

Planar maps: bijections and applications

Éric Fusy (CNRS/LIX)

Universality phenomena for maps

For 'any' standard family $\mathcal{M} = \cup_n \mathcal{M}_n$ of rooted maps

(p -angulations, loopless, 2-connected, 3-connected, etc.)

- $m_n = \text{Card}(\mathcal{M}_n)$ satisfies $m_n \sim c \gamma^n n^{-5/2}$ for some constants c, γ

Universality phenomena for maps

For 'any' standard family $\mathcal{M} = \cup_n \mathcal{M}_n$ of rooted maps

(p -angulations, loopless, 2-connected, 3-connected, etc.)

- $m_n = \text{Card}(\mathcal{M}_n)$ satisfies $m_n \sim c \gamma^n n^{-5/2}$ for some constants c, γ

- scaling limit point of view:

for M_n a random map in \mathcal{M}_n and v_1, v_2 two random vertices in M_n

let $X_n = \text{distance}(v_1, v_2)$

Then $\frac{X_n}{n^{1/4}} \rightarrow \text{universal proba. dist.}$ & $(M_n, \frac{d}{n^{1/4}}) \rightarrow \text{Brownian map}$

Universality phenomena for maps

For 'any' standard family $\mathcal{M} = \cup_n \mathcal{M}_n$ of rooted maps

(p -angulations, loopless, 2-connected, 3-connected, etc.)

- $m_n = \text{Card}(\mathcal{M}_n)$ satisfies $m_n \sim c \gamma^n n^{-5/2}$ for some constants c, γ

- scaling limit point of view:

for M_n a random map in \mathcal{M}_n and v_1, v_2 two random vertices in M_n

let $X_n = \text{distance}(v_1, v_2)$

Then $\frac{X_n}{n^{1/4}} \rightarrow \text{universal proba. dist.}$ & $(M_n, \frac{d}{n^{1/4}}) \rightarrow \text{Brownian map}$

- local limit point of view

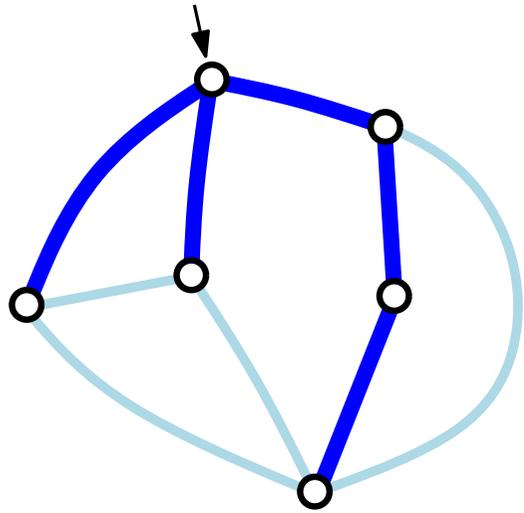
let $Y_n^{(r)} = \#(\text{vertices at distance } \leq r \text{ from root-vertex in } M_n)$

let $B^{(r)} := \lim_{n \rightarrow \infty} \mathbb{E}(Y_n^{(r)})$ Then $B^{(r)} \sim \kappa \cdot r^4$ as $r \rightarrow \infty$

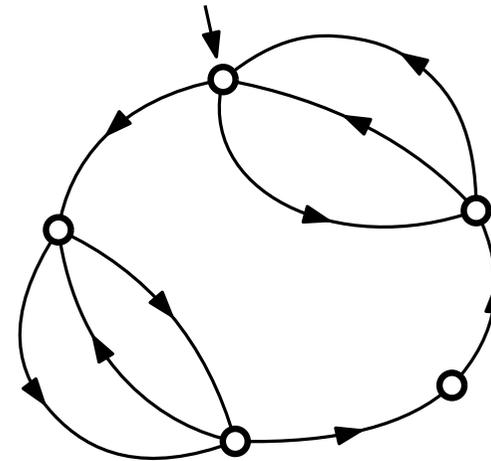
Looking for other universality classes

Structured planar map = pair (M, X) , with M a rooted map and X a combinatorial structure on M

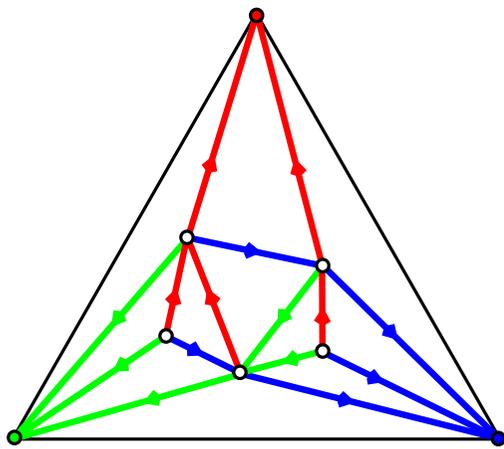
We can consider some natural families $\mathcal{S} = \cup_n \mathcal{S}_n$ of structured maps



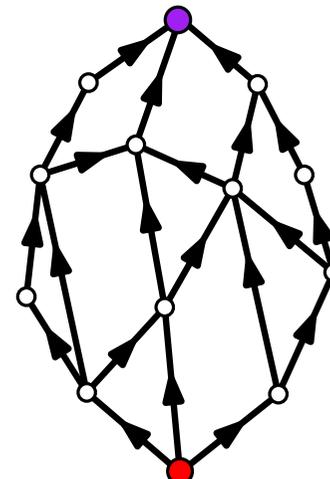
spanning tree



eulerian orientation



Schnyder wood



bipolar orientation

Watabiki predictions

[Watabiki'93]

If a model of maps gives asymptotic behaviours of the form $\kappa \gamma^n n^{-\alpha}$

then the central charge of the model is $c = -\frac{(3\alpha - 5)(2\alpha - 5)}{\alpha - 1}$

prediction: $B^{(r)} \sim \text{constant} \times r^\beta$ with $\beta = 2 \frac{\sqrt{25 - c} + \sqrt{49 - c}}{\sqrt{25 - c} + \sqrt{1 - c}}$

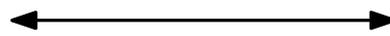
	α	c	β	$1/\beta$
no structure	$5/2$	0	4	0.25
spanning tree	3	-2	$\frac{3+\sqrt{17}}{2}$	≈ 0.28
Bipolar ori.	4	-7	$\frac{4+2\sqrt{7}}{3}$	≈ 0.32
Schnyder wood	5	$-\frac{25}{2}$	$\frac{5+\sqrt{41}}{4}$	≈ 0.35

upper/lower bounds for β (consistent with prediction) [Gwynne, Holden, Sun'17]

Plan for today

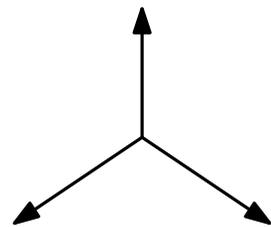
review of bijective links (and discuss some connections/applications)

structured maps



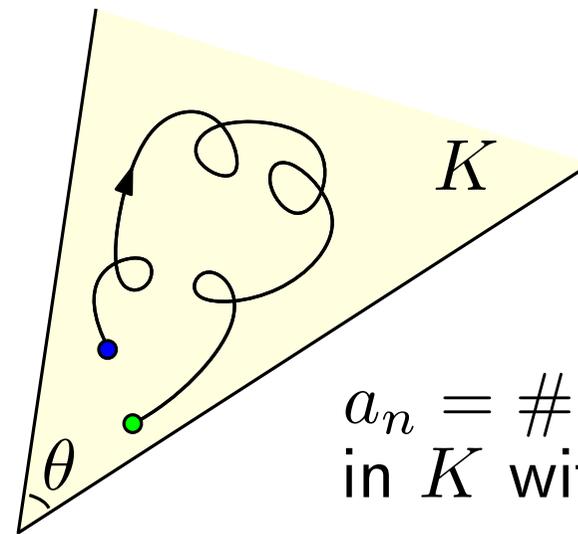
lattice walks in quadrant
(or in a 2d cone)

explains asymptotic behaviour, cf [Denisov-Wachtel'2015]



\mathcal{S} step-set

with covariance matrix = Id_2



$a_n = \#$ walks of length n
in K with fixed endpoints

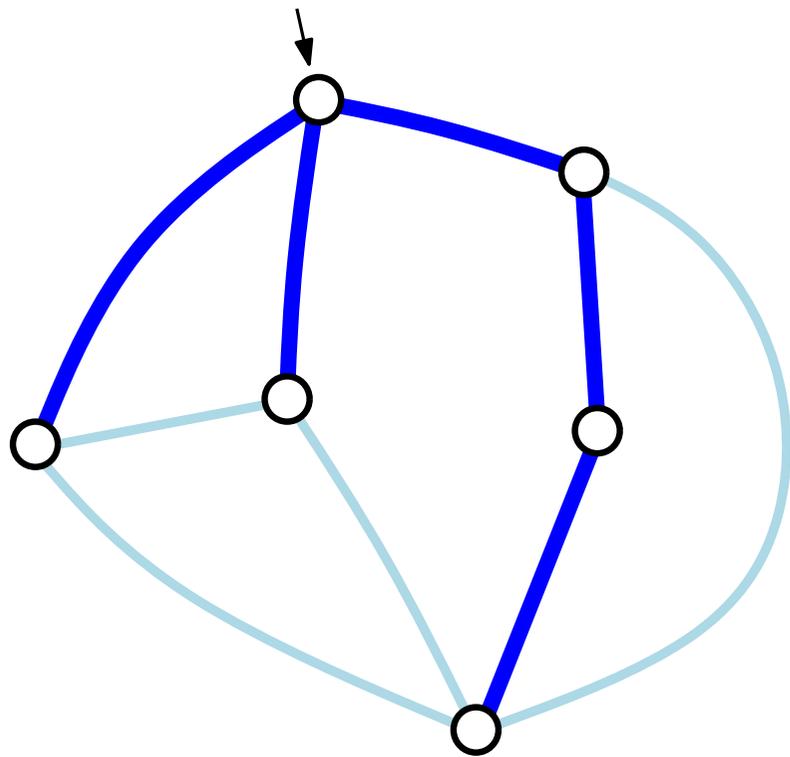
Then $a_n \sim \kappa \gamma^n n^{-p-1}$, with $p = \frac{\pi}{\theta}$

$\theta = \pi/2$ for spanning trees, $\pi/3$ for bipolar orientations, $\pi/4$ for Schnyder woods

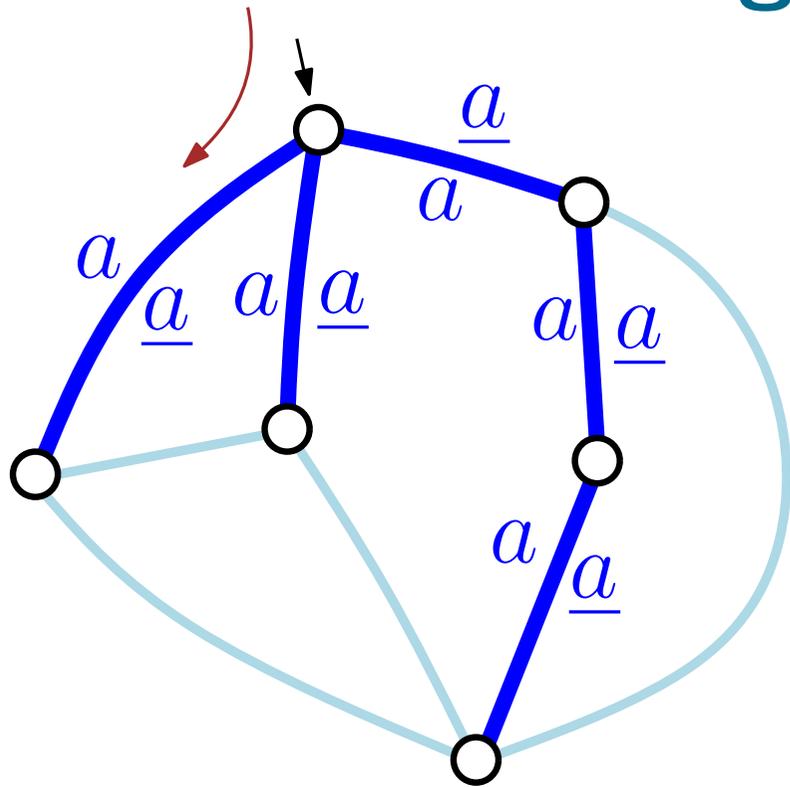
Tree-rooted maps

(map + spanning tree)

Contour encoding of a tree-rooted map [Mullin'67]



Contour encoding of a tree-rooted map [Mullin'67]

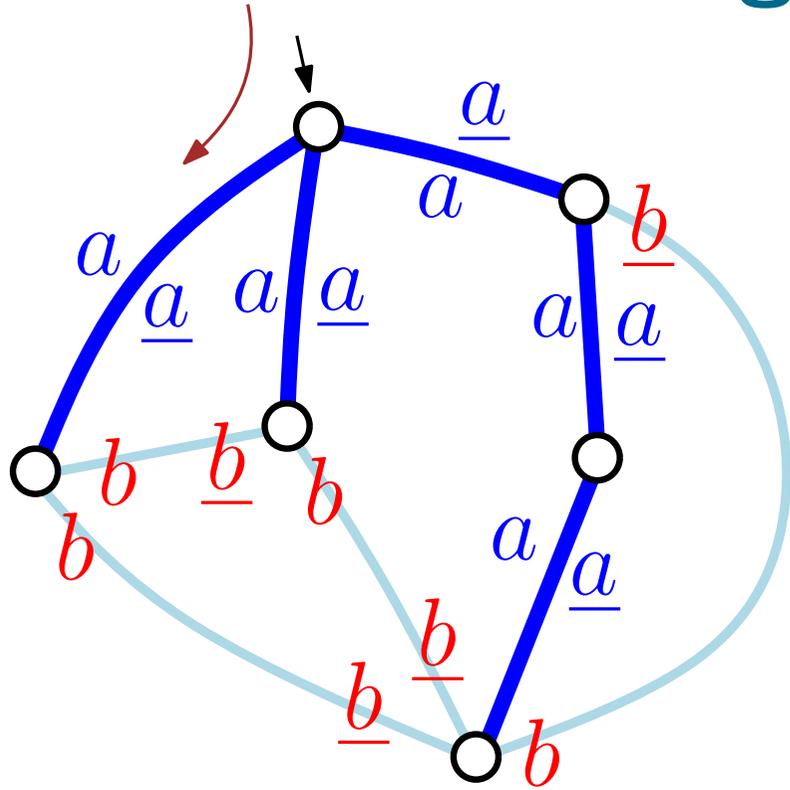


contour encoding of the tree T :

a a a a a a a a a a

Dyck word

Contour encoding of a tree-rooted map [Mullin'67]



contour encoding of the tree T :

$a \underline{a} a \underline{a} a \underline{a} a \underline{a} \underline{a} \underline{a}$

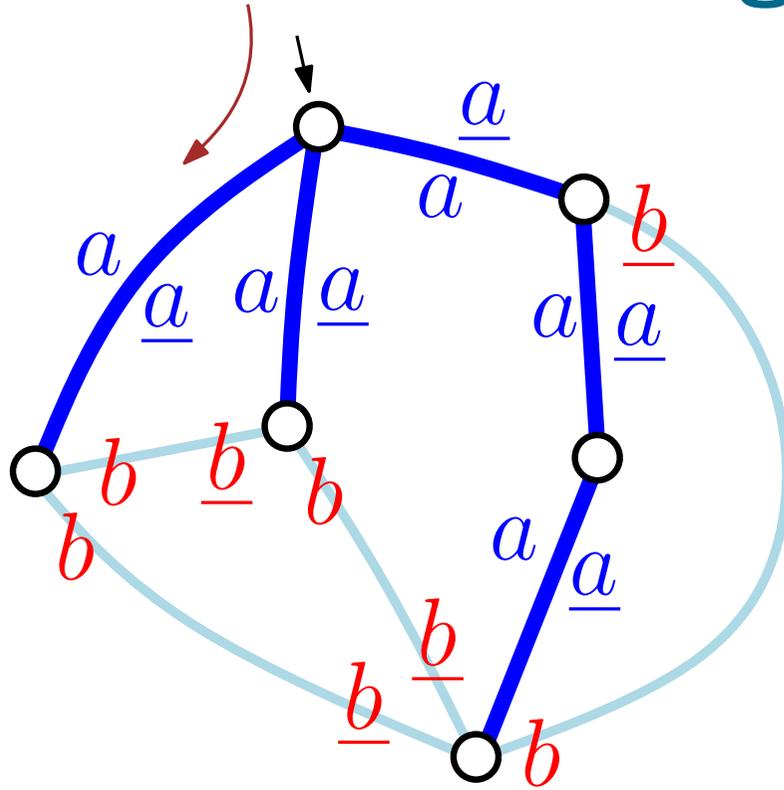
Dyck word

enriched contour encoding:

$a b b \underline{a} a \underline{b} b \underline{a} a a a \underline{b} \underline{b} \underline{b} \underline{a} \underline{a} \underline{b} \underline{a}$

shuffle of two Dyck words

Contour encoding of a tree-rooted map [Mullin'67]



contour encoding of the tree T :

$a \underline{a} a \underline{a} a \underline{a} a \underline{a} \underline{a}$

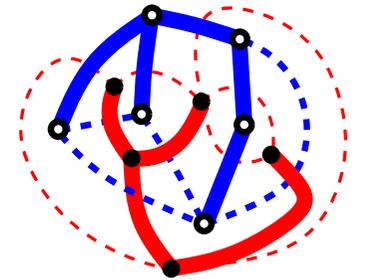
Dyck word

enriched contour encoding:

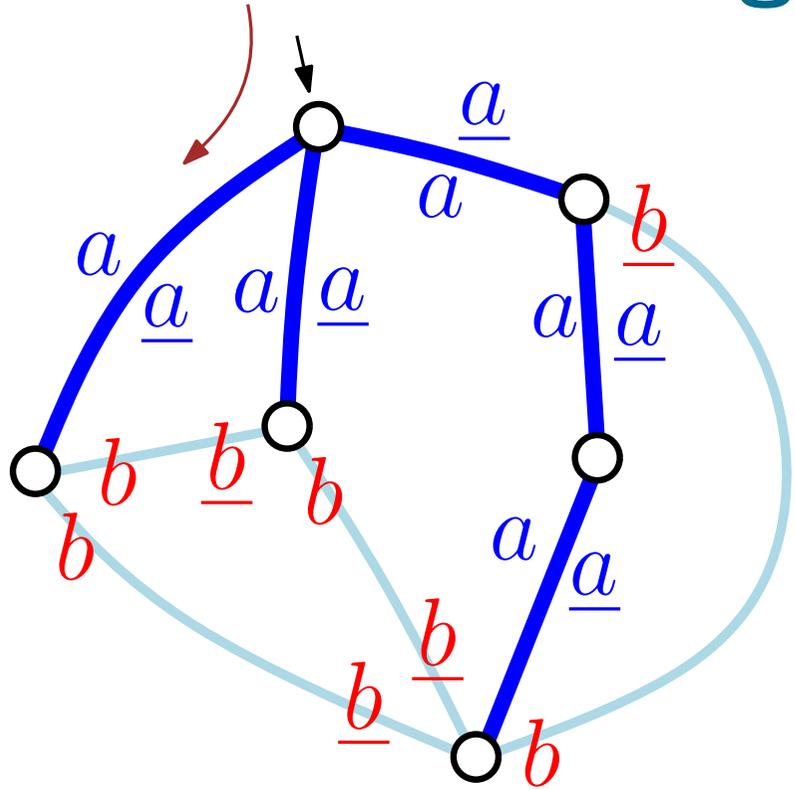
$a b b \underline{a} a \underline{b} b \underline{a} a a a \underline{b} \underline{b} \underline{b} \underline{a} \underline{a} \underline{b} \underline{a}$

shuffle of two Dyck words

Rk: red word is the contour word for the dual spanning tree



Contour encoding of a tree-rooted map [Mullin'67]



contour encoding of the tree T :

$a \underline{a} a \underline{a} a \underline{a} a \underline{a} \underline{a}$

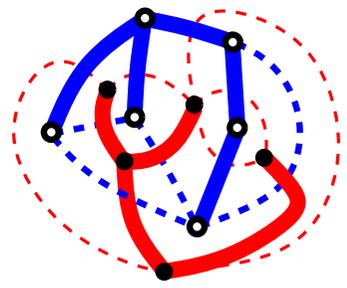
Dyck word

enriched contour encoding:

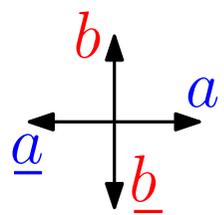
$a b b \underline{a} a \underline{b} b \underline{a} a a a \underline{b} \underline{b} \underline{b} \underline{a} \underline{a} \underline{b} \underline{a}$

shuffle of two Dyck words

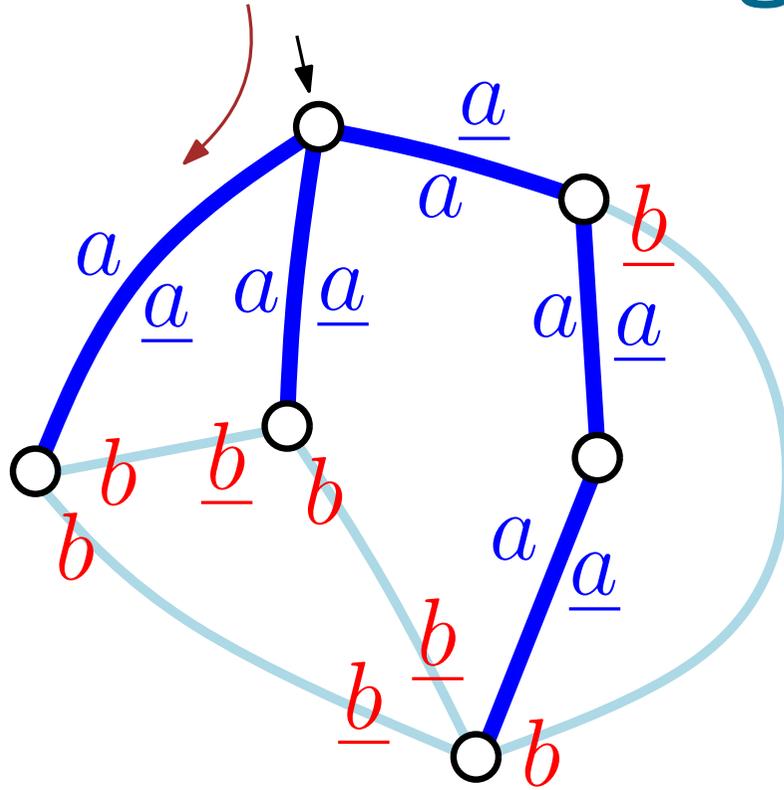
Rk: red word is the contour word for the dual spanning tree



\Rightarrow excursion in quadrant, with steps



Contour encoding of a tree-rooted map [Mullin'67]



contour encoding of the tree T :

$a \underline{a} a \underline{a} a \underline{a} a \underline{a} \underline{a}$

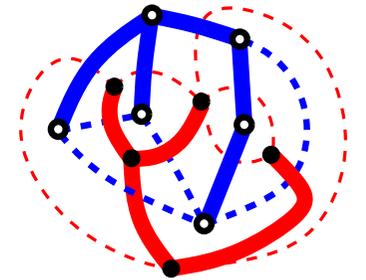
Dyck word

enriched contour encoding:

$a b b \underline{a} \underline{a} \underline{b} \underline{b} \underline{a} \underline{a} \underline{a} \underline{a} \underline{b} \underline{b} \underline{b} \underline{a} \underline{a} \underline{b} \underline{a}$

shuffle of two Dyck words

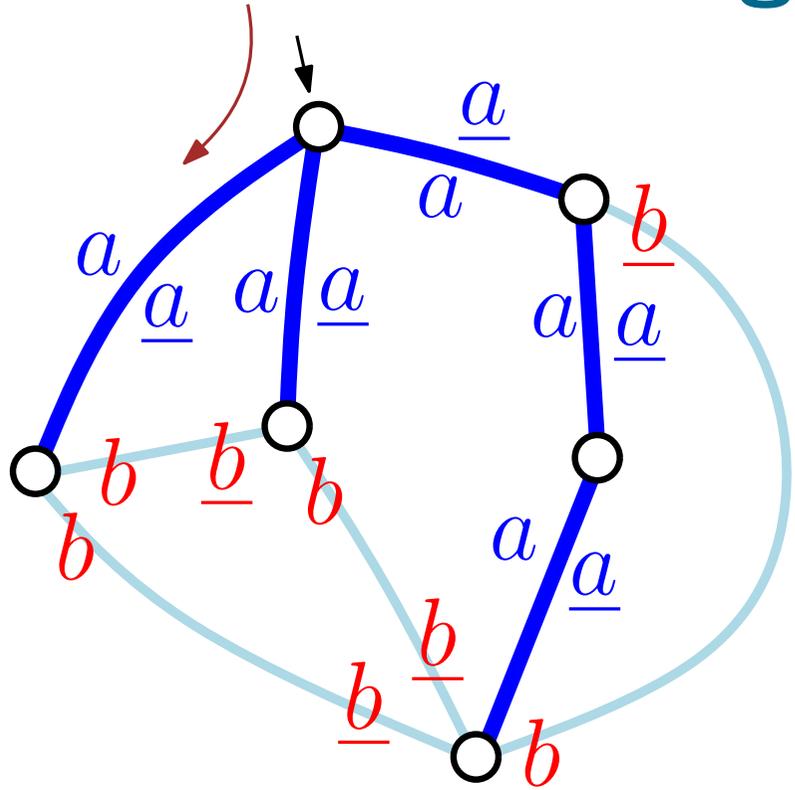
Rk: red word is the contour word for the dual spanning tree



$t_n = \#$ tree-rooted maps with n edges satisfies

$$t_n = \sum_{k=0}^n \binom{2n}{2k} \text{Cat}_k \text{Cat}_{n-k}$$

Contour encoding of a tree-rooted map [Mullin'67]



contour encoding of the tree T :

$a \underline{a} a \underline{a} a \underline{a} a \underline{a} \underline{a} \underline{a}$

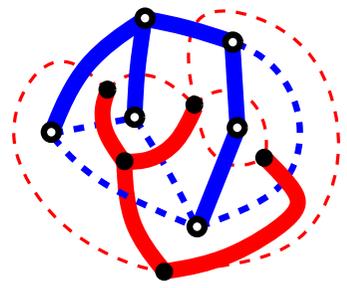
Dyck word

enriched contour encoding:

$a b b \underline{a} \underline{a} \underline{b} \underline{b} \underline{a} \underline{a} \underline{a} \underline{a} \underline{b} \underline{b} \underline{b} \underline{a} \underline{a} \underline{b} \underline{a}$

shuffle of two Dyck words

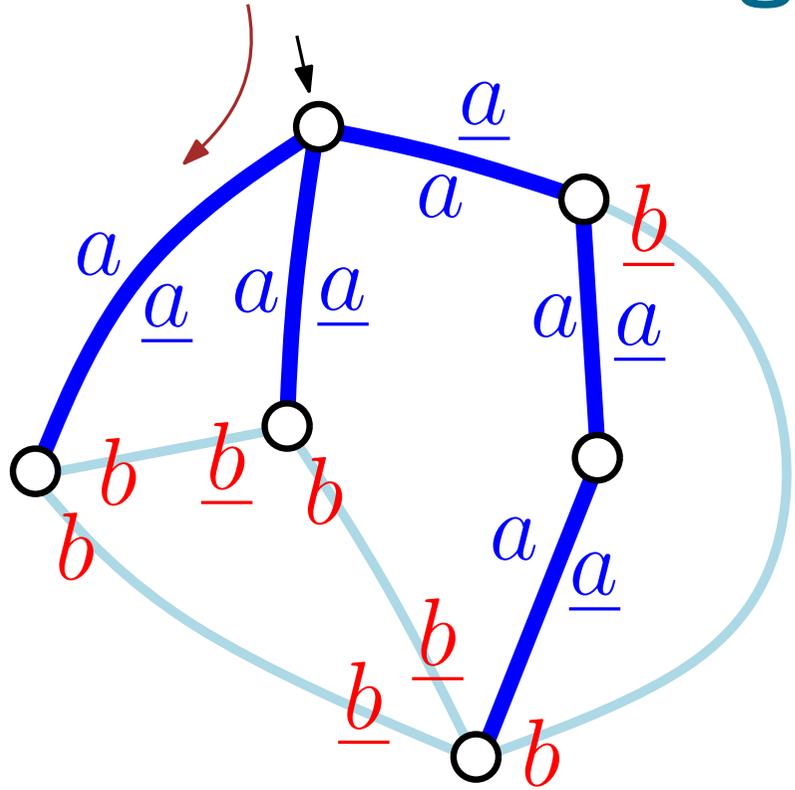
Rk: red word is the contour word for the dual spanning tree



$t_n = \#$ tree-rooted maps with n edges satisfies

$$t_n = \sum_{k=0}^n \binom{2n}{2k} \text{Cat}_k \text{Cat}_{n-k} = \text{Cat}_n \text{Cat}_{n+1} \quad \text{cf} \quad \binom{s+t}{n} = \sum_{k=0}^n \binom{s}{k} \binom{t}{n-k}$$

Contour encoding of a tree-rooted map [Mullin'67]



contour encoding of the tree T :

$a \underline{a} a \underline{a} a \underline{a} a \underline{a} \underline{a}$

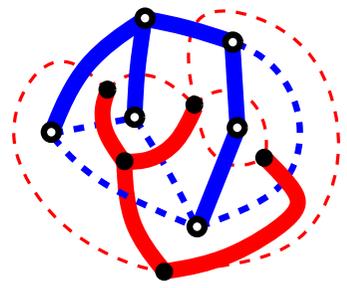
Dyck word

enriched contour encoding:

$a b b \underline{a} a \underline{b} b \underline{a} a a a \underline{b} \underline{b} \underline{b} \underline{a} \underline{a} \underline{b} \underline{a}$

shuffle of two Dyck words

Rk: red word is the contour word for the dual spanning tree



$t_n = \#$ tree-rooted maps with n edges satisfies

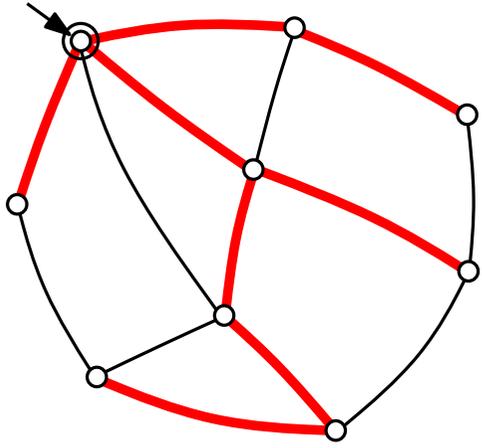
$$t_n = \sum_{k=0}^n \binom{2n}{2k} \text{Cat}_k \text{Cat}_{n-k} = \text{Cat}_n \text{Cat}_{n+1} \quad \text{cf } \binom{s+t}{n} = \sum_{k=0}^n \binom{s}{k} \binom{t}{n-k}$$

Hence $t_n \sim \frac{4}{\pi} 16^n n^{-3}$ with n^{-3} 'universal' for tree-rooted maps (cf exercise)

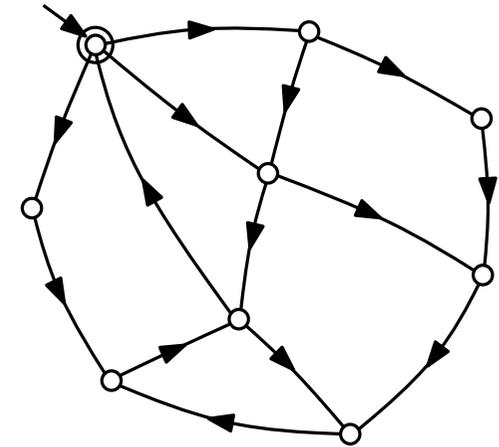
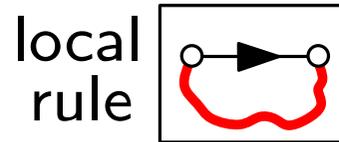
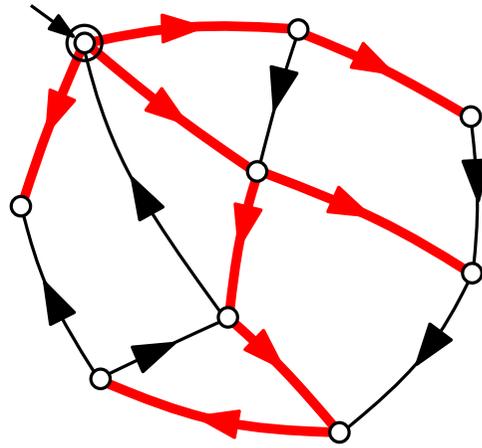
Direct proof that $t_n = \text{Cat}_n \text{Cat}_{n+1}$

[Bernardi'07]

- First step:



tree-rooted map

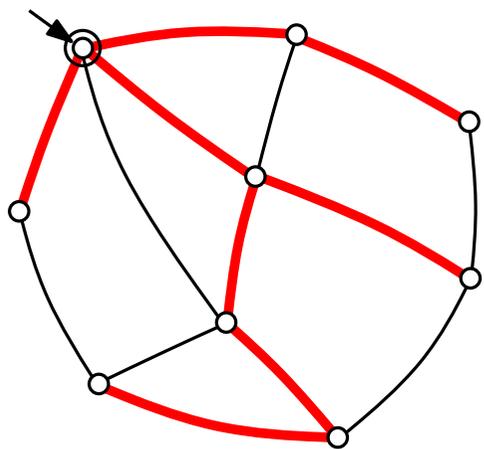


oriented rooted map
(root-accessible & no ccw cycle)

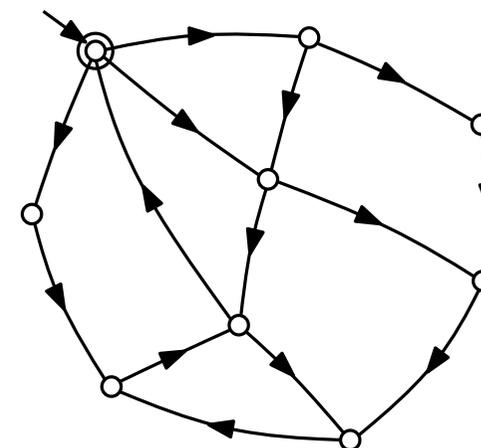
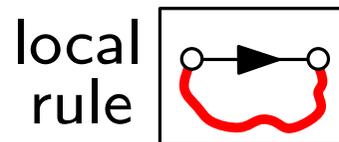
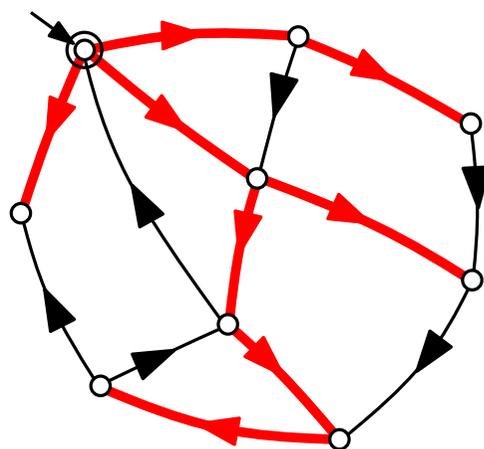
Direct proof that $t_n = \text{Cat}_n \text{Cat}_{n+1}$

[Bernardi'07]

• First step:

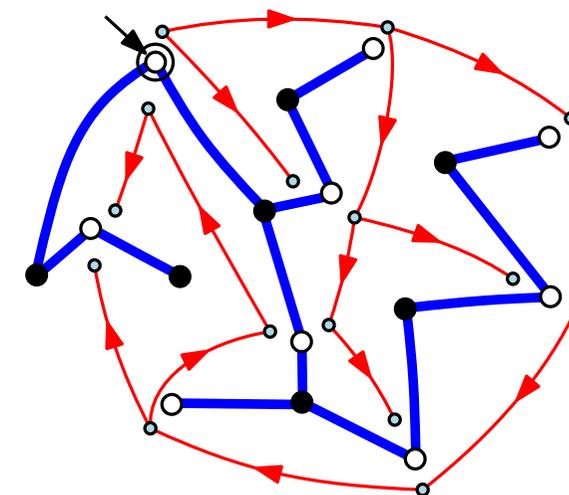
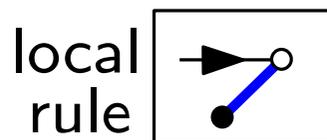
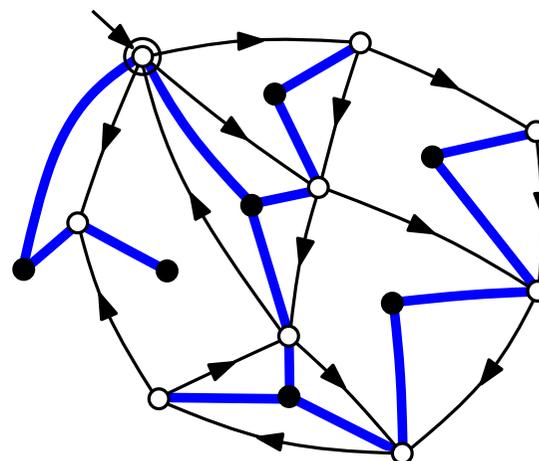
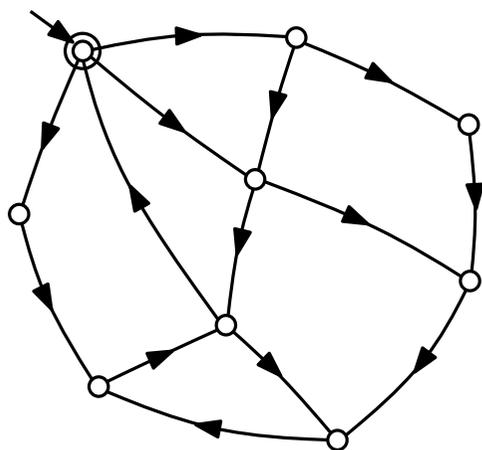


tree-rooted map



oriented rooted map
(root-accessible & no ccw cycle)

• Second step:

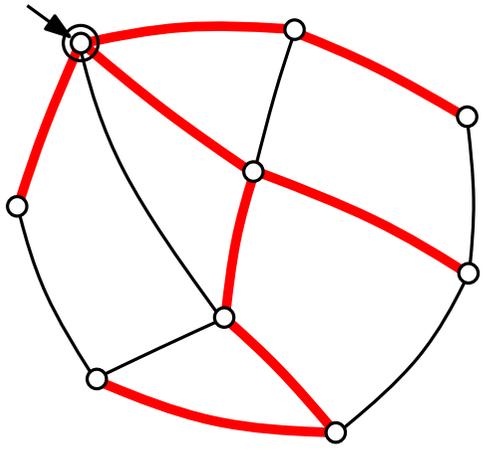


blue tree has $n + 1$ edges
red tree has n edges

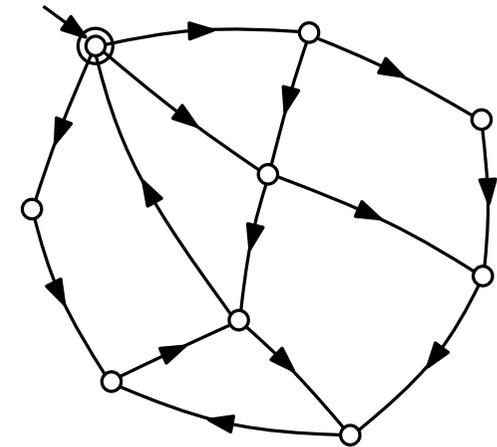
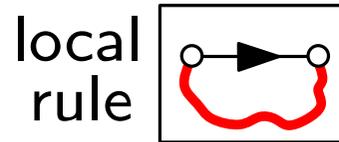
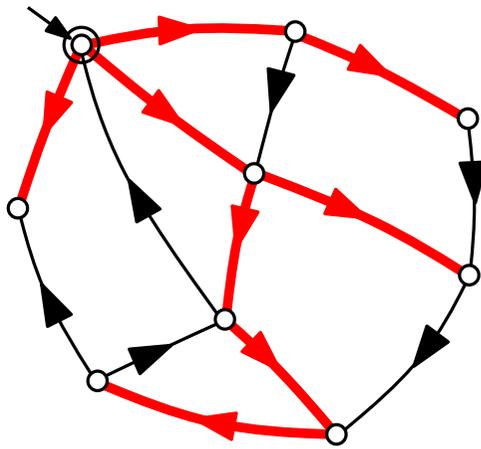
Direct proof that $t_n = \text{Cat}_n \text{Cat}_{n+1}$

[Bernardi'07]

• First step:

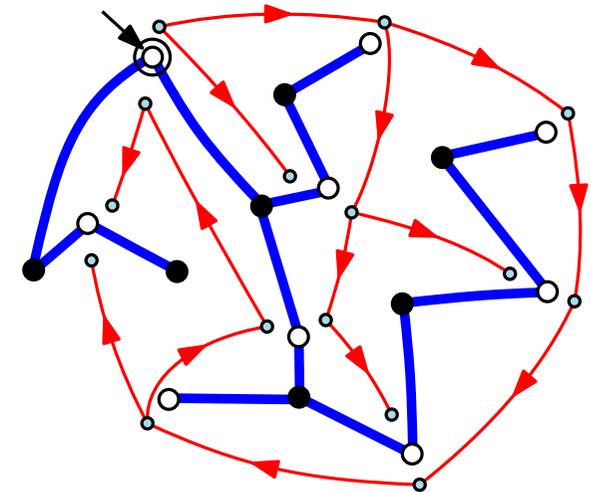
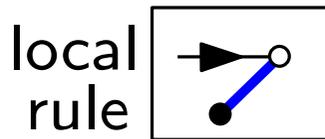
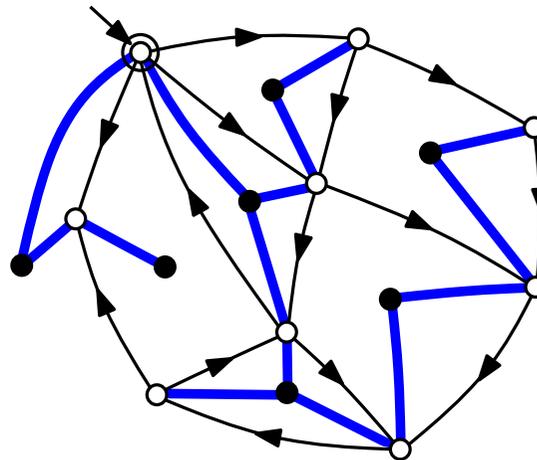
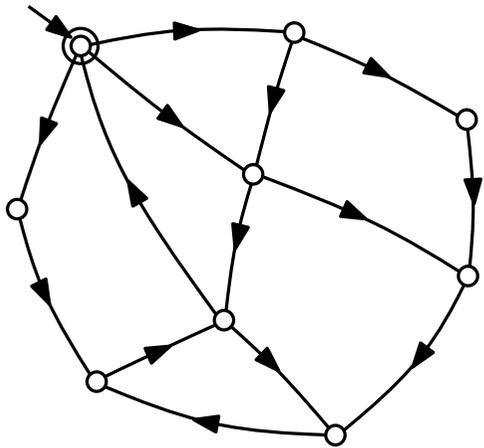


tree-rooted map



oriented rooted map
(root-accessible & no ccw cycle)

• Second step:



blue tree has $n + 1$ edges
red tree has n edges

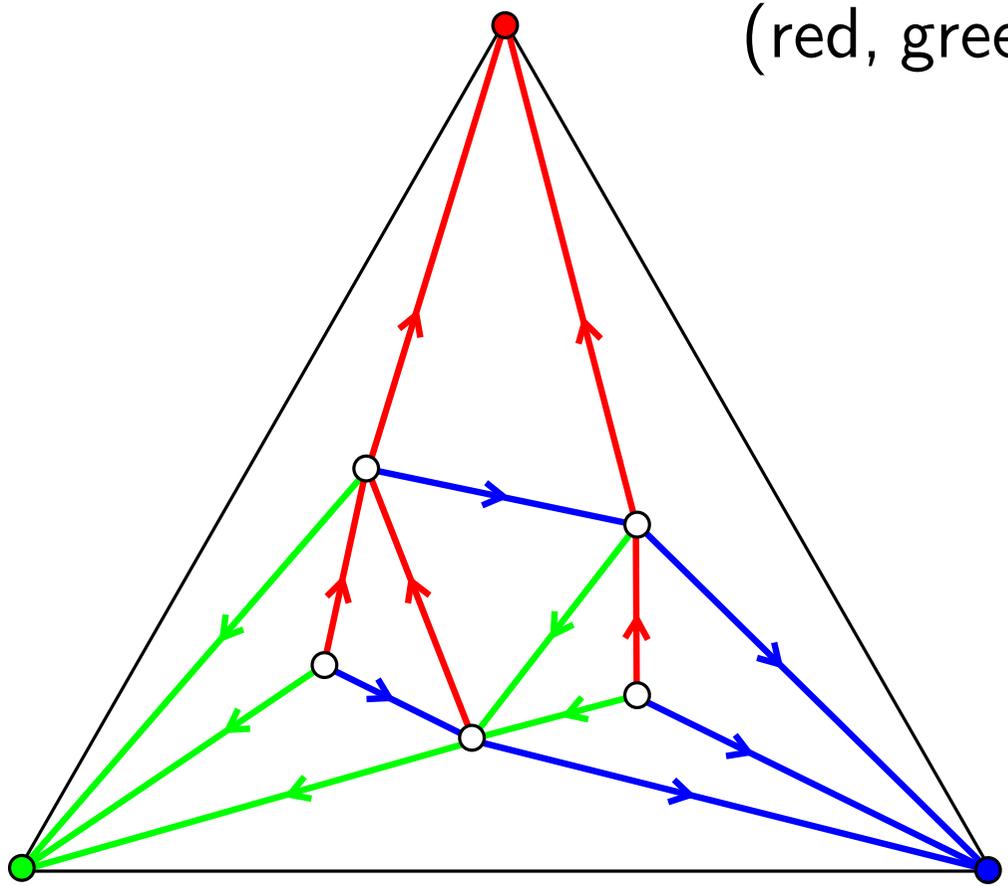
(the bijection Φ used previously this week is closely related to 2nd step)

Schnyder woods

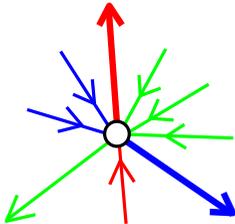
Schnyder woods on triangulations

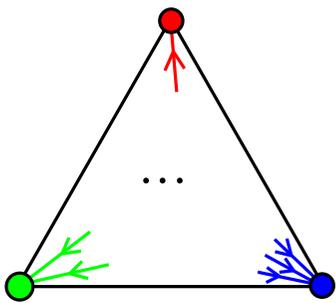
[Schnyder'89]

Schnyder wood = choice of a direction and color (red, green, or blue) for each inner edge, such that:

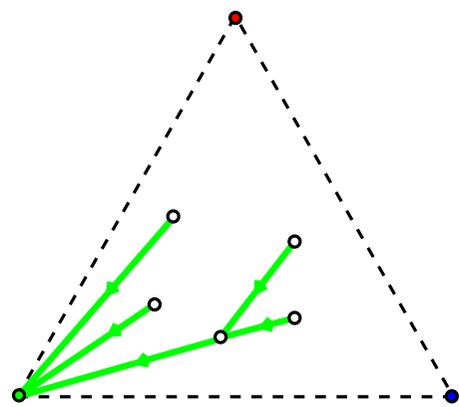
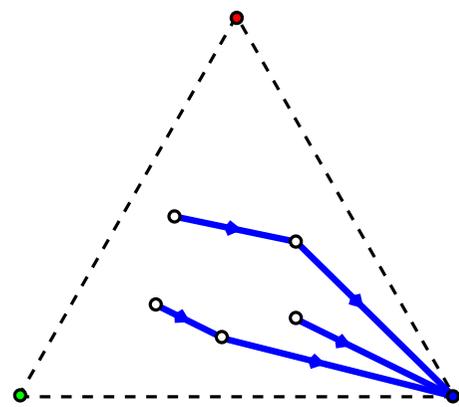
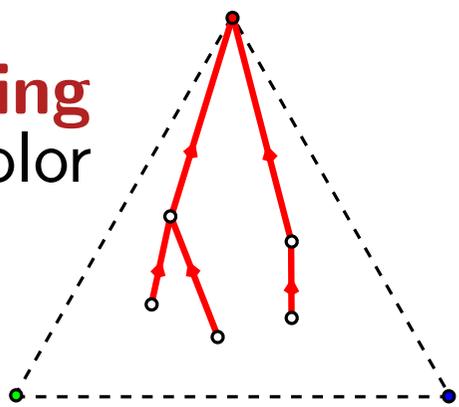


Local conditions:

at each inner vertex 

at the outer vertices 

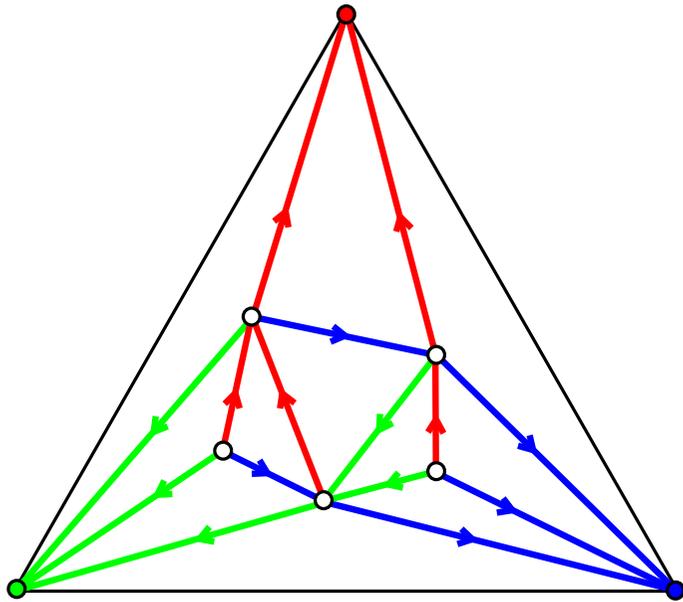
yields a **spanning tree** in each color



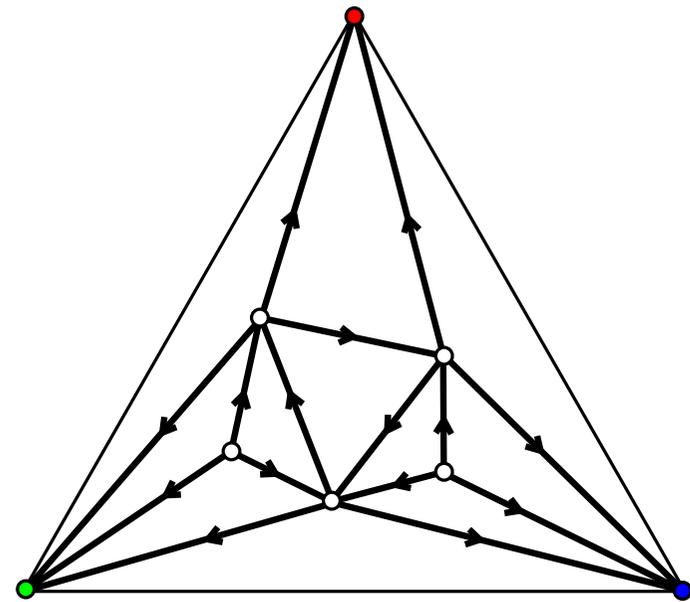
Equivalence with 3-orientations

can propagate the colors (uniquely) from any 3-orientation

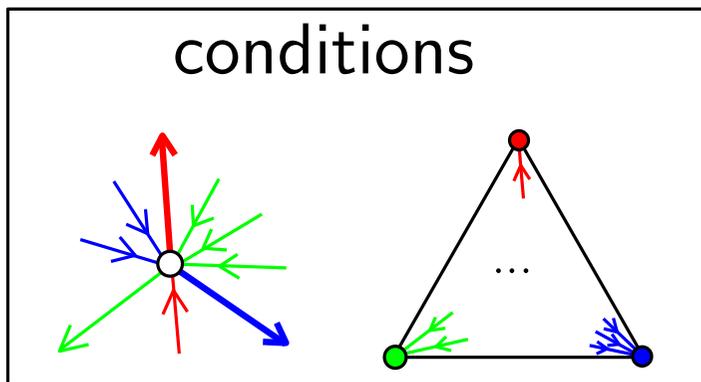
Schnyder wood



3-orientation



outdegree 3 at inner vertices
outdegree 0 at outer vertices

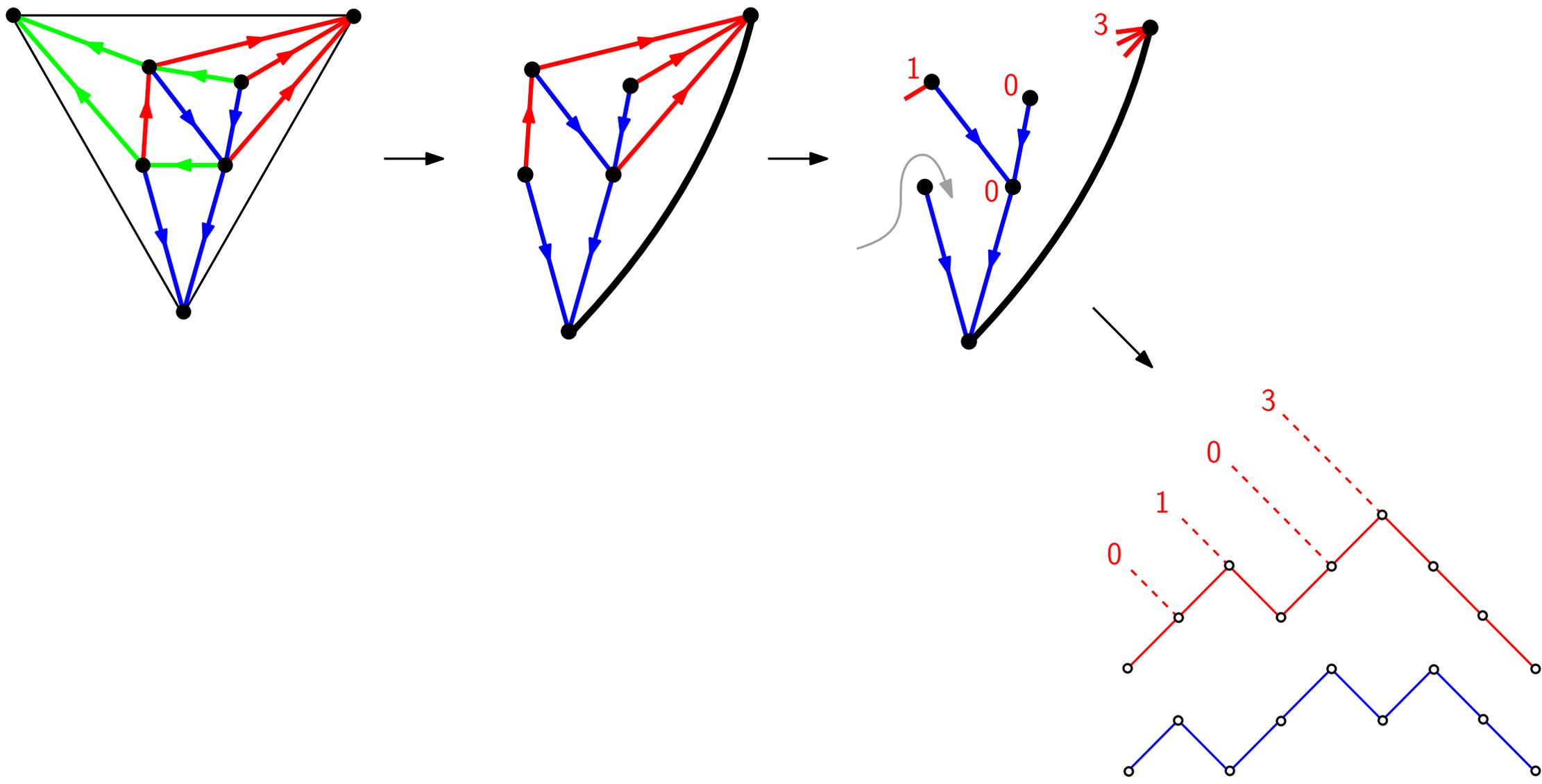


Bijective encoding of Schnyder woods

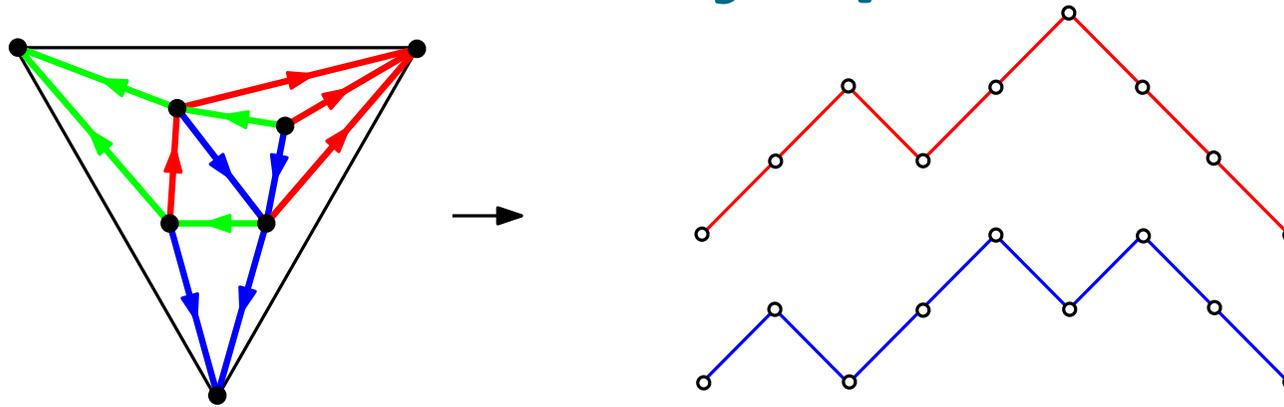
[Bernardi, Bonichon'09]

Schnyder woods on $n + 3$ vertices

non-intersecting pairs of Dyck paths of lengths $2n$



Enumerative formula, asymptotics



Let $s_n =$ total number of Schnyder woods over triangulations with $n + 3$ vertices

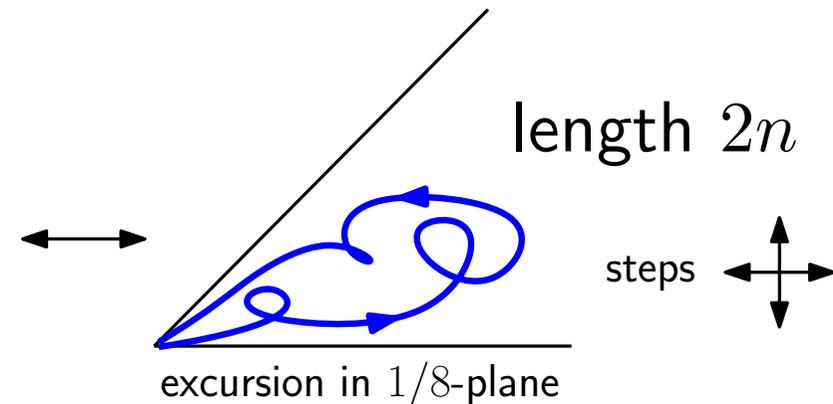
- Exact formula:

$$s_n = \text{Cat}_n \text{Cat}_{n+2} - \text{Cat}_{n+1} \text{Cat}_{n+1} = \frac{6(2n)!(2n+2)!}{n!(n+1)!(n+2)!(n+3)!}$$

- Asymptotic formula: $s_n \sim \frac{24}{\pi} 16^n n^{-5}$

n^{-5} cf bijection

non-crossing pair
Dyck paths lengths $2n$

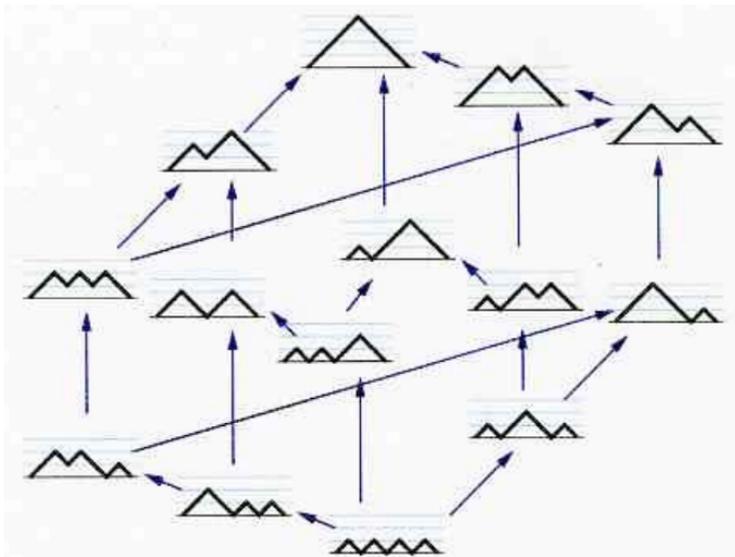


The Tamari lattice

The Tamari lattice \mathcal{L}_n is the partial order on Dyck paths of length $2n$ for the covering relation



(amounts to right rotation in corresponding binary trees)



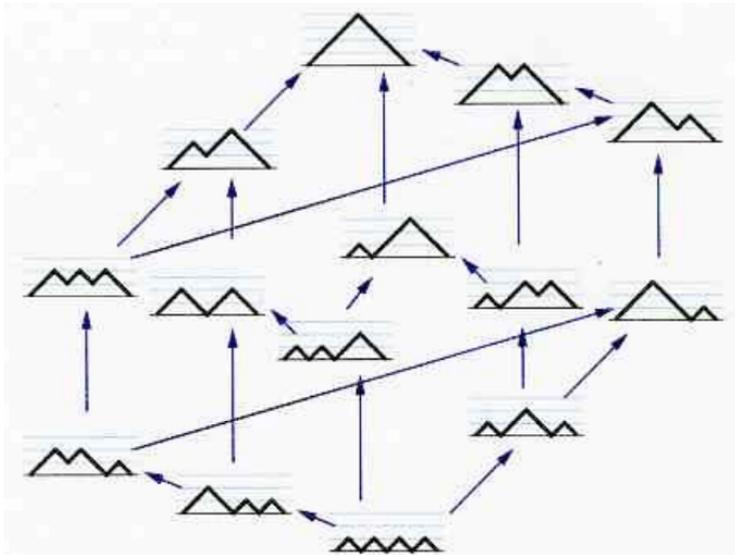
the Tamari lattice for $n = 4$

The Tamari lattice

The Tamari lattice \mathcal{L}_n is the partial order on Dyck paths of length $2n$ for the covering relation



(amounts to right rotation in corresponding binary trees)

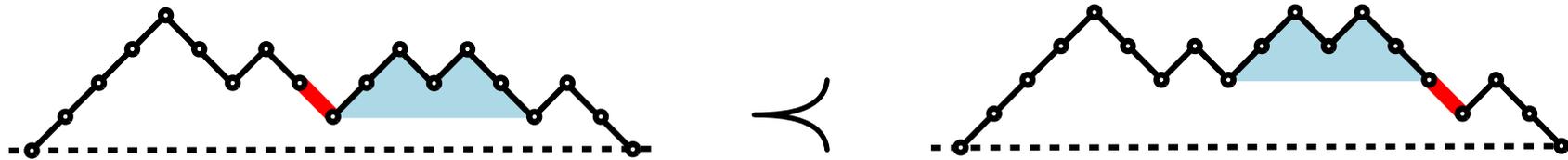


the Tamari lattice for $n = 4$
it has 68 intervals

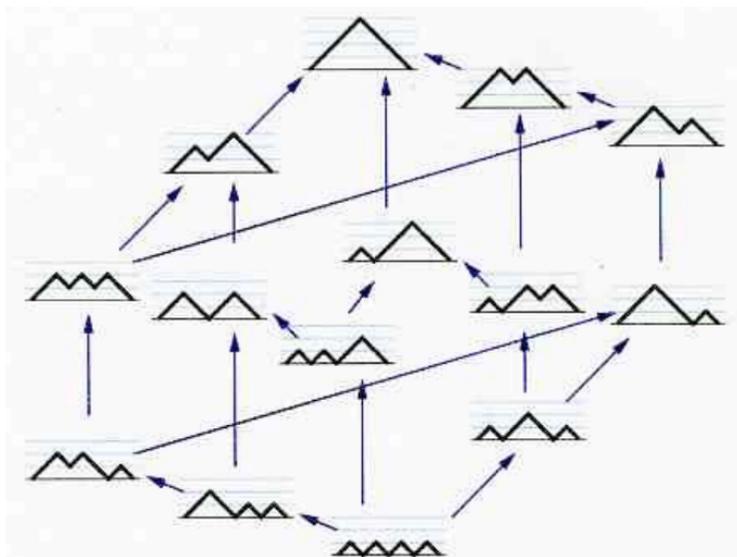
Interval in $\mathcal{T}_n = \text{pair } (t, t') \text{ such that } t \leq t'$

The Tamari lattice

The Tamari lattice \mathcal{L}_n is the partial order on Dyck paths of length $2n$ for the covering relation



(amounts to right rotation in corresponding binary trees)



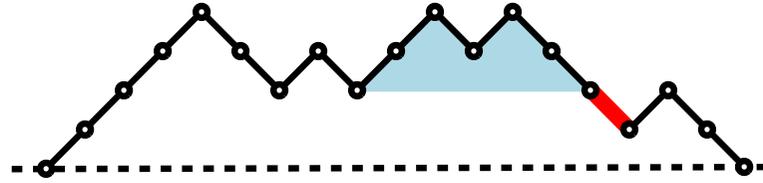
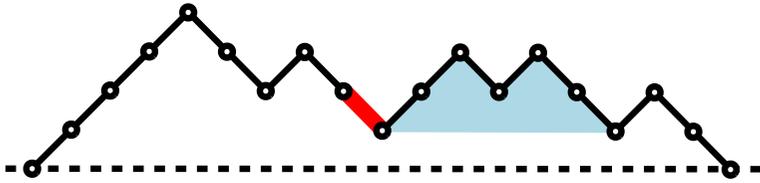
the Tamari lattice for $n = 4$
it has 68 intervals

Interval in $\mathcal{T}_n = \text{pair } (t, t') \text{ such that } t \leq t'$

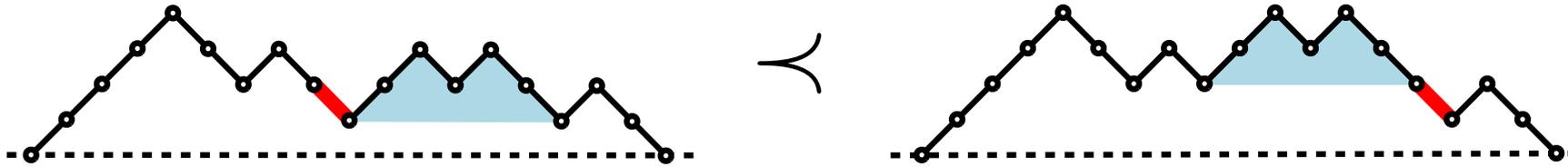
Theorem [Chapoton'06]: there are $\frac{2}{n(n+1)} \binom{4n+1}{n-1}$ intervals in \mathcal{L}_n

Rk: This is also the number of simple triangulations with $n + 3$ vertices

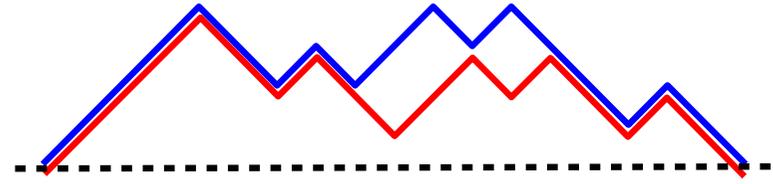
Characterization of intervals by length-vectors



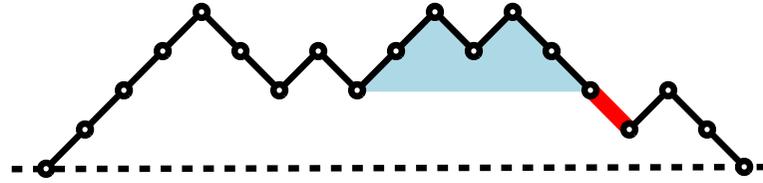
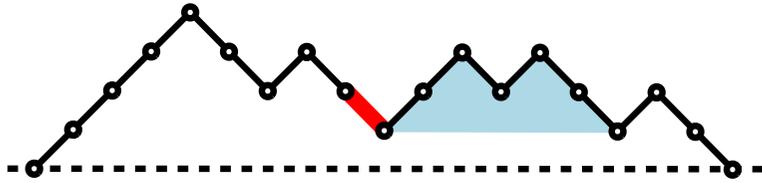
Characterization of intervals by length-vectors



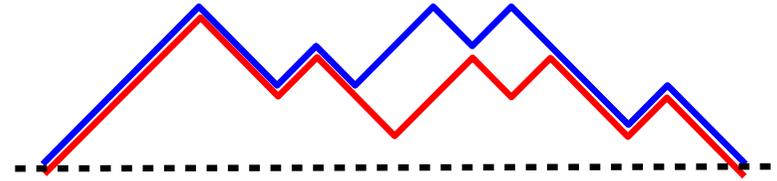
Rk: if $t \leq t'$ in \mathcal{L}_n , then t is below t'



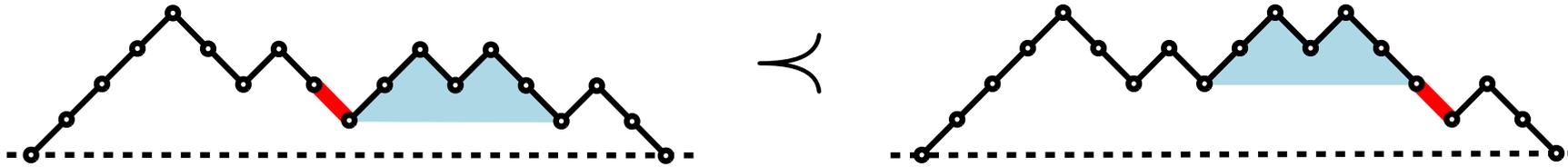
Characterization of intervals by length-vectors



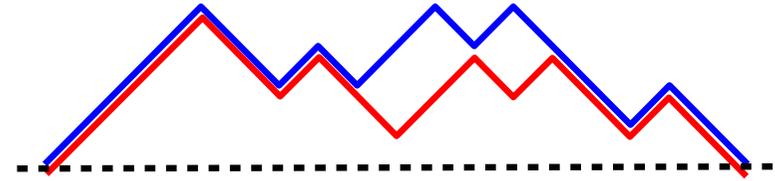
Rk: if $t \leq t'$ in \mathcal{L}_n , then t is below t'
the converse is not true !



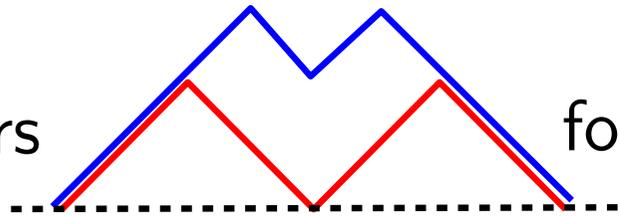
Characterization of intervals by length-vectors



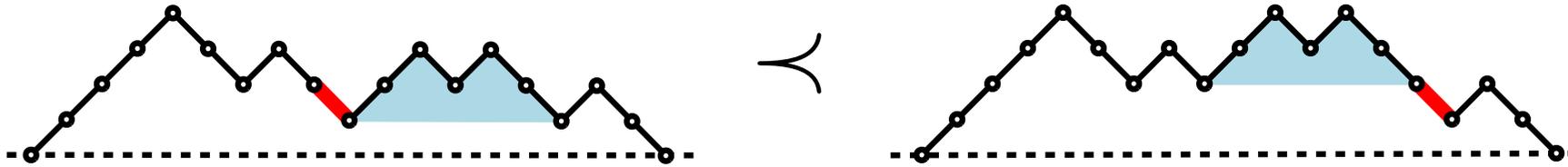
Rk: if $t \leq t'$ in \mathcal{L}_n , then t is below t'
the converse is not true !



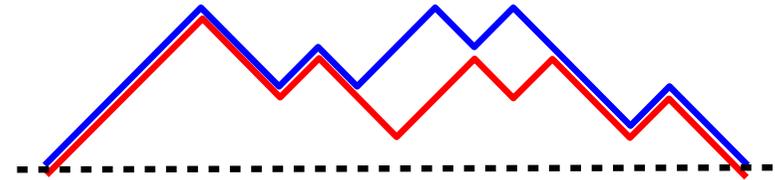
Q: How to characterize pairs forming an interval in \mathcal{L}_n ?



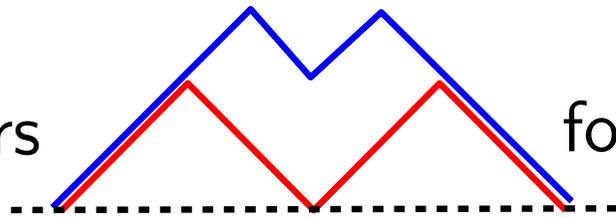
Characterization of intervals by length-vectors



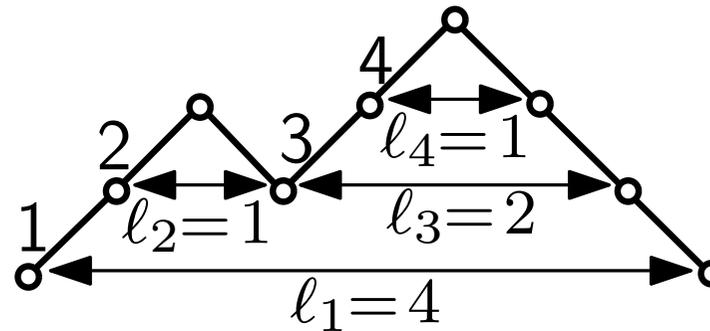
Rk: if $t \leq t'$ in \mathcal{L}_n , then t is below t'
the converse is not true !



Q: How to characterize pairs forming an interval in \mathcal{L}_n ?

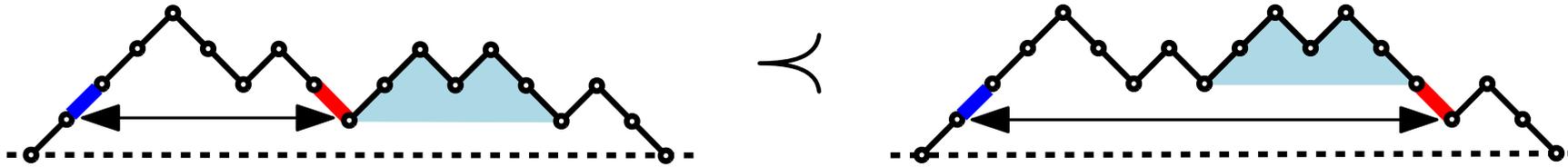


Length-vector L_D of D :

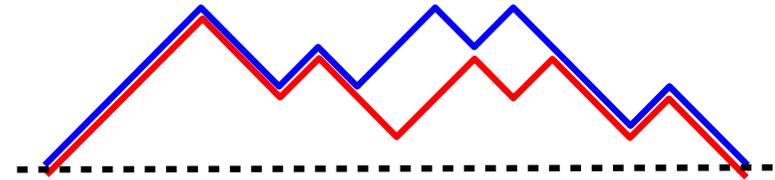


$$L_D = (4, 1, 2, 1)$$

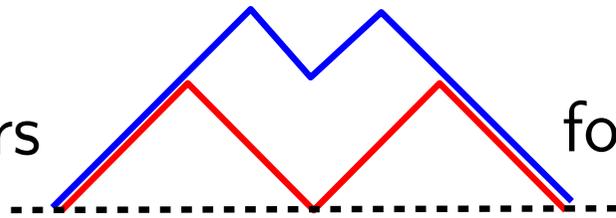
Characterization of intervals by length-vectors



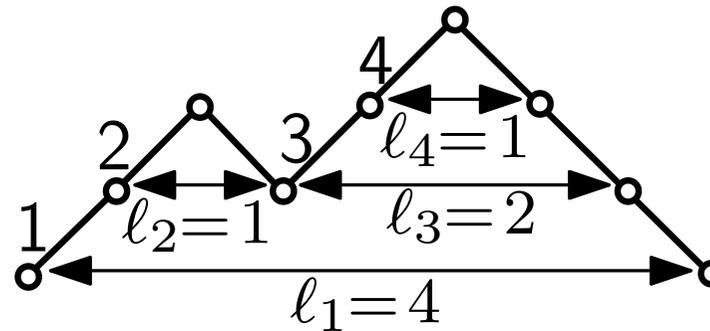
Rk: if $t \leq t'$ in \mathcal{L}_n , then t is below t'
the converse is not true !



Q: How to characterize pairs forming an interval in \mathcal{L}_n ?



Length-vector L_D of D :



$$L_D = (4, 1, 2, 1)$$

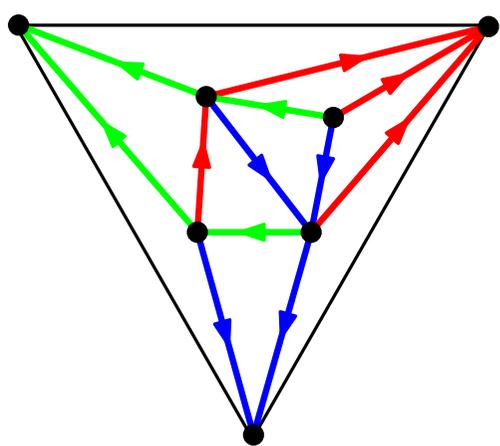
Lem: $D \leq D'$ in \mathcal{L}_n iff $L_D \leq L_{D'}$

Specializing the bijection for Schnyder woods

[Bernardi, Bonichon'09]

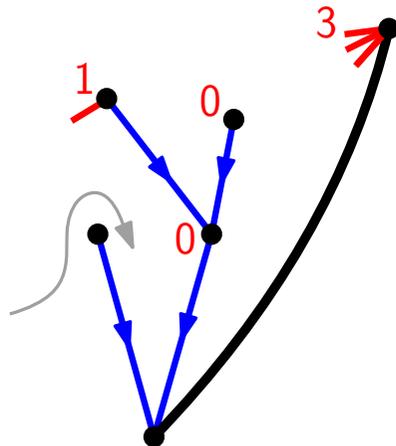
Property: A triangulation has a unique Schnyder wood with no cw cycle

Property: A non-crossing pair of Dyck paths is an interval in \mathcal{L}_n iff the corresponding Schnyder wood has no cw cycle

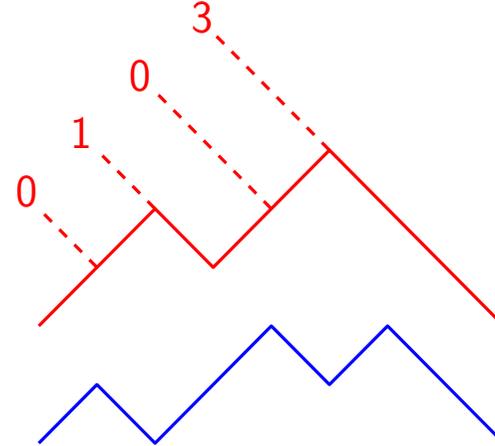


has a cw cycle

\Rightarrow



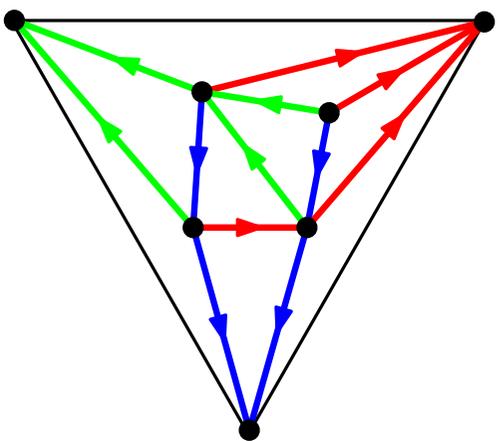
\Rightarrow



length-vectors

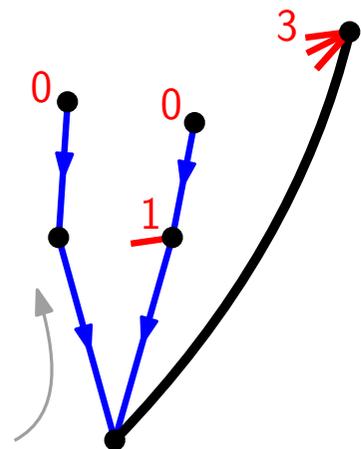
4 1 2 1

1 3 1 1

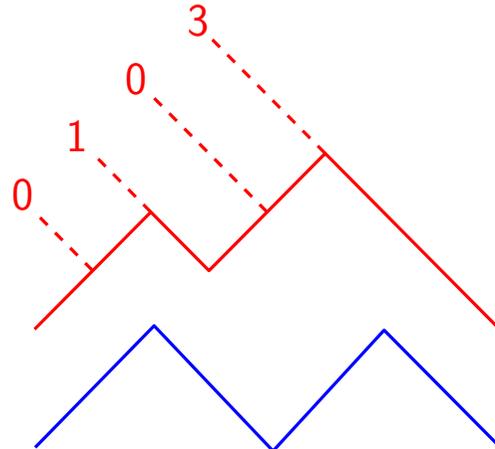


no cw cycle

\Rightarrow



\Rightarrow



length-vectors

4 1 2 1

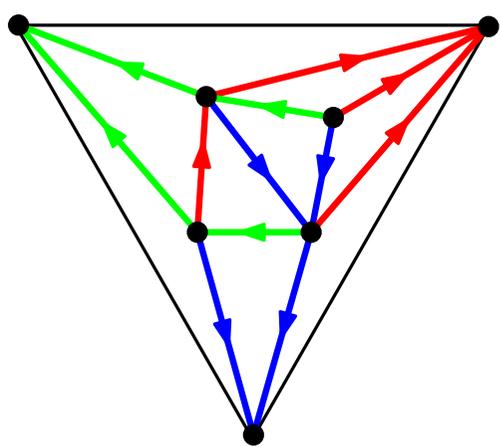
2 1 2 1

Specializing the bijection for Schnyder woods

[Bernardi, Bonichon'09]

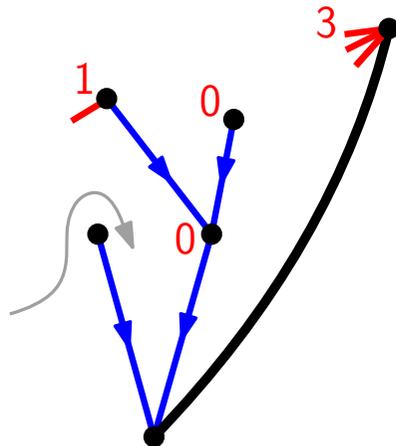
Property: A triangulation has a unique Schnyder wood with no cw cycle

Property: A non-crossing pair of Dyck paths is an interval in \mathcal{L}_n iff the corresponding Schnyder wood has no cw cycle

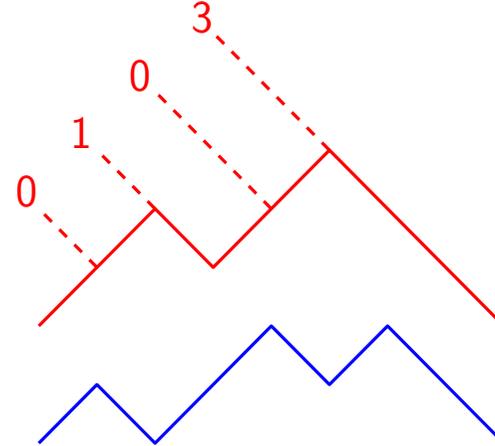


has a cw cycle

\Rightarrow



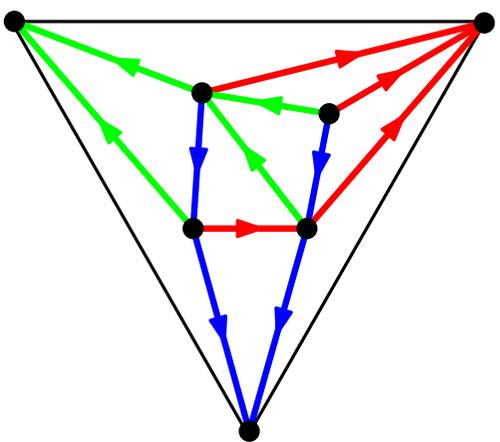
\Rightarrow



length-vectors

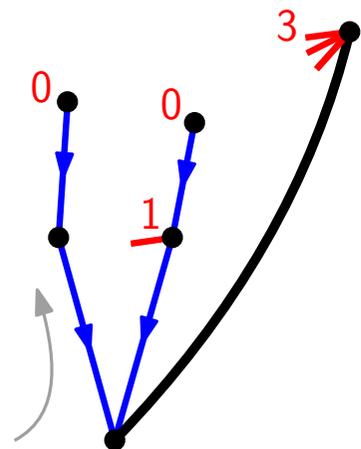
4 1 2 1

1 3 1 1

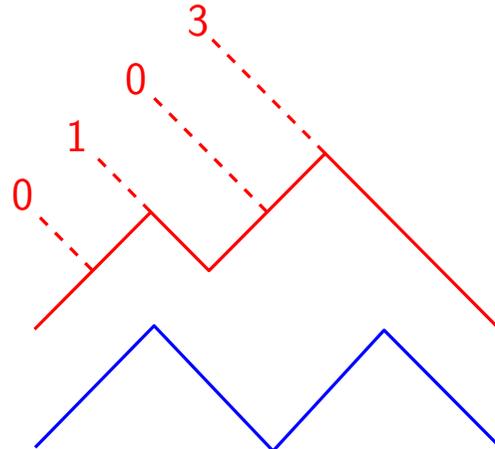


no cw cycle

\Rightarrow



\Rightarrow



length-vectors

4 1 2 1

2 1 2 1

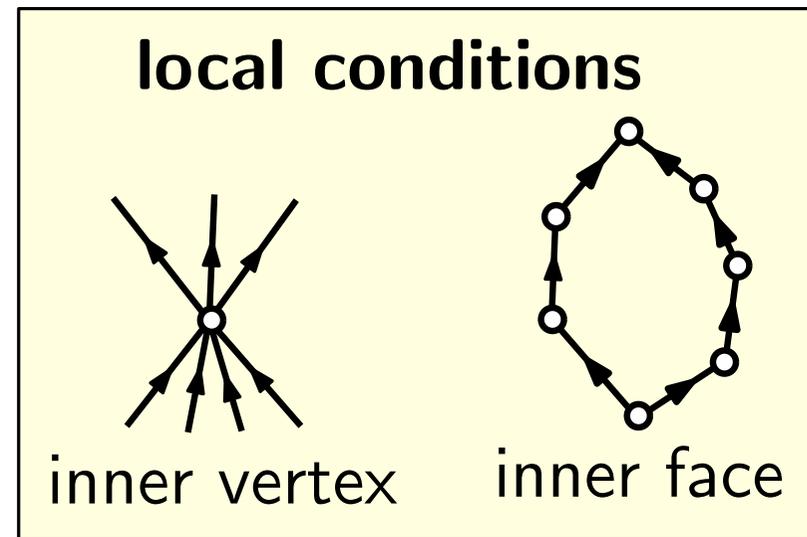
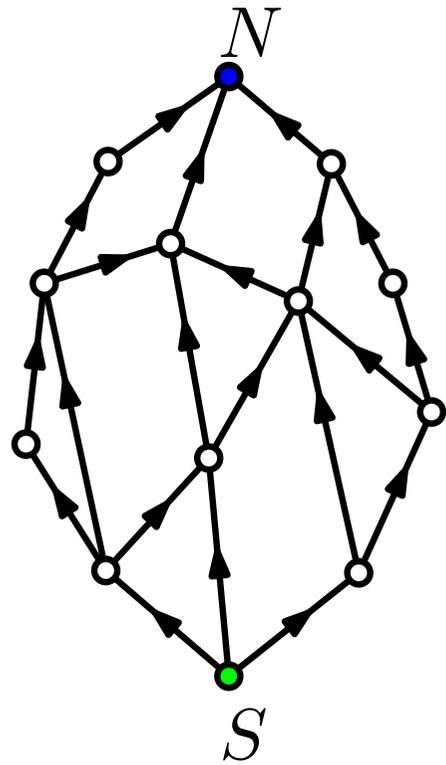
\Rightarrow intervals in \mathcal{L}_n are in bijection with simple triangulations with $n + 3$ vertices

Bipolar orientations

Definition

Let M be a planar map with two marked outer vertices S, N

Bipolar orientation of $M =$ acyclic orientation of M
with S the unique source
and N the unique sink



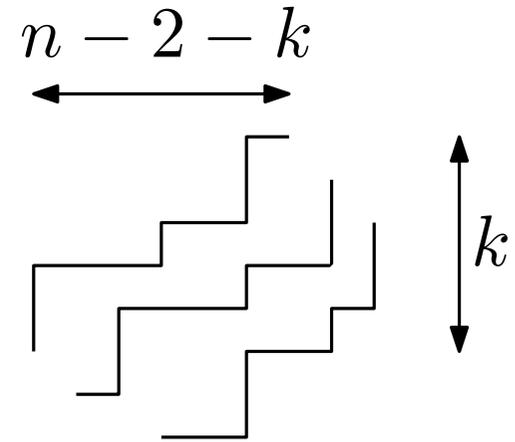
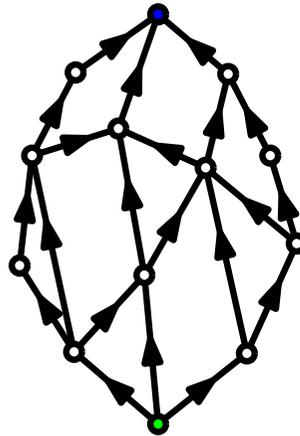
Enumeration by edges

The number b_n of bipolar orientations with $n - 1$ edges is

$$b_n = \frac{2}{n^2(n+1)} \sum_{k=0}^{n-1} \binom{n+1}{r-1} \binom{n+1}{r} \binom{n+1}{r+1} \quad \text{Baxter numbers}$$

cf bijections

$k + 2$ vertices
 $n - k$ faces



+ Gessel-Viennot lemma

b_n also counts many other classes (pattern-avoiding permutations, square tilings, etc.)

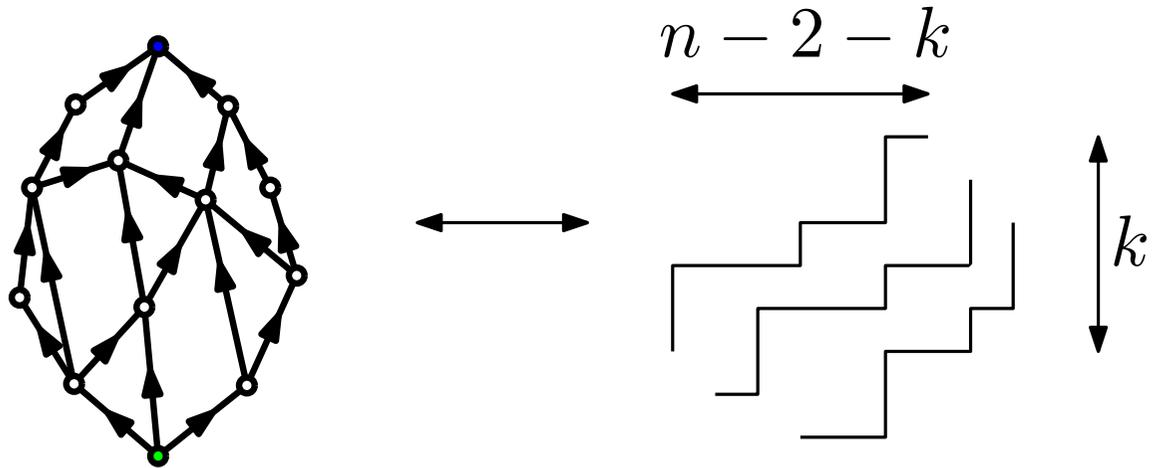
Enumeration by edges

The number b_n of bipolar orientations with $n - 1$ edges is

$$b_n = \frac{2}{n^2(n+1)} \sum_{k=0}^{n-1} \binom{n+1}{r-1} \binom{n+1}{r} \binom{n+1}{r+1} \quad \text{Baxter numbers}$$

cf bijections

$k + 2$ vertices
 $n - k$ faces



+ Gessel-Viennot lemma

b_n also counts many other classes (pattern-avoiding permutations, square tilings, etc.)

Asymptotics: $b_n \sim \frac{2^5}{\pi\sqrt{3}} 8^n n^{-4}$

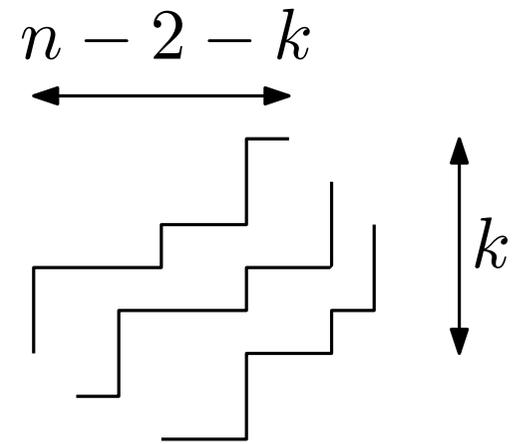
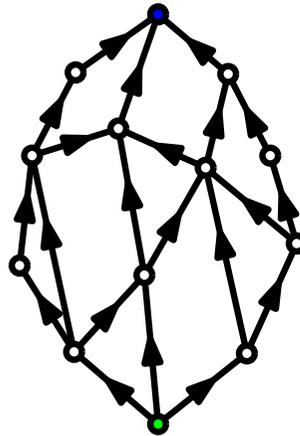
Enumeration by edges

The number b_n of bipolar orientations with $n - 1$ edges is

$$b_n = \frac{2}{n^2(n+1)} \sum_{k=0}^{n-1} \binom{n+1}{r-1} \binom{n+1}{r} \binom{n+1}{r+1} \quad \text{Baxter numbers}$$

cf bijections

$k + 2$ vertices
 $n - k$ faces



+ Gessel-Viennot lemma

b_n also counts many other classes (pattern-avoiding permutations, square tilings, etc.)

Asymptotics: $b_n \sim \frac{2^5}{\pi\sqrt{3}} 8^n n^{-4}$

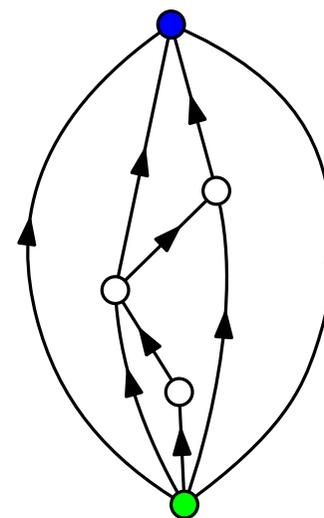
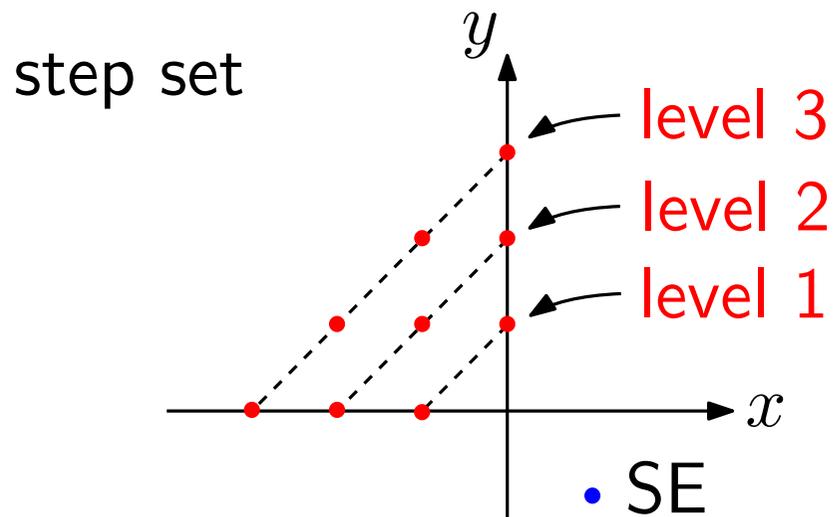
We show a bijection by Kenyon, Miller, Sheffield and Wilson

with lattice walks in quadrant (+control on face degrees)

explains universality of n^{-4} for bipolar ori. + appli. to lattice walk enumeration

The Kenyon et al. bijection

tandem walks



Tandem walks in quadrant (start & end at 0) $\xleftrightarrow{\text{bijection}}$ bipolar orientations inside bi-gon

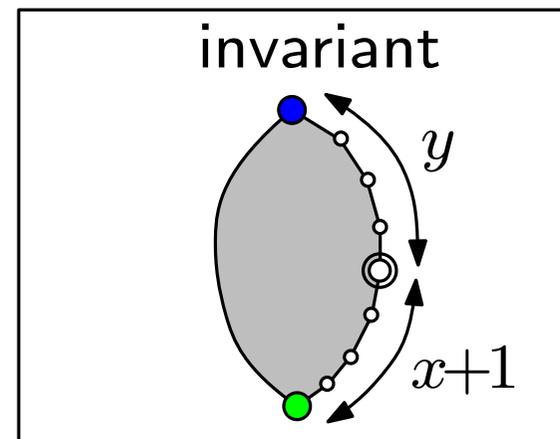
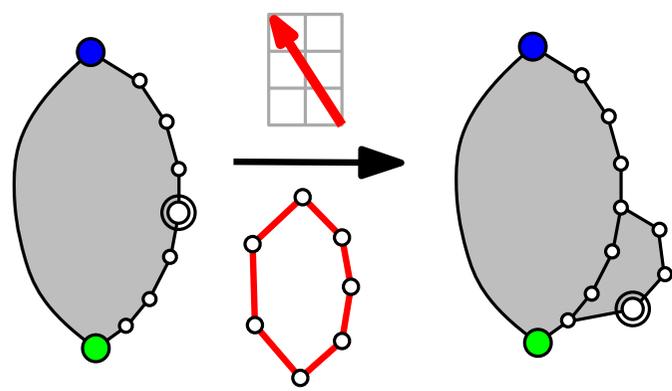
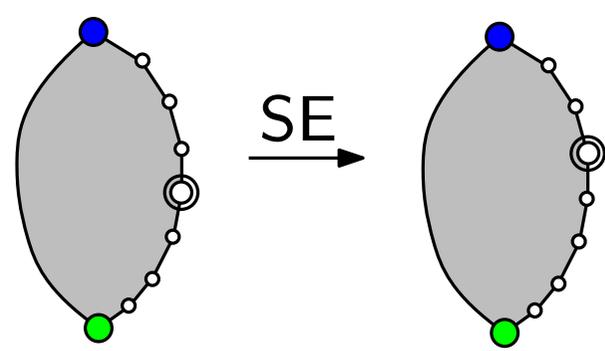
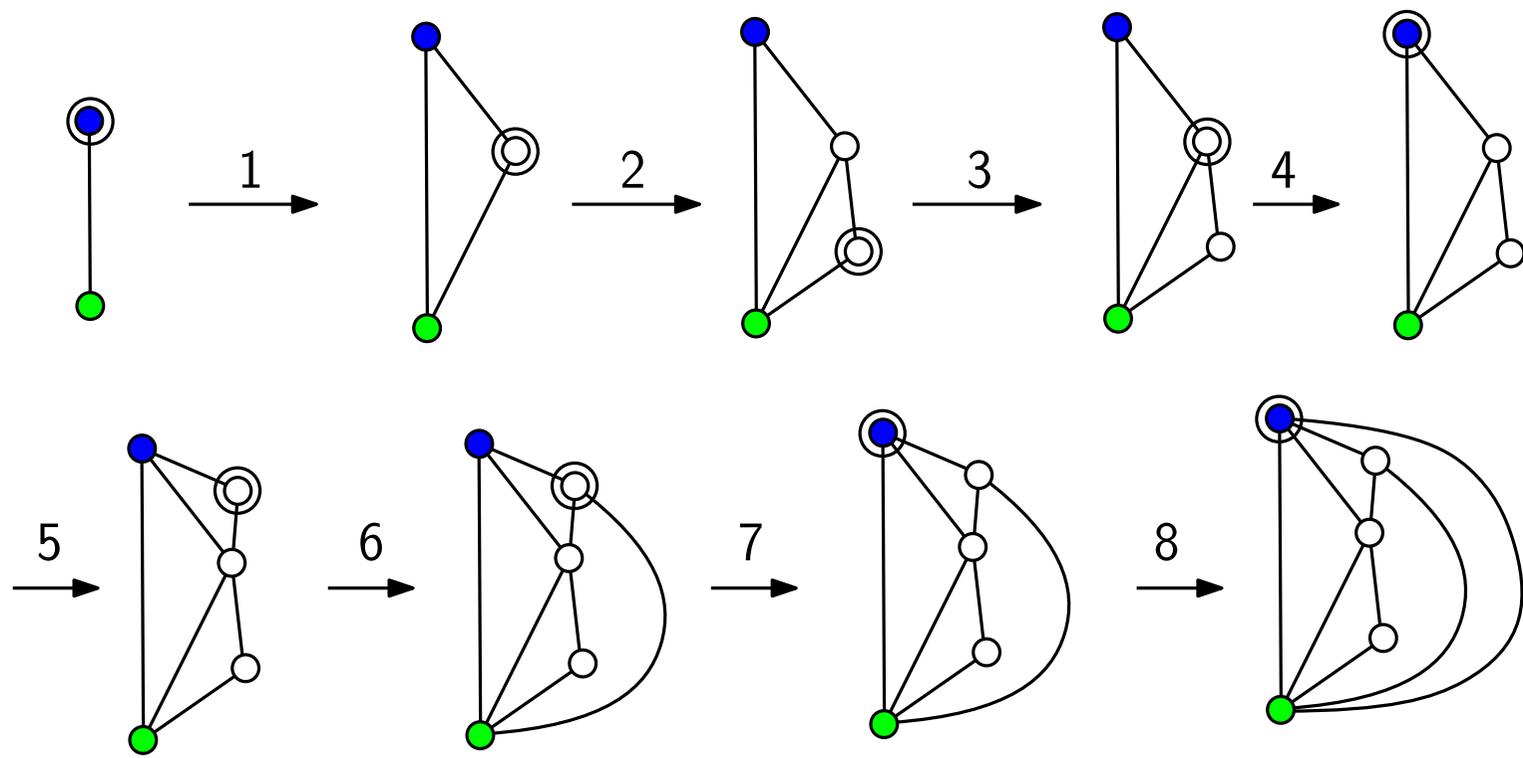
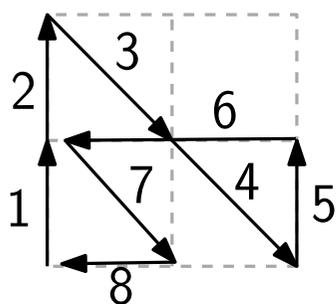
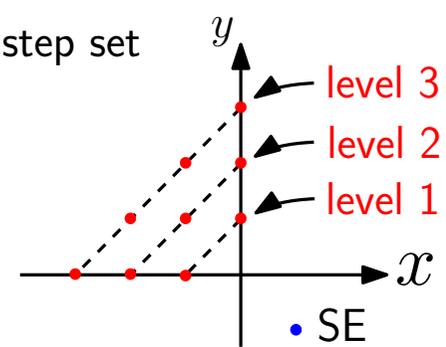
length n \longleftrightarrow $n + 1$ edges

step level r \longleftrightarrow inner face of degree $r + 2$

SE step \longleftrightarrow vertex $\notin \{S, N\}$

The Kenyon et al. bijection

step set



Consequences of the bijection

- The linear mapping that sends $\square_{\pi/2}$ to $\triangle_{\pi/3}$

turns the covariance matrix of step-set to I_2

\Rightarrow universality of the subexponential order n^{-4} for bipolar orientations

Consequences of the bijection

- The linear mapping that sends $\left\lfloor \pi/2 \right.$ to $\left. / \pi/3 \right.$

turns the covariance matrix of step-set to I_2

\Rightarrow universality of the subexponential order n^{-4} for bipolar orientations

- Let $Q(t; z_1, z_2, \dots)$ be the GF of tandem walks in the quadrant
(starting at the origin, free endpoint)
with t for the length, z_r for steps of level r

Then $Q(t; z_1, z_2, \dots)$ also counts tandem walks in upper half-plane $\{y \geq 0\}$
(starting at 0, ending at $\{y = 0\}$)

Consequences of the bijection

- The linear mapping that sends $\boxed{\pi/2}$ to $\triangleleft \pi/3$

turns the covariance matrix of step-set to I_2

\Rightarrow universality of the subexponential order n^{-4} for bipolar orientations

- Let $Q(t; z_1, z_2, \dots)$ be the GF of tandem walks in the quadrant
(starting at the origin, free endpoint)
with t for the length, z_r for steps of level r

Then $Q(t; z_1, z_2, \dots)$ also counts tandem walks in upper half-plane $\{y \geq 0\}$
(starting at 0, ending at $\{y = 0\}$)

$\Rightarrow Y \equiv t Q(t)$ is given by $Y = t \cdot (1 + w_0 Y + w_1 Y^2 + w_2 Y^3 + \dots)$
where $w_i = z_i + z_{i+1} + z_{i+2} + \dots$

Consequences of the bijection

- The linear mapping that sends $\boxed{\pi/2}$ to $\triangleleft \pi/3$

turns the covariance matrix of step-set to I_2

\Rightarrow universality of the subexponential order n^{-4} for bipolar orientations

- Let $Q(t; z_1, z_2, \dots)$ be the GF of tandem walks in the quadrant
(starting at the origin, free endpoint)
with t for the length, z_r for steps of level r

Then $Q(t; z_1, z_2, \dots)$ also counts tandem walks in upper half-plane $\{y \geq 0\}$
(starting at 0, ending at $\{y = 0\}$)

$\Rightarrow Y \equiv t Q(t)$ is given by $Y = t \cdot (1 + w_0 Y + w_1 Y^2 + w_2 Y^3 + \dots)$
where $w_i = z_i + z_{i+1} + z_{i+2} + \dots$

proof using the extended version of the bijection

(also possible by kernel method for walks with large steps [Bostan, Bousquet-Mélou, Melczer'18])