, rewrite systems, and formal grammars

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### Outline

Operads

Enumeration

Generation

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Operads

# Types of algebraic structures

Combinatorics deals with sets (or spaces) of structured objects:

- monoids;
  associative alg.;
- ▶ groups;
  ▶ Hopf bialg.;

- pre-Lie alg.;
- dendriform alg.;
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### – Example –

The type of monoids can be specified by

- 1. the operations  $\star$  (binary) and  $\mathbb{1}$  (nullary);
- 2. the relations  $(x_1 \star x_2) \star x_3 = x_1 \star (x_2 \star x_3)$  and  $x \star 1 = x = 1 \star x$ .

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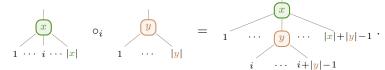
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we obtain the new operation



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A (nonsymmetric set-theoretic) operad is a triple  $(\mathcal{O}, \circ_i, \mathbb{1})$  where

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2.  $\circ_i$  is a map, called partial composition map,

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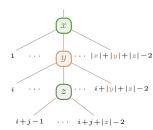
This data has to satisfy some axioms.

# Operad axioms

The associativity relation

$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z)$$
  
$$1 \le i \le |x|, 1 \le j \le |y|$$

says that the pictured operation can be constructed from top to bottom or from bottom to top.



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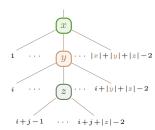
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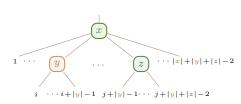
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$$(x \circ_i y) \circ_{j+|y|-1} z = (x \circ_j z) \circ_i y$$
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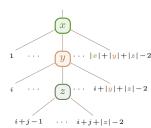
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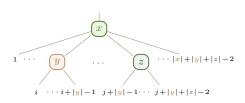
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#### The unitality relation

$$1 \circ_1 x = x = x \circ_i 1$$
$$1 \leqslant i \leqslant |x|$$

says that 1 is the identity map.





### Operad on permutations

#### Let **Per** be the operad wherein:

 $ightharpoonup \mathbf{Per}(n)$  is the set of all permutations of size n, seen through their permutation matrices.



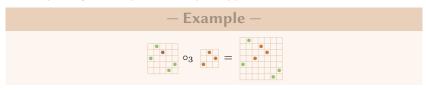
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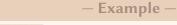
► The partial composition  $\sigma \circ_i \nu$  is the permutation matrix obtained by replacing the *i*th point of  $\sigma$  by a copy of  $\nu$ .



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### - Example -

▶ The unit is the unique permutation ● of size 1.

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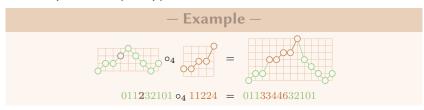
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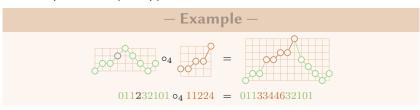
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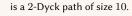
▶ The partial composition  $u \circ_i v$  is the path obtained by replacing the ith point of u by a copy of v.



► The unit is the unique path 0 of size 1, depicted as o.

For any  $m \geqslant 0$ , an m-Dyck path is a path starting and ending with 0 and made of steps  $\bigcap_{m=0}^{\infty}$  and  $\infty$ .

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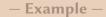


is a 2-Dyck path of size 10.

### Proposition –

For any  $m \ge 0$ , the set  $\mathbf{Dyck}^{(m)}$  of all m-Dyck paths is a suboperad of  $\mathbf{Path}$ .

For any  $m \geqslant 0$ , an m-Dyck path is a path starting and ending with 0 and made of steps  $\bigcap_{m=0}^{\infty} \mathbb{R}^m$  and  $\infty$ .



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Let  $\mathcal O$  be an operad. An algebra over  $\mathcal O$  is a space  $\mathcal V$  equipped, for all  $x\in \mathcal O(n)$ , with linear maps

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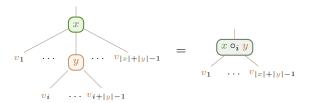
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such that 1 is the identity map on  $\mathcal V$  and the compatibility relation



holds for any  $x, y \in \mathcal{O}$ ,  $i \in [|x|]$ , and  $v_1, \dots, v_{|x|+|y|-1} \in \mathcal{V}$ .

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$$\begin{aligned} \left(\star_2 \circ_1 \star_2\right) \left(v_1, v_2, v_3\right) &= \star_2 \left(\star_2 \left(v_1, v_2\right), v_3\right) \\ &\parallel \\ \left(\star_2 \circ_2 \star_2\right) \left(v_1, v_2, v_3\right) \end{aligned}$$

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Using infix notation for the binary operation  $\star_2$ , we obtain the relation

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so that algebras over As are associative algebras.

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Let As be the associative operad defined by  $As(n) := \{ \star_n \}$  for all  $n \ge 1$  and  $\star_n \circ_i \star_m := \star_{n+m-1}$ . This operad is minimally generated by  $\star_2$ .

Any algebra over  $\mathbf{A}\mathbf{s}$  is a space  $\mathcal V$  endowed with linear operations  $\star_n$  of arity  $n\geqslant 1$  where  $\star_2$  satisfies, for all  $v_1,v_2,v_3\in\mathcal V$ ,

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#### In the same way, there are operads for

- Lie alg.;
- pre-Lie alg. [Chapoton, Livernet, 2001];
- dendriform alg. [Loday, 2001];

- duplicial alg. [Loday, 2008];
- diassociative alg. [Loday, 2001];
- brace alg.

## Scope of operads

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- offer a formalism to compute over operations;
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### Endowing a set of combinatorial objects with an operad structure helps to

- highlight elementary building block for the objects;
- build combinatorial structures (graded graphs, posets, lattices, etc.);
- enumerative prospects and discovery of statistics.

## Outline

Enumeration

## Syntax trees

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Let  $S(\mathfrak{G})$  be the set of  $\mathfrak{G}$ -syntax trees, defined recursively by

- ightharpoonup  $l \in S(\mathfrak{G});$
- ▶ if  $a \in \mathcal{G}$  and  $t_1, \ldots, t_{|a|} \in S(\mathcal{G})$ , then  $a(t_1, \ldots, t_{|a|}) \in S(\mathcal{G})$ .

### - Example -

Let 
$$\mathfrak{G}:=\mathfrak{G}(2)\sqcup\mathfrak{G}(3)$$
 such that  $\mathfrak{G}(2)=\{\mathtt{a},\mathtt{b}\}$  and  $\mathfrak{G}(3)=\{\mathtt{c}\}.$ 



denotes the 6-tree

$$c(|, c(a(|,|),|,b(a(|,|),c(|,|,|))),b(|,b(|,|)))$$

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Let  $\mathfrak{t} \in \mathbf{S}(\mathfrak{G})$ . Some definitions:

- ► I is the leaf:
- ightharpoonup the degree deg(t) of t is its number of internal nodes;
- $\blacktriangleright$  the arity  $|\mathfrak{t}|$  of  $\mathfrak{t}$  is its number of leaves.

### - Example -

Let 
$$\mathfrak{G} := \mathfrak{G}(2) \sqcup \mathfrak{G}(3)$$
 such that  $\mathfrak{G}(2) = \{a, b\}$  and  $\mathfrak{G}(3) = \{c\}$ .



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## Compositions of syntax trees

Let  $\mathfrak{t}, \mathfrak{s} \in \mathbf{S}(\mathfrak{G})$ . For each  $i \in [|\mathfrak{t}|]$ , the partial composition  $\mathfrak{t} \circ_i \mathfrak{s}$  is the tree obtained by grafting the root of  $\mathfrak{s}$  onto the ith leaf of  $\mathfrak{t}$ .

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$$-Example - \begin{bmatrix} & & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

Let  $\mathfrak{t}, \mathfrak{s}_1, \ldots, \mathfrak{s}_{|\mathfrak{t}|}$  be  $\mathfrak{G}$ -trees. The full composition  $\mathfrak{t} \circ [\mathfrak{s}_1, \ldots, \mathfrak{s}_{|\mathfrak{t}|}]$  is obtained by grafting simultaneously the roots of each  $\mathfrak{s}_i$  onto the ith leaf of  $\mathfrak{t}$ .

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Let  $c: \mathfrak{G} \to \mathbf{S}(\mathfrak{G})$  be the natural injection (made implicit in the sequel).

Let 6 be an alphabet.

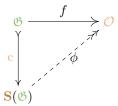
The free operad on  $\mathfrak{G}$  is the operad on the set  $\mathbf{S}(\mathfrak{G})$  wherein

- ightharpoonup elements of arity n are the  $\mathfrak{G}$ -trees of arity n;
- ▶ the partial composition map  $\circ_i$  is the one of the  $\mathfrak{G}$ -trees;
- the unit is l.

Let  $c : \mathfrak{G} \to \mathbf{S}(\mathfrak{G})$  be the natural injection (made implicit in the sequel).

Free operads satisfy the following universality property.

For any alphabet  $\mathfrak{G}$ , any operad  $\mathcal{O}$ , and any map  $f:\mathfrak{G}\to\mathcal{O}$  preserving the arities, there exists a unique operad morphism  $\phi:\mathbf{S}(\mathfrak{G})\to\mathcal{O}$  such that  $f=\phi\circ c$ .



# Factors and prefixes

Let  $\mathfrak{t},\mathfrak{s}\in \mathbf{S}(\mathfrak{G})$ .

# Factors and prefixes

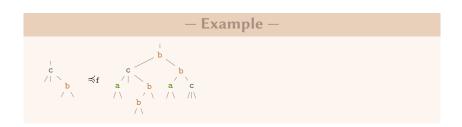
Let  $\mathfrak{t}, \mathfrak{s} \in \mathbf{S}(\mathfrak{G})$ .

If t decomposes as

$$\mathfrak{t} = \mathfrak{r} \circ_i \left( \mathfrak{s} \circ \left[ \mathfrak{r}_1, \dots, \mathfrak{r}_{\left[ \mathfrak{s} \right|} \right] \right)$$

for some trees  $\mathfrak{r}, \mathfrak{r}_1, ..., \mathfrak{r}_{|\mathfrak{s}|}$ , and  $i \in [|\mathfrak{r}|]$ , then  $\mathfrak{s}$  is a factor of  $\mathfrak{t}$ .

This property is denoted by  $\mathfrak{s} \preccurlyeq_{\mathrm{f}} \mathfrak{t}$ .



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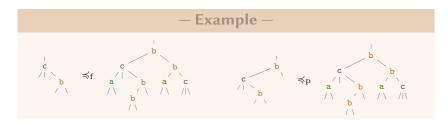
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A  $\mathfrak{G}$ -tree  $\mathfrak{t}$  avoids a  $\mathfrak{G}$ -tree  $\mathfrak{s}$  if  $\mathfrak{s} \not\preccurlyeq_f t$ .

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For any 
$$\mathcal{P} \subseteq \mathbf{S}(\mathfrak{G})$$
, let

$$A(\mathcal{P}) = \{\mathfrak{t} \in \mathbf{S}(\mathfrak{G}): \text{ for all } \mathfrak{s} \in \mathcal{P}, \mathfrak{s} \not \ll_f \mathfrak{t} \} \,.$$

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## Question –

Enumerate A(P) w.r.t. the arities of the trees.

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### — Example —

$$A \left( \begin{array}{cccc} \frac{1}{a} & \frac{1}{a} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{b} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{b} & \frac{1}{b} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{b} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{b} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} &$$

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► A 
$$\begin{pmatrix} \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \end{pmatrix}$$
 is enumerated by 1, 1, 2, 4, 9, 21, 51, 127, ... (A001006).

### Question —

Enumerate A(P) w.r.t. the arities of the trees.

A  $\mathfrak{G}$ -tree  $\mathfrak{t}$  avoids a  $\mathfrak{G}$ -tree  $\mathfrak{s}$  if  $\mathfrak{s} \not\preccurlyeq_f \mathfrak{t}$ .

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#### — Example —

$$A \left( \begin{array}{cccc} \frac{1}{a} & \frac{1}{a} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{b} \\ \end{array} \right) \text{ is enumerated by } 1, 2, 4, 8, 16, 32, 64, 128, \dots .$$

► A 
$$\begin{pmatrix} \frac{1}{a} & \frac{1}{a} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{a} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{a} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{a} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{c} & \frac{1}{c}$$

► 
$$A \begin{pmatrix} \frac{1}{a} & \frac{1}{b} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{b} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{b} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{b} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} \\ \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a} & \frac{1}{a}$$

## Question —

Enumerate  $A(\mathcal{P})$  w.r.t. the arities of the trees.

For any  $\mathcal{P}, \mathcal{Q} \subseteq \mathbf{S}(\mathfrak{G})$ , let

$$\mathbf{F}(\mathcal{P}, \mathcal{Q}) := \sum_{\substack{\mathfrak{t} \in \mathbf{S}(\mathfrak{G}) \\ \mathfrak{t} \in \mathbb{A}(\mathcal{P}) \\ \forall \mathfrak{s} \in \mathcal{Q}, \mathfrak{s} \not\sim \mathfrak{p}^{\mathfrak{t}}}} \mathfrak{t}.$$

This is the formal sum of all the  $\mathfrak{G}$ -trees avoiding as factors all patterns of  $\mathcal{P}$  and avoiding as prefixes all patterns of  $\mathcal{Q}$ .

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▶  $\mathbf{F}(\mathcal{P}, \emptyset)$  is the formal sum of all the trees of  $A(\mathcal{P})$ ;

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- ▶  $\mathbf{F}(\mathcal{P}, \emptyset)$  is the formal sum of all the trees of  $A(\mathcal{P})$ ;
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the series  $\mathbf{F}(\mathcal{P},\mathcal{Q})$  contains all the enumerative data about the trees avoiding  $\mathcal{P}$ .

When  $\mathfrak{G}, \mathcal{P}$ , and  $\mathcal{Q}$  satisfy some conditions,  $\mathbf{F}(\mathcal{P}, \mathcal{Q})$  expresses as an inclusion-exclusion formula involving simpler terms  $\mathbf{F}(\mathcal{P}, \mathcal{S}_i)$ .

#### - Theorem -

The series  $\mathbb{F}(\mathcal{P}, \mathcal{Q})$  satisfies

$$\begin{split} \mathbf{F}(\mathcal{P}, \mathbf{Q}) = & |+ \sum_{\substack{k \geqslant 1 \\ \mathbf{a} \in \mathfrak{G}(k)}} \sum_{\substack{\ell \geqslant 1 \\ (\mathcal{S}_1, \dots, \mathcal{R}_k) = \mathcal{R}^{(1)}, \dots, \mathcal{F}(\ell)}} (-1)^{1+\ell} \mathbf{a} \bar{\mathbf{o}} \left[ \mathbf{F} \left( \mathcal{P}, \mathcal{S}_1 \right), \dots, \mathbf{F} \left( \mathcal{P}, \mathcal{S}_k \right) \right]. \end{split}$$

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This leads to a system of equations for the generating series of  $A(\mathcal{P})$ . Indeed, the generating series of  $A(\mathcal{P})$  is the series  $F(\mathcal{P}, \emptyset)$  where

$$F(\mathcal{P}, \mathcal{Q}) = z + \sum_{\substack{k \geqslant 1 \\ \mathbf{a} \in \mathcal{G}(k)}} \sum_{\substack{\ell \geqslant 1 \\ \{\mathcal{R}^{(1)}, \dots, \mathcal{R}^{(\ell)}\} \subseteq \mathfrak{M}((\mathcal{P} \cup \mathcal{Q})_{\mathbf{a}}) \\ (\mathcal{S}_{1}, \dots, \mathcal{S}_{k}) = \mathcal{R}^{(1)} + \dots + \mathcal{R}^{(\ell)}}} (-1)^{1+\ell} \prod_{i \in [k]} F(\mathcal{P}, \mathcal{S}_{i}).$$

### — Example —

$$\begin{split} \text{For } \mathcal{P} &:= \left\{ \begin{array}{c} \overset{\text{\tiny a}}{\underset{\text{\tiny b}}{\underset{\text{\tiny b}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}}}}}}}}}}}}}}}}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\emptyset)}\right] + a\bar{o}} \left[\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\{b\})}\right]}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})}\right]}} \right] . \end{aligned}{\begin{subarray}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})}\right]}} \right] + a\bar{o} \left[\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\{b\})}\right]}}} \right]} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})}\right]}} \right] . \end{aligned}{\begin{subarray}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})\right]} \right]} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})\right]} \right] . \end{aligned}{\begin{subarray}}}$$

### - Example -

$$\begin{split} \text{For } \mathcal{P} &:= \left\{ \begin{array}{c} \overset{\text{\tiny a}}{\underset{\text{\tiny b}}{\underset{\text{\tiny b}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}}}}}}}}}}}}}}}}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\emptyset)}\right] + a\bar{o}} \left[\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\{b\})}\right]}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})}\right]}} \right] . \end{aligned}{\begin{subarray}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})}\right]}} \right] + a\bar{o} \left[\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\{b\})}\right]}}} \right]} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})}\right]}} \right] . \end{aligned}{\begin{subarray}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})\right]} \right]} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{b\})\right]} \right] . \end{aligned}{\begin{subarray}}}$$

This leads to the system of generating series

$$\begin{split} F(\mathcal{P},\emptyset) &= z + F(\mathcal{P},\{\mathtt{a}\})F(\mathcal{P},\emptyset) + F(\mathcal{P},\emptyset)F(\mathcal{P},\{\mathtt{b}\}) \\ &- F(\mathcal{P},\{\mathtt{a}\})F(\mathcal{P},\{\mathtt{b}\}) + F(\mathcal{P},\emptyset)F(\mathcal{P},\emptyset), \\ F(\mathcal{P},\{\mathtt{a}\}) &= z + F(\mathcal{P},\emptyset)F(\mathcal{P},\emptyset), \\ F(\mathcal{P},\{\mathtt{b}\}) &= z + F(\mathcal{P},\{\mathtt{a}\})F(\mathcal{P},\emptyset) + F(\mathcal{P},\emptyset)F(\mathcal{P},\{\mathtt{b}\}) \\ &- F(\mathcal{P},\{\mathtt{a}\})F(\mathcal{P},\{\mathtt{b}\}). \end{split}$$

### System of equations

#### - Example -

$$\begin{split} \text{For } \mathcal{P} &:= \left\{ \begin{array}{c} \overset{\text{\tiny a}}{\underset{\text{\tiny b}}{\underset{\text{\tiny b}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}{\underset{\text{\tiny c}}{\underset{\text{\tiny c}}}}}}}}}}}}}}}}}}}}} \\ \\ &\quad - a\bar{o} \left[\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\{a\}),\mathbf{F}(\mathcal{P},\emptyset)}\right]}, \mathbf{F}(\mathcal{P},\{b\})}\right]}.$$

This leads to the system of generating series

$$\begin{split} F(\mathcal{P},\emptyset) &= z + F(\mathcal{P},\{\mathbf{a}\})F(\mathcal{P},\emptyset) + F(\mathcal{P},\emptyset)F(\mathcal{P},\{\mathbf{b}\}) \\ &- F(\mathcal{P},\{\mathbf{a}\})F(\mathcal{P},\{\mathbf{b}\}) + F(\mathcal{P},\emptyset)F(\mathcal{P},\emptyset), \\ F(\mathcal{P},\{\mathbf{a}\}) &= z + F(\mathcal{P},\emptyset)F(\mathcal{P},\emptyset), \\ F(\mathcal{P},\{\mathbf{b}\}) &= z + F(\mathcal{P},\{\mathbf{a}\})F(\mathcal{P},\emptyset) + F(\mathcal{P},\emptyset)F(\mathcal{P},\{\mathbf{b}\}) \\ &- F(\mathcal{P},\{\mathbf{a}\})F(\mathcal{P},\{\mathbf{b}\}). \end{split}$$

As a consequence,  $F(\mathcal{P}, \emptyset)$  satisfies

$$z - \mathbf{F}(\mathcal{P}, \emptyset) + (2+z)\mathbf{F}(\mathcal{P}, \emptyset)^2 - \mathbf{F}(\mathcal{P}, \emptyset)^3 + \mathbf{F}(\mathcal{P}, \emptyset)^4 = 0.$$

Let  ${\mathcal O}$  be an operad. A congruence of  ${\mathcal O}$  is an equivalence relation  $\equiv$  on  ${\mathcal O}$  preserving the arities and such that  $x\equiv x'$  and  $y\equiv y'$  imply  $x\circ_i y\equiv x'\circ_i y'$  for all  $i\in[|x|]$ .

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A presentation of  $\mathcal O$  is a pair  $(\mathfrak G,\equiv)$  such that  $\mathfrak G$  is an alphabet and  $\equiv$  is a congruence of  $\mathcal O$  satisfying

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The operad **Motz** admits the presentation  $(\mathfrak{G}, \equiv)$  where

$$\mathfrak{G} := \left\{ 0.0, 0.0 \right\}$$

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and  $\equiv$  is the smallest operad congruence satisfying

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$$\mathcal{P}_{\mathcal{B}} := \left\{ \circ \circ \circ_1 \circ \circ, \quad \otimes \circ_1 \circ \circ, \quad \circ \circ \circ_1 \otimes \circ, \quad \otimes \circ_1 \otimes \circ \right\},$$

is a basis of Motz.

Rewrite systems on  $\mathfrak{G}$ -trees are good tools to compute bases (we find terminating and confluent orientations  $\Rightarrow$  of  $\equiv$ ).

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Let 
$$a := 0 - 0$$
,  $c := \bigcirc$ , and  $\mathcal{P} := \left\{ \begin{array}{cccc} \frac{1}{a} & \frac{1}{a} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{a} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{a} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{c} \frac{1}{c} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{c} & \frac{1}{c} & \frac{1}{c} & \frac{1}{c} \\ \frac{1}{c} & \frac{1}{c} & \frac{1$ 

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We have

$$\begin{split} \mathbf{F}(\mathcal{P},\emptyset) = & |+ \mathtt{a}\bar{\mathtt{o}}\left[\mathbf{F}(\mathcal{P},\{\mathtt{a},\mathtt{c}\}),\mathbf{F}(\mathcal{P},\emptyset)\right] + \mathtt{c}\bar{\mathtt{o}}\left[\mathbf{F}(\mathcal{P},\{\mathtt{a},\mathtt{c}\}),\mathbf{F}(\mathcal{P},\emptyset),\mathbf{F}(\mathcal{P},\emptyset)\right], \\ \mathbf{F}(\mathcal{P},\{\mathtt{a},\mathtt{c}\}) = & |, \end{split}$$

so that, the generating series of Motzkin paths satisfies

$$F(\mathcal{P}, \emptyset) = z + zF(\mathcal{P}, \emptyset) + zF(\mathcal{P}, \emptyset)^2.$$

### Outline

Generation

# Context-free grammars

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A rule is a pair  $(x,v) \in V \times A^*$ . A set  $\mathcal R$  of rules specifies a rewrite rule  $\to$  on  $A^*$  by setting

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#### Example –

Let 
$$V := \{x, y\}, T := \{a, b, c\}, \text{ and } \mathcal{R} := \{(x, b), (x, xay), (y, ac)\}.$$

We have

 $bxx \to bxayx \to bbayx \to bbaacx$ .

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for any (V, T)-tree  $\mathfrak s$  having a leaf labeled by x, provided that  $(x, \mathfrak t) \in \mathcal R$ .

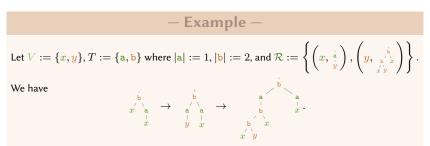
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## General generation

#### Objectives:

- ► Introduce generating systems for any kind of combinatorial objects;
- Retrieve the generation of words and of trees as special cases;
- ▶ Develop a toolbox for the enumeration of combinatorial objects.

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- ► Introduce generating systems for any kind of combinatorial objects;
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- Develop a toolbox for the enumeration of combinatorial objects.

#### – Key idea –

#### Use colored operads, where

- colors play the role of variables and terminal symbols;
- Formal series on colored operad and their operations support enumeration.

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3.  $\circ_i$  is a map, called partial composition map,

$$\circ_i : \mathcal{C}(a, u) \times \mathcal{C}(u_i, v) \to \mathcal{C}(a, u \circ_i v), \qquad 1 \leqslant i \leqslant |u|,$$

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This data has to satisfy some axioms, similar to the ones of operads.

### Colored operations

Any element x of  $\mathcal{C}(a, u)$  can be seen as a colored operation



where a color is assigned to the output and to each input of x.

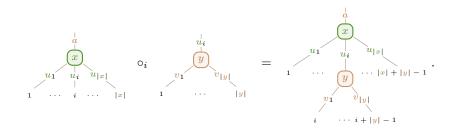
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Moreover, the partial composition map requires a condition on the colors:



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The  $\mathfrak{C}$ -bud operad of  $\mathcal{O}$  is the colored operad  $\mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  wherein:

▶  $\mathbf{B}_{\mathfrak{C}}(\mathcal{O})(a,u)$  is the set of all triples (a,x,u) where  $x \in \mathcal{O}$  and  $(a,u) \in \mathfrak{C} \times \mathfrak{C}^{|x|}$ .

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$$(a, x, u) \circ_i (u_i, y, v) := (a, x \circ_i y, u \circ_i v)$$

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#### - Proposition -

For any set of colors  $\mathfrak{C}$ , the construction  $\mathcal{O} \mapsto \mathrm{B}_{\mathfrak{C}}(\mathcal{O})$  is a functor from the category of operads to the category of colored operads.

## Examples of bud operads

The elements of  $\mathbf{B}_{\mathfrak{C}}(\mathbf{A}\mathbf{s})$  are triples  $(a, \star_{|u|}, u)$  where  $(a, u) \in \mathfrak{C} \times \mathfrak{C}^+$ .

#### - Example -

$$\ln \mathbf{B}_{\{1,2,3\}}(\mathbf{As}), (2,\star_4,3112) \circ_2 (1,\star_3, \textcolor{red}{\mathbf{233}}) = (2,\star_6,3\textcolor{red}{\mathbf{233}}12)\,.$$

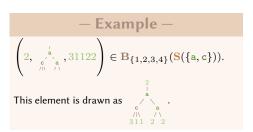
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The elements of  $\mathbf{B}_{\mathfrak{C}}(\mathbf{S}(\mathfrak{G}))$  are  $\mathfrak{C}$ -typed  $\mathfrak{G}$ -syntax trees, that are  $\mathfrak{G}$ -trees with colors assigned with the root and with each leaf.



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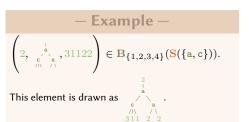
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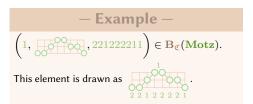
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In 
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The elements of  $\mathbf{B}_{\mathfrak{C}}(\mathbf{S}(\mathfrak{G}))$  are  $\mathfrak{C}$ -typed  $\mathfrak{G}$ -syntax trees, that are  $\mathfrak{G}$ -trees with colors assigned with the root and with each leaf.

The elements of  $\mathbf{B}_{\mathfrak{C}}(\mathbf{Motz})$  are Motzkin paths having a global color and a color assigned with each point.





A bud generating system is a quintuplet  $\mathcal{B} := (\mathcal{O}, \mathfrak{C}, \mathcal{R}, a, T)$  where

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- 5.  $T \subseteq \mathfrak{C}$  is the set of terminal colors.

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- 1.  $\mathcal{O}$  is an operad, the ground operad;
- 2. C is a set of colors;
- 3.  $\mathcal{R} \subseteq \mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  is a set of rules;
- 4.  $a \in \mathfrak{C}$  is the initial color;
- 5.  $T \subseteq \mathfrak{C}$  is the set of terminal colors.

Each element (c, x, u) of  $\mathcal{R}$  can be thought as rule having c as left member and u as right member.

The set  $\mathcal{R}$  specifies the rewrite rule  $\to$  on  $B_{\mathfrak{C}}(\mathcal{O})$  by setting

$$x \to x \circ_i r$$

for any  $x \in \mathbf{B}_{\mathfrak{C}}(\mathcal{O})$ ,  $i \in [|x|]$ , and  $r \in \mathcal{R}$ . This is the derivation relation.

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An element x of  $\mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  is generated by  $\mathcal{B}$  if

$$\mathbb{1}_a \to \cdots \to x$$

and all input colors of x are in T. These elements form the language of  $\mathcal{B}$ .

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The set  $\mathcal R$  specifies also the rewrite rule  $\leadsto$  on  $\mathbf B_{\mathfrak C}(\mathcal O)$  by setting

$$x \rightsquigarrow x \circ [r_1, \dots, r_{|x|}]$$

for any  $x \in \mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  and  $r_1, \dots, r_{|x|} \in \mathcal{R}$ . This is the synchronous derivation relation.

The set  $\mathcal{R}$  specifies the rewrite rule  $\to$  on  $\mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  by setting

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The set  $\mathcal{R}$  specifies also the rewrite rule  $\rightsquigarrow$  on  $\mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  by setting

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An element x of  $\mathbf{B}_{\mathfrak{C}}(\mathcal{O})$  is synchronously generated by  $\mathcal{B}$  if

$$1_a \sim \cdots \sim x$$

and all input colors of x are in T. These elements form the synchronous language of  $\mathcal{B}$ .

### Generation of particular Motzkin paths

Let the bud generating system  $\mathcal{B} := (\mathbf{Motz}, \{1, 2\}, \mathcal{R}, 1, \{1, 2\})$  where

$$\mathcal{R} := \{(1, 00, 22), (1, 20, 111)\}.$$

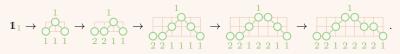
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There are in  $\mathcal{B}$  the derivations



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#### - Proposition -

There is a one-to-one correspondence between the set of Motkzin paths without consecutive  $\circ \circ$  steps and the language of  $\mathcal{B}$ .

These paths are enumerated by

$$1, 1, 1, 3, 5, 11, 25, 55, 129, 303, 721, 1743, \dots (A104545).$$

### Balanced binary trees

A balanced binary tree is a binary tree  $\mathfrak t$  such that, for any internal node u of  $\mathfrak t$ , the height of the left subtree and of the right subtree of u differ by at most 1.

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$$1, 1, 2, 1, 4, 6, 4, 17, 32, 44, 60, 70, \dots$$
 (**A006265**).

Their generating series is the specialization F(x,0) where

$$F(x, y) = x + F(x^2 + 2xy, x).$$

### Generation of balanced binary trees

Let the bud generating system  $\mathcal{B}:=(\mathbf{S}(\mathfrak{G}),\{1,2\},\mathcal{R},1,\{1\})$  where  $\mathfrak{G}:=\mathfrak{G}(2):=\{\mathtt{a}\}$  and

$$\mathcal{R} := \left\{ \left(1, \mathbf{a}, 11\right), \left(1, \mathbf{a}, 12\right), \left(1, \mathbf{a}, 21\right), (2, \mathbf{l}, 1) \right\}.$$

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#### Example —

There are in  $\mathcal{B}$  the derivations

#### - Proposition -

There is a one-to-one correspondence between the set of balanced binary trees and the synchronous language of  $\mathcal{B}$ .

### Some properties

#### Proposition –

For any proper context-free grammar G, there exists a bud generating system  $\mathcal{B}:=(\mathbf{As},\mathfrak{C},\mathcal{R},a,T)$  such that the language generated by G is in one-to-one correspondance with the language of  $\mathcal{B}$ .

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For any regular tree grammar G, there exists a bud generating system  $\mathcal{B}:=(\mathbf{S}(\mathfrak{G}),\mathfrak{C},\mathcal{R},a,T)$  such that the language generated by G is in one-to-one correspondance with the language of  $\mathcal{B}$ .

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#### Proposition –

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#### Proposition –

For any bud generating system  $\mathcal{B}$ , the synchronous language of  $\mathcal{B}$  is a subset of the language of  $\mathcal{B}$ .

# Random generation

For any  $c\in \mathfrak{C}$ , let  $\mathcal{R}_c$  be the subset of  $\mathcal{R}$  of the elements having c as output color.

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#### **Algorithm** RBS:

- ► Input:
  - 1. a bud generating system  $\mathcal{B} := (\mathcal{O}, \mathfrak{C}, \mathcal{R}, a, T);$
  - 2. An integer  $k \geqslant 0$ .
- ▶ Output: an element of the synchronous language of B.
- 1. Let  $x := \mathbb{1}_a$ ;
- 2. Repeat k times:
  - 2.1 For any  $i \in [|x|]$ , pick  $y_i$  uniformly at random in  $\mathcal{R}_c$  where c is the ith input color of x;
    - 2.2 Set  $x := x \circ [y_1, \ldots, y_{|x|}];$
- 3. If all input colors of x belong to T:
  - 3.1 Return x;
- 4. Otherwise:
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#### - Proposition -

If  $\mathcal{B} = (\mathcal{O}, \mathfrak{C}, \mathcal{R}, a, T)$  is synchronously unambiguous, the RBS is a uniform random generator of the elements of the synchronous language of  $\mathcal{B}$ .