



Combinatoire algébrique et calcul symbolique

Focus scientifique — *Logique combinatoire et treillis*

S. Giraud

15 mars 2022

Laboratoire d'Informatique Gaspard-Monge
UMR 8049

Some combinatorial objects

Words

Let $A := \{\mathbf{a}, \mathbf{b}\}$ be an alphabet and A^* be the combinatorial collection of the **words** on A .

$$A^*(0) = \{\epsilon\}, \quad A^*(1) = \{\mathbf{a}, \mathbf{b}\}, \quad A^*(2) = \{\mathbf{aa}, \mathbf{ab}, \mathbf{ba}, \mathbf{bb}\}.$$

Permutations

Let \mathfrak{S} be the combinatorial collection of the **permutations**.

$$\mathfrak{S}(0) = \{\epsilon\}, \quad \mathfrak{S}(1) = \{1\}, \quad \mathfrak{S}(2) = \{12, 21\}, \quad \mathfrak{S}(3) = \{123, 132, 213, 231, 312, 321\}.$$

Binary trees

Let \mathfrak{B} be the combinatorial collection of the **binary trees**.

$$\mathfrak{B}(0) = \left\{ \begin{array}{c} \square \end{array} \right\}, \quad \mathfrak{B}(1) = \left\{ \begin{array}{c} \circ \\ \square \end{array} \right\}, \quad \mathfrak{B}(2) = \left\{ \begin{array}{c} \circ \\ \swarrow \quad \searrow \\ \square \quad \square \end{array} \right\}, \quad \mathfrak{B}(3) = \left\{ \begin{array}{c} \circ \\ \swarrow \quad \searrow \\ \begin{array}{c} \circ \\ \swarrow \quad \searrow \\ \square \quad \square \end{array} \quad \begin{array}{c} \circ \\ \swarrow \quad \searrow \\ \square \quad \square \end{array} \end{array} \right\}.$$

Combinatorial collections

A **combinatorial collection** (**CC**) is a set C endowed with a map

$$| - | : C \rightarrow \mathbb{N}$$

such that for any $n \in \mathbb{N}$, the set $C(n) := \{x \in C : |x| = n\}$ is finite.

Some usual questions

1. **Enumerate** the elements of $C(n)$;
2. **Generate exhaustively** the elements of $C(n)$;
3. **Randomly generate** an element of $C(n)$;
4. **Design transformations** between two CCs.

Examples

1. $\#\mathcal{B}(n) = \frac{1}{n+1} \binom{2n}{n}$;
2. Gray codes on binary trees [**Proskurowski, Ruskey, 1985**];
3. Rémy algorithm [**Rémy, 1985**];
4. Insertion of $\sigma \in \mathfrak{S}$ into a binary search tree.

Combinatory logic

Combinatory logic is a model of computation [Schönfinkel, 1924].

Its objects are **terms**.

They are binary trees where leaves are labeled by constants **X** or by variables $i \in \{1, 2, \dots\}$.

Example

$A(2A)(B23)$ is a term on the constants **A** and **B**.

Its tree representation is

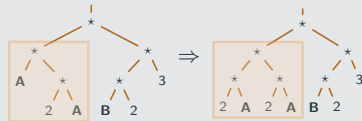


A computation step consists in transforming a term by applying a local transformation specified by **rewrite rules**.

Example

Let the rule $A1 \rightarrow 11$.

$(\underline{A(2A)})(B23) \Rightarrow ((\underline{2A})(\underline{2A}))(B23)$ holds.



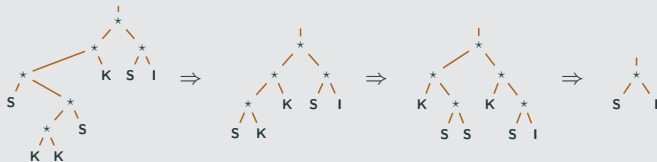
The S, K, I-system

Let the system [Curry, 1930] made on the three constants **S**, **K**, and **I**, satisfying

$$\mathbf{S} \, 1 \, 2 \, 3 \rightarrow 1 \, 3 \, (2 \, 3), \quad \mathbf{K} \, 1 \, 2 \rightarrow 1, \quad \mathbf{I} \, 1 \rightarrow 1.$$

Example

Here is a sequence of computation:



This CLS is Turing-complete: there are algorithms to emulate any λ -term by a term of this CLS. These are **abstraction algorithms** [Rosser, 1955], [Curry, Feys, 1958].

Some important combinators

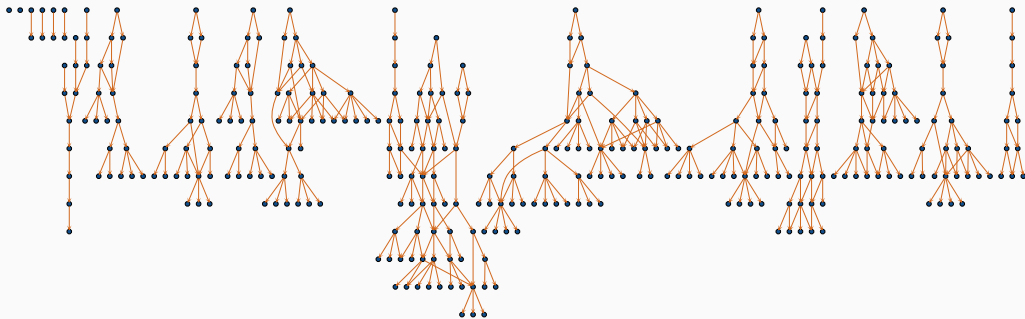
In *To Mock a Mockingbird: and Other Logic Puzzles* [Smullyan, 1985], a large number of constants with rules are listed, forming the **Enchanted forest of combinator birds**.

Here is a sublist:

- **Identity bird**: $I\ 1 \rightarrow 1$
- **Mockingbird**: $M\ 1 \rightarrow 1\ 1$
- **Kestrel**: $K\ 1\ 2 \rightarrow 1$
- **Thrush**: $T\ 1\ 2 \rightarrow 2\ 1$
- **Mockingbird 1**: $M_1\ 1\ 2 \rightarrow 1\ 1\ 2$
- **Warbler**: $W\ 1\ 2 \rightarrow 1\ 2\ 2$
- **Lark**: $L\ 1\ 2 \rightarrow 1\ (2\ 2)$
- **Owl**: $O\ 1\ 2 \rightarrow 2\ (1\ 2)$
- **Turing bird**: $U\ 1\ 2 \rightarrow 2\ (1\ 1\ 2)$
- **Cardinal**: $C\ 1\ 2\ 3 \rightarrow 1\ 3\ 2$
- **Vireo**: $V\ 1\ 2\ 3 \rightarrow 3\ 1\ 2$
- **Bluebird**: $B\ 1\ 2\ 3 \rightarrow 1\ (2\ 3)$
- **Starling**: $S\ 1\ 2\ 3 \rightarrow 1\ 3\ (2\ 3)$
- **Jay**: $J\ 1\ 2\ 3\ 4 \rightarrow 1\ 2\ (1\ 4\ 3)$

Rewrite graph of L

For $L_{12} \rightarrow 1(22)$, here is rewriting the graph of terms on L from closed terms of degrees up to 5 and up to 4 rewritings:

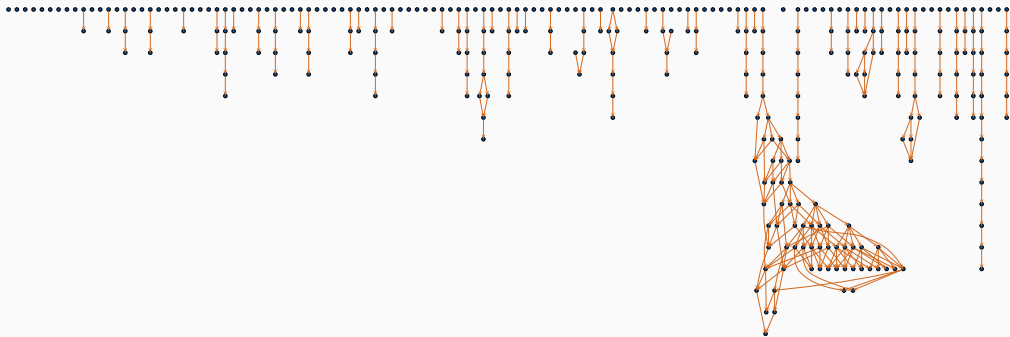


This is a poset.

Interval are conjectured to be lattices.

Rewrite graph of S

For $S \ 123 \rightarrow 13(23)$, here is the rewriting graph of terms on S from closed terms of degrees up to 6 and up to 11 rewritings:



This is a poset (consequence of [Bergstra, Klop, 1979]).

Interval are conjectured to be lattices.

Algorithmic questions

Let \mathcal{C} be a system.

Word problem

Is there an algorithm to decide, given two terms t and t' of \mathcal{C} , if t and t' belong to the same connected component? (See [Baader, Nipkow, 1998], [Statman, 2000].)

- Yes for the system on **L** [Statman, 1989], [Sprenger, Wymann-Böni, 1993].
- Yes for the system on **W** [Sprenger, Wymann-Böni, 1993].
- Yes for the system on **M₁** [Sprenger, Wymann-Böni, 1993].
- **Open** for the system on **S** [RTA Problem #97, 1975].

Strong normalization problem

Is there an algorithm to decide, given a term t of \mathcal{C} , if t if every computation starting from t terminates?

- Yes for the system on **S** [Waldmann, 2000].
- Yes for the system on **J** [Probst, Studer, 2000].

Combinatorial questions

If \mathcal{C} is a system, let

- \mathfrak{T} be the set of the terms of \mathcal{C} ;
- \ll be the reflexive and transitive closure of \Rightarrow ;
- $G(\mathfrak{t})$ be the set $\{\mathfrak{t}' \in \mathfrak{T} : \mathfrak{t} \ll \mathfrak{t}'\}$.

Structure of the rewrite graphs

1. Is the preorder \ll an order relation on \mathfrak{T} ?
2. If so, are all subposets $(G(\mathfrak{t}), \ll)$ lattices for all $\mathfrak{t} \in \mathfrak{T}$?
3. Are all the connected components of the rewrite graph of \mathcal{C} finite?
4. Understand when $(G(\mathfrak{t}), \Rightarrow)$ and $(G(\mathfrak{t}'), \Rightarrow)$ are isomorphic graphs.

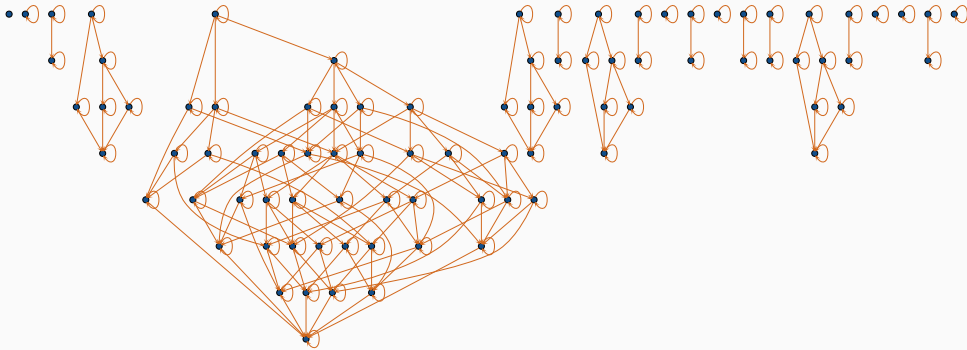
Enumerative issues

1. Enumerate the connected components of \mathcal{C} w.r.t. some size notions;
2. When for \mathfrak{t} , the connected component of \mathfrak{t} is finite, compute its number of elements and of edges;
3. When for \mathfrak{t} , $(G(\mathfrak{t}), \ll)$ is a poset, enumerate its intervals.

The Mockingbird system

The **Mockingbird system** is made on the constant **M** satisfying $M\ 1 \rightarrow 1\ 1$.

Here is a part of its rewrite graph restrained on closed terms of degrees 4 or less:



Lattices of duplicative forests

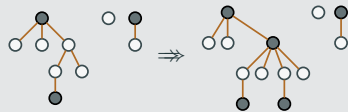
A **duplicative forest** is a forest of planar rooted trees where nodes are either black \bullet or white \circ . Let \mathcal{D}^* be the set of all duplicative forests.

For $f, g \in \mathcal{D}^*$, $f \Rightarrow g$ if g is obtained by blackening a white node of f and by duplicating its sequence of descendants.

The reflexive and transitive closure \lll of \Rightarrow is an order relation.

Let $\mathcal{D}^*(f) := \{f' \in \mathcal{D}^* : f \lll f'\}$.

Example

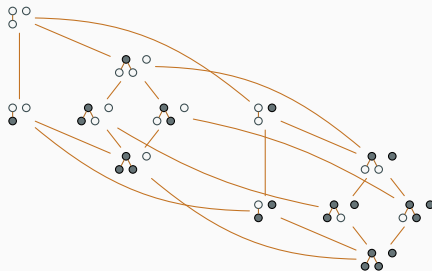
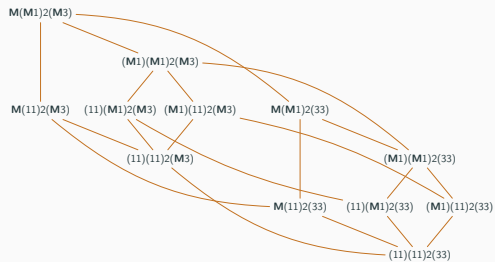


Theorem [G., 2022]

- For any $f \in \mathcal{D}^*$, $\mathcal{D}^*(f)$ is a lattice.
- For any **M**-term t , the poset $(G(t), \lll)$ is isomorphic to $(\mathcal{D}^*(f), \lll)$ for a certain $f \in \mathcal{D}^*$.

An example of a duplicative forest lattice

Here are an interval of the Mockingbird system poset and its corresponding interval of the lattice of duplicative forests:



Some enumerative results

For any duplicative forest \mathfrak{f} , let the series $\mathbf{gr}(\mathfrak{f}) = \sum_{\mathfrak{f}' \in \mathcal{D}^*(\mathfrak{f})} \mathfrak{f}'$ and $\mathbf{ns}(\mathfrak{f}) = \mathbf{gr}(\mathbf{gr}(\mathfrak{f}))$.

Example

$$\mathbf{ns}\left(\begin{array}{c} \circ \circ \\ \circ \end{array}\right) = \begin{array}{c} \circ \circ \\ \circ \end{array} + 2 \begin{array}{c} \circ \bullet \\ \circ \end{array} + 2 \begin{array}{c} \circ \circ \\ \bullet \end{array} + 4 \begin{array}{c} \circ \bullet \\ \bullet \end{array} + 2 \begin{array}{c} \bullet \circ \\ \circ \circ \end{array} + 4 \begin{array}{c} \bullet \circ \\ \circ \bullet \end{array} + 3 \begin{array}{c} \bullet \circ \\ \bullet \bullet \end{array} + 3 \begin{array}{c} \bullet \bullet \\ \circ \circ \end{array} + 6 \begin{array}{c} \bullet \bullet \\ \circ \bullet \end{array} + 6 \begin{array}{c} \bullet \bullet \\ \bullet \circ \end{array} + 6 \begin{array}{c} \bullet \bullet \\ \bullet \bullet \end{array} + 12 \begin{array}{c} \bullet \bullet \\ \bullet \bullet \end{array}$$

Theorem [G., 2022]

The generating series $F(z)$ of the cardinalities of $\mathbf{M}(d)$, the lattices of terms from $\mathbf{M}(\mathbf{M} \dots (\mathbf{M}\mathbf{M}) \dots)$ of degrees $d \geq 0$, satisfies

$$F(z) = 1 + zF(z) + z(F(z) \boxtimes F(z)).$$

The generating series $G(z)$ of intervals of $\mathbf{M}(d)$ satisfies $G(z) = G_1(z)$ where for any $k \geq 1$,

$$G_k(z) = 1 + z(G_k(z) \boxtimes G_k(z)) + z \sum_{0 \leq i \leq k} \binom{k}{i} G_{k+i}(z).$$

Coefficients of $F(z)$: 1, 1, 2, 6, 42, 1806, 3263442, ... (Sequence [A007018](#)).

Coefficients of $G(z)$: 1, 1, 3, 17, 371, 144513, 20932611523,