

# From PROs to combinatorial Hopf algebras

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# Contents

## The natural Hopf algebra of an operad

- Operads

- Combinatorial Hopf algebras

- From operads to Hopf algebras

## From PROs to bialgebras

- PROs

- From free PROs to bialgebras

- From quotients of free PROs to bialgebras

## Constructing PROs

- From operads to PROs

- From monoids to PROs

## Examples

- Noncommutative symmetric functions

- Noncommutative Faà di Bruno algebra and its deformations

- Hopf algebras of planar rooted forests

- Hopf algebras of heaps of pieces

# Outline

The natural Hopf algebra of an operad

Operads

Combinatorial Hopf algebras

From operads to Hopf algebras

# Operads

(Nonsymmetric set-)operad: triple  $(\mathcal{O}, \circ_i, \mathbf{1})$  where:

$\mathcal{O}$  is a **graded set**

$$\mathcal{O} := \bigsqcup_{n \geq 1} \mathcal{O}(n);$$

$\circ_i$  is a **composition map**

$$\circ_i : \mathcal{O}(n) \times \mathcal{O}(m) \rightarrow \mathcal{O}(n + m - 1), \quad n, m \geq 1, i \in [n];$$

$\mathbf{1}$  is an element of  $\mathcal{O}(1)$ , called **unit**.

This data has to satisfy axioms.

# Operadic axioms

For all  $x \in \mathcal{O}(n)$ ,  $y \in \mathcal{O}(m)$ , and  $z \in \mathcal{O}$ ,

Associativity:

$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z), \quad i \in [n], j \in [m];$$

Commutativity:

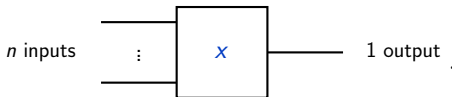
$$(x \circ_i y) \circ_{j+m-1} z = (x \circ_j z) \circ_i y, \quad 1 \leq i < j \leq n;$$

Unitarity:

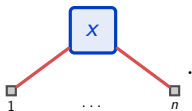
$$\mathbf{1} \circ_1 x = x = x \circ_i \mathbf{1}, \quad i \in [n].$$

# Trees and elements of operads

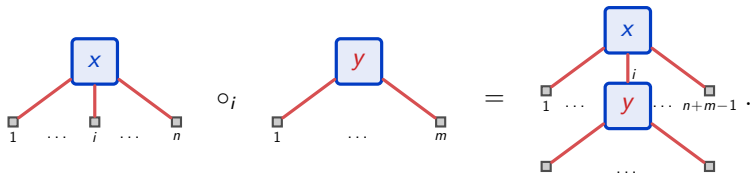
Element  $x$  of  $\mathcal{O}(n) \rightsquigarrow$  operator of arity  $n$ :



Operator  $x$  of arity  $n \rightsquigarrow$  planar rooted tree with  $n$  leaves:



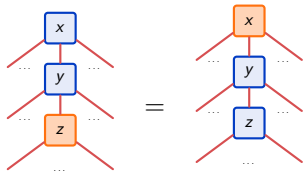
Composition map  $\rightsquigarrow$  tree grafting:



# Trees and operadic axioms

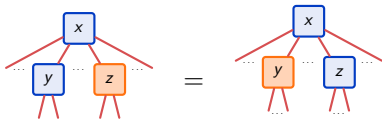
Associativity:

$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z)$$



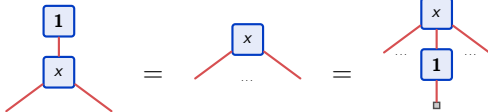
Commutativity:

$$(x \circ_i y) \circ_{j+m-1} z = (x \circ_j z) \circ_i y$$



Unitarity:

$$1 \circ_1 x = x = x \circ_i 1$$



# The associative operad

**Assoc:** associative operad.

Elements of **Assoc**( $n$ ): one formal symbol  $\alpha_n$ .

Composition:

$$\alpha_n \circ_i \alpha_m := \alpha_{n+m-1}.$$

## Example

$$\alpha_4 \circ_2 \alpha_3 = \alpha_6, \quad \alpha_1 \circ_1 \alpha_1 = \alpha_1, \quad \alpha_4 \circ_4 \alpha_1 = \alpha_4$$



# Free operads

$G := \uplus_{n \geq 1} G(n)$ : a graded set.

$\mathcal{O}_G$ : **free operad** on  $G$ .

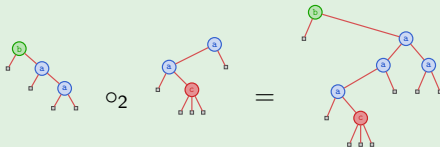
Elements of  $\mathcal{O}_G(n)$ :  $G$ -labeled planar rooted trees with  $n$  leaves.

Composition  $S \circ_i T$ : graft the root of  $T$  on the  $i$ th leaf of  $S$ .

## Example

Let  $G := G(2) \uplus G(3)$  with  $G(2) := \{a, b\}$  and  $G(3) := \{c\}$ .

$$\mathcal{O}_G(3) = \left\{ \begin{array}{c} \text{[Diagram 1]} \\ \text{[Diagram 2]} \\ \text{[Diagram 3]} \\ \text{[Diagram 4]} \\ \text{[Diagram 5]} \\ \text{[Diagram 6]} \\ \text{[Diagram 7]} \\ \text{[Diagram 8]} \end{array} \right\}$$



# Outline

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# Combinatorial Hopf algebras

Combinatorial Hopf algebra: triple  $(\mathcal{H}, \cdot, \Delta)$  where:

$\mathcal{H}$  is a graded  $\mathbb{K}$ -vector space

$$\mathcal{H} := \bigoplus_{n \geq 0} \mathcal{H}_n$$

s.t.  $\dim \mathcal{H}_0 = 1$  and the  $\mathcal{H}_n$  are finite-dimensional;

$\cdot : \mathcal{H} \otimes \mathcal{H} \rightarrow \mathcal{H}$  is a graded associative **product**;

$\Delta : \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$  is a graded coassociative **coproduct**.

This data has to satisfy some axioms including

$$\Delta(x \cdot y) = \Delta(x)\Delta(y), \quad x, y \in \mathcal{H}.$$

# The shuffle/deconcatenation Hopf algebra

Let  $\mathcal{H} := \bigoplus_{n \geq 0} \text{Vect}(\{\mathbf{a}, \mathbf{b}\}^n)$ .

Shuffle product  $\sqcup$  on  $\mathcal{H}$ .

## Example

$$\begin{aligned} \mathbf{ab} \sqcup \mathbf{aa} &= \mathbf{abaa} + \mathbf{aaba} + \mathbf{aaab} + \mathbf{aaba} + \mathbf{aaab} + \mathbf{aaab} \\ &= 3\mathbf{aaab} + 2\mathbf{aaba} + \mathbf{abaa} \end{aligned}$$

Deconcatenation coproduct  $\Delta$  on  $\mathcal{H}$ .

## Example

$$\Delta(\mathbf{baa}) = \epsilon \otimes \mathbf{baa} + \mathbf{b} \otimes \mathbf{aa} + \mathbf{ba} \otimes \mathbf{a} + \mathbf{baa} \otimes \epsilon$$

## Theorem

$(\mathcal{H}, \sqcup, \Delta)$  is a combinatorial Hopf algebra. [Malvenuto, Reutenauer, 1993]

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# The natural Hopf algebra of an operad

$\mathcal{O}$ : an operad s.t.  $\mathcal{O}(1) = \{\mathbf{1}\}$ .

Set  $\mathcal{O}^+$  as  $\mathcal{O} \setminus \mathcal{O}(1)$ .

$H(\mathcal{O})$ : the natural Hopf algebra of  $\mathcal{O}$ .

Vector space:

$$H(\mathcal{O}) := \text{Vect} \left( S_M : M \text{ finite multiset of elements of } \mathcal{O}^+ \right).$$

Product:

$$S_{M_1} \cdot S_{M_2} := S_{M_1 \cup M_2}.$$

Coproduct: the unique algebra morphism satisfying

$$\Delta \left( S_{\{x\}} \right) := \sum_{\substack{y, z_1, \dots, z_\ell \in \mathcal{O} \\ y \circ [z_1, \dots, z_\ell] = x}} S_{\{y\}} \otimes S_{\{z_1, \dots, z_\ell\}}.$$

Gradation: the degree of  $S_{\{x\}}$  is  $n - 1$  if  $x \in \mathcal{O}(n)$ .

# The natural Hopf algebra of an operad

Some properties:

$H(\mathcal{O})$  is commutative but not cocommutative in general;

$H(\mathcal{O})$  is free as a commutative algebra;

Algebraic generators of  $H(\mathcal{O})$ :  $S_{\{\{x\}\}}$ , where  $x \in \mathcal{O}^+$ .

Construction considered in several works as [van der Laan, 2004], [Chapoton, Livernet, 2007], [Frabetti, 2008], [Méndez, Liendo, 2013].

# The natural Hopf algebra of **Assoc**

Bases of  $H(\mathbf{Assoc})$ : indexed by finite multisets of elements of  $\mathbf{Assoc}^+$ .  
Indexes encoded by nonincreasing words on  $\mathbb{N} \setminus \{0, 1\}$ .

## Example

$$S_{\{\{\alpha_2, \alpha_2, \alpha_4, \alpha_5\}\}} \longrightarrow S_{5422}$$

| Degree | Basis elements of $H(\mathbf{Assoc})$    |
|--------|------------------------------------------|
| 0      | $S_\epsilon$                             |
| 1      | $S_2$                                    |
| 2      | $S_3, S_{22}$                            |
| 3      | $S_4, S_{32}, S_{222}$                   |
| 4      | $S_5, S_{42}, S_{33}, S_{322}, S_{2222}$ |

## Example

$$S_{22} \cdot S_{32} = S_{3222}$$

## Example

$$\Delta(S_4) = S_\epsilon \otimes S_4 + S_2 \otimes S_{22} + 2S_2 \otimes S_3 + 3S_3 \otimes S_2 + S_4 \otimes S_\epsilon$$

$H(\mathbf{Assoc})$  is the **Faà di Bruno Hopf algebra**  $FdB$ .



# Outline

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PROs

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From quotients of free PROs to bialgebras

# PROs

**PRO**: quadruple  $(\mathcal{P}, *, \circ, \mathbf{1}_p)$  where:

$\mathcal{P}$  is a **biggraded set**

$$\mathcal{P} := \bigsqcup_{p \geq 0} \bigsqcup_{q \geq 0} \mathcal{P}(p, q);$$

$*$  is a **horizontal composition map**

$$* : \mathcal{P}(p, q) \times \mathcal{P}(p', q') \rightarrow \mathcal{P}(p + p', q + q'), \quad p, p', q, q' \geq 0;$$

$\circ$  is a **vertical composition map**

$$\circ : \mathcal{P}(q, r) \times \mathcal{P}(p, q) \rightarrow \mathcal{P}(p, r), \quad p, q, r \geq 0;$$

$\mathbf{1}_p$  is for any  $p \geq 0$  an element of  $\mathcal{P}(p, p)$ , called **unit of arity  $p$** .

This data has to satisfy axioms.

## PROs axioms

For all  $x, y, z, t \in \mathcal{P}$ , when they make sense, the following six relations must be satisfied:

Horizontal associativity:

$$(x * y) * z = x * (y * z);$$

Vertical associativity:

$$(x \circ y) \circ z = x \circ (y \circ z);$$

Interchange relation:

$$(x \circ y) * (z \circ t) = (x * z) \circ (y * t);$$

Unitarity relations:

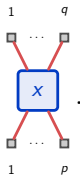
$$\mathbf{1}_p * \mathbf{1}_q = \mathbf{1}_{p+q};$$

$$x * \mathbf{1}_0 = x = \mathbf{1}_0 * x;$$

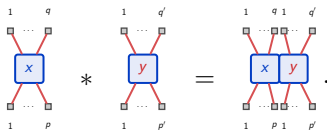
$$x \circ \mathbf{1}_p = x = \mathbf{1}_q \circ x.$$

# Operators and elements of PROs

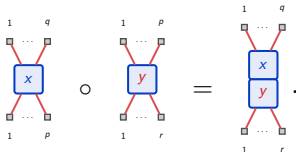
Element  $x$  of  $\mathcal{P}(p, q) \rightsquigarrow$  operator with  $p$  inputs and  $q$  outputs:



Horizontal composition:



Vertical composition:



# The PRO of maps

**Map**: PRO of maps.

Elements of **Map**( $p, q$ ): maps from  $[p]$  to  $[q]$  (encoded by words).

Horizontal composition: shifted concatenation.

Vertical composition: map composition.

## Example

Let  $x := 3115 \in \mathbf{Map}(4, 5)$  and  $y := 133 \in \mathbf{Map}(3, 9)$ . Then,

$$x * y = 3115688.$$

## Example

Let  $x := 1224244 \in \mathbf{Map}(7, 6)$  and  $y := 3312 \in \mathbf{Map}(4, 7)$ . Then,

$$x \circ y = 2212.$$

# Free PROs

$G := \uplus_{p \geq 1} \uplus_{q \geq 1} G(p, q)$ : a bigraded set.

$\mathcal{P}_G$ : free PRO on  $G$ .

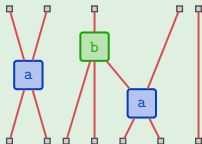
Elements of  $\mathcal{P}_G(p, q)$ : operators built from formal operators labeled on  $G$  with  $p$  inputs and  $q$  outputs.

Horizontal composition: concatenation of operators.

Vertical composition: composition of operators.

## Example

Let  $G := G(2, 2) \uplus G(3, 1)$  with  $G(2, 2) := \{\mathbf{a}\}$  and  $G(3, 1) := \{\mathbf{b}\}$ .  
Then,



is an element of  $\mathcal{P}_G(7, 5)$ .

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# Maximal decompositions

$\mathcal{P}$ : a free PRO.

Maximal decomposition  $\text{dec}(x)$  of  $x \in \mathcal{P}$ : word  $(y_1, \dots, y_\ell)$  s.t.

$$x = y_1 * \dots * y_\ell$$

where  $\ell$  is maximal and  $y_i \neq \mathbf{1}_0$  for all  $i \in [\ell]$ .

Since  $(\mathcal{P}, *, \mathbf{1}_0)$  is free as a monoid,  $\text{dec}(x)$  is unique.

## Example

$$\text{dec}(\mathbf{1}_0) = \epsilon, \quad \text{dec}(\mathbf{1}_1) = (\mathbf{1}_1), \quad \text{dec}(\mathbf{1}_2) = (\mathbf{1}_1, \mathbf{1}_1)$$

## Example

$$\text{dec} \left( \begin{array}{c} \text{Diagram 1} \end{array} \right) = \left( \begin{array}{c} \text{Diagram 2} \end{array}, \begin{array}{c} \text{Diagram 3} \end{array}, \begin{array}{c} \text{Diagram 4} \end{array} \right)$$

The diagram on the left represents the product of three elements: a blue box 'a', a green box 'b', and another blue box 'a'. Each box has two input and two output wires. The diagram on the right shows the decomposition of this product into three separate components: the first blue box 'a', the green box 'b', and the second blue box 'a', each with its own set of input and output wires.



## Reduced elements

$\mathcal{P}$ : a free PRO.

$x \in \mathcal{P}$  is **reduced** if all letters of  $\text{dec}(x)$  are different from  $\mathbf{1}_1$ .

Reduction  $\text{red}(x)$  of  $x$ :

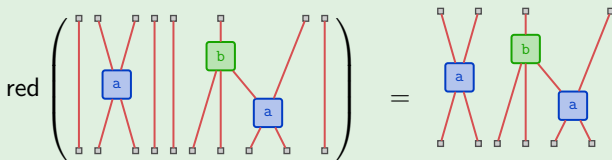
$$\text{red}(x) := z_1 * \cdots * z_k,$$

where  $(z_1, \dots, z_k)$  is the longest subword of  $\text{dec}(x)$  s.t.  $z_i \neq \mathbf{1}_1$ ,  $i \in [k]$ .

### Example

$\mathbf{1}_0$  is reduced;  $\mathbf{1}_1$  and  $\mathbf{1}_2$  are not reduced;  $\text{red}(\mathbf{1}_9) = \mathbf{1}_0$ .

### Example



# The bialgebra of a free PRO

$\mathcal{P}$ : a free PRO.

Set  $\text{red}(\mathcal{P})$  as the set of reduced elements of  $\mathcal{P}$ .

$H(\mathcal{P})$ : the bialgebra of  $\mathcal{P}$ .

Vector space:

$$H(\mathcal{P}) := \text{Vect}(\mathbf{s}_x : x \in \text{red}(\mathcal{P})).$$

Product:

$$\mathbf{s}_x \cdot \mathbf{s}_y := \mathbf{s}_{x*y}.$$

Coproduct:

$$\Delta(\mathbf{s}_x) := \sum_{\substack{y, z \in \mathcal{P} \\ y \circ z = x}} \mathbf{s}_{\text{red}(y)} \otimes \mathbf{s}_{\text{red}(z)}.$$

# The bialgebra of a free PRO

## Example

$$S \cdot S = S$$

## Example

$$\Delta S = S_{10} \otimes S + 2S \otimes S + S \otimes S_{10}$$

## Example

$$\Delta S = S_{10} \otimes S + S \otimes S + S \otimes S + S \otimes S + S \otimes S + S \otimes S_{10}$$

# First properties

## Theorem

Let  $\mathcal{P}$  be a free PRO. Then,  $H(\mathcal{P})$  is a bialgebra.

An element  $x \in \mathcal{P}$  is **indecomposable** if  $|\text{dec}(x)| = 1$ .

## Proposition

Let  $\mathcal{P}$  be a free PRO. Then,  $H(\mathcal{P})$  is freely generated as an algebra by the  $\mathbf{S}_x$ , where the  $x$  are indecomposable and reduced elements of  $\mathcal{P}$ .

In general,  $H(\mathcal{P})$  is neither commutative nor cocommutative.

The coproduct of  $H(\mathcal{P})$  is not multiplicity-free on the  $\mathbf{S}$  basis.

# Gradation

$\mathcal{P}_G$ : free PRO on  $G$ .

$w : G \rightarrow \mathbb{N}$ : map.

$w$ -weight  $\omega_w(x)$  of  $x \in \mathcal{P}_G$ :

$$\omega_w(x) := \sum_{g \in x} w(g).$$

## Proposition

Let  $\mathcal{P}_G$  be a free PRO on  $G$  and  $w : G \rightarrow \mathbb{N}$  be a map. If the following two conditions are satisfied:

1. for any  $g \in G$ ,  $w(g) \geq 1$ ;
2. for any  $n \geq 1$ , the fiber  $w^{-1}(n)$  is finite;

then,  $H(\mathcal{P})$  endowed with the gradation

$$H(\mathcal{P}) = \bigoplus_{n \geq 0} \text{Vect}(\mathbf{S}_x : x \in \text{red}(\mathcal{P}) \text{ and } \omega_w(x) = n)$$

is a combinatorial Hopf algebra.

# Antipode

## Proposition

Let  $\mathcal{P}$  be a free PRO. Then, for any reduced  $x$  of  $\mathcal{P}$  of different from  $\mathbf{1}_0$ , the antipode  $\nu$  of  $H(\mathcal{P})$  satisfies

$$\nu(\mathbf{S}_x) = \sum_{\substack{x_1, \dots, x_\ell \in \mathcal{P}, \ell \geq 1 \\ x_1 \circ \dots \circ x_\ell = x \\ \text{red}(x_i) \neq \mathbf{1}_0, i \in [\ell]}} (-1)^\ell \mathbf{S}_{\text{red}(x_1 * \dots * x_\ell)}.$$

## Example

The diagrammatic equation illustrates the antipode of a product of two generators. On the left, the antipode of the product of two generators,  $\nu(\mathbf{S}_{ab})$ , is shown as a blue box 'a' connected to a green box 'b' via a red line, with four external red lines. This is equal to the sum of four terms, each representing a different way to decompose the product into a sum of products of generators, with alternating signs:  $-\mathbf{S}_{ab} + \mathbf{S}_{a \circ a} + \mathbf{S}_{a \circ b} - \mathbf{S}_{a \circ a \circ b}$ . Each term is represented by a diagram with blue boxes 'a' and green boxes 'b' connected by red lines, with four external red lines.

# Outline

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PROs

From free PROs to bialgebras

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# Congruences of PROs

$\mathcal{P}$ : a PRO.

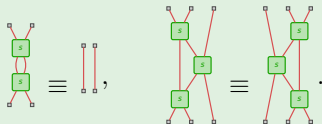
**Congruence of PRO**: equivalence relation  $\equiv$  on  $\mathcal{P}$  s.t.

1.  $x \equiv x'$  and  $x \in \mathcal{P}(p, q)$  imply  $x' \in \mathcal{P}(p, q)$ ;
2.  $x \equiv x'$  and  $y \equiv y'$  imply  $x * y \equiv x' * y'$ ;
3.  $x \equiv x'$  and  $y \equiv y'$  and  $x \circ y$  well-defined imply  $x \circ y \equiv x' \circ y'$ .

$\mathcal{P}/\equiv$ : **quotient of  $\mathcal{P}$  by  $\equiv$**  defined in the usual way.

## Example

Let  $\mathbf{Per} := \mathcal{P}/\equiv$  be the quotient of the free PRO  $\mathcal{P}$  on  $G := G(2, 2) := \{s\}$  by the finest congruence  $\equiv$  satisfying



**Per** is the PRO of permutations and  $\equiv$  is a congruence of PROs.



# Good congruences of PROs

$\mathcal{P}$ : a free PRO.

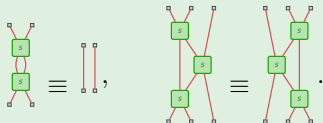
Good congruence of  $\mathcal{P}$ : congruence  $\equiv$  on  $\mathcal{P}$  s.t.

1. for any  $x \in \text{red}(\mathcal{P})$ , all the elements of  $[x]_{\equiv}$  are reduced;
2. for any  $x, y \in \text{red}(\mathcal{P})$  s.t.  $x \equiv y$ ,  $\text{dec}(x)$  and  $\text{dec}(y)$  have the same length  $\ell$  and for any  $i \in [\ell]$ ,  $x_i \equiv y_i$ .

$\mathcal{Q}$  is a **good PRO** if it is the quotient of a free PRO by a good congruence.

## Example

**Per** is the quotient of the free PRO on  $G := G(2, 2) := \{s\}$  by the finest congruence  $\equiv$  satisfying



**Per** is **not** a good PRO since  $\equiv$  does not satisfy 1.

# The bialgebra of a good PRO

$\mathcal{P}$ : a free PRO.

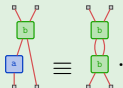
$\equiv$ : a good congruence of  $\mathcal{P}$ .

For any  $x \in \text{red}(\mathcal{P})$ , set

$$\mathbf{T}_{[x]_{\equiv}} := \sum_{y \in [x]_{\equiv}} \mathbf{S}_y.$$

## Example

Let  $\mathcal{P}$  be the quotient of the free PRO on  $G := G(1,1) \uplus G(2,2)$  with  $G(1,1) := \{\mathbf{a}\}$  and  $G(2,2) := \{\mathbf{b}\}$  by the finest congruence  $\equiv$  satisfying



One has

$$\mathbf{T} \left[ \begin{array}{c} \text{diagram 1} \\ \text{diagram 2} \end{array} \right]_{\equiv} = \mathbf{S} \left[ \text{diagram 3} \right] + \mathbf{S} \left[ \text{diagram 4} \right] + \mathbf{S} \left[ \text{diagram 5} \right] + \mathbf{S} \left[ \text{diagram 6} \right].$$

# The bialgebra of a good PRO

## Theorem

Let  $\mathcal{P}$  be a free PRO and  $\equiv$  be a good congruence of  $\mathcal{P}$ . Then, the family

$$\{\mathbf{T}_{[x]_{\equiv}} : x \in \text{red}(\mathcal{P})\}$$

spans a sub-bialgebra of  $\mathbf{H}(\mathcal{P})$ , denoted by  $\mathbf{H}(\mathcal{P}/\equiv)$ .

Some easy properties:

$\mathbf{H}(\mathcal{P}/\equiv)$  is freely generated as an algebra by the  $\mathbf{T}_{[x]_{\equiv}}$ , where the  $[x]_{\equiv}$  are  $\equiv$ -equivalence classes of indecomposable and reduced elements of  $\mathcal{P}$ .

If  $\mathbf{H}(\mathcal{P})$  is graded, and, for any  $\equiv$ -equivalence class  $[x]_{\equiv}$  of reduced elements of  $\mathcal{P}$ , all elements of  $[x]_{\equiv}$  have the same degree, then  $\mathbf{H}(\mathcal{P}/\equiv)$  is graded.

# Outline

## Constructing PROs

From operads to PROs

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## The PRO of an operad

$\mathcal{O}$ : an operad.

$R(\mathcal{O})$ : the PRO of  $\mathcal{O}$  [Markl, 2006].

Elements: finite sequences of elements of  $\mathcal{O}$ .

Horizontal composition: concatenation of sequences.

Vertical composition: extension of the composition map of  $\mathcal{O}$ .

## Example

Let  $\mathcal{O}$  be the free operad on  $G := G(2) := \{\mathbf{a}\}$ . Set

$x :=$

and

$y :=$

Then, one has  $x \in R(\mathcal{O})(8, 3)$ ,  $y \in R(\mathcal{O})(13, 8)$ ,

and

# The natural Hopf algebra of an operad

## Lemma

Let  $\mathcal{O}$  be an operad s.t.  $\mathcal{O}(1) = \{\mathbf{1}\}$ . Then,  $\mathbf{R}(\mathcal{O})$  is a good PRO.

## Proposition

Let  $\mathcal{O}$  be an operad s.t.  $\mathcal{O}(1) = \{\mathbf{1}\}$ . Then, the bialgebras  $H(\mathcal{O})$  and  $H(\mathbf{R}(\mathcal{O}))/_{Com}$  are isomorphic, where  $Com$  is the vector space generated by

$$\mathbf{T}_x \cdot \mathbf{T}_y - \mathbf{T}_y \cdot \mathbf{T}_x,$$

where  $x$  and  $y$  are elements of  $\mathbf{R}(\mathcal{O})$  with 1 as output arity.

## Example

$$FdB = H(\mathbf{Assoc}) = H(\mathbf{R}(\mathbf{Assoc}))/_{Com}$$

# Outline

## Constructing PROs

From operads to PROs

From monoids to PROs

# The PRO of a monoid

$\mathcal{M}$ : a monoid.

$B(\mathcal{M})$ : PRO of  $\mathcal{M}$ .

Elements: finite sequences of elements of  $\mathcal{M}$ .

Horizontal composition: concatenation of sequences.

Vertical composition:

$$x_1 \dots x_p \circ y_1 \dots y_p := (x_1 \bullet y_1) \dots (x_p \bullet y_p),$$

where  $\bullet$  is the product of  $\mathcal{M}$ .

## Example

Let  $\mathbb{N}$  be the additive monoid of nonnegative integers. Set

$$x := 002501 \quad \text{and} \quad y := 200111.$$

Then, one has  $x \in \mathcal{M}(\mathbb{N})(6, 6)$ ,  $y \in \mathcal{M}(\mathbb{N})(6, 6)$ ,

$$x * y = 002501200111,$$

and

$$x \circ y = 202612.$$



# The Hopf algebra of a monoid

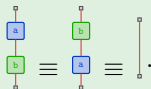
## Lemma

Let  $\mathcal{M}$  be a monoid that does not contain any nontrivial subgroup. Then,  $B(\mathcal{M})$  is a good PRO.

## Example

Let  $\mathbb{Z}$  be the additive monoid of integers.  $\mathbb{Z}$  admits the presentation  $\mathbb{Z} = \langle a, b : ab = ba = 1 \rangle$ .

$B(\mathbb{Z})$  is the quotient of the free PRO  $\mathcal{P}$  on  $G := G(1, 1) := \{a, b\}$  by the finest congruence  $\equiv$  satisfying



$\mathbb{Z}$  is a group and  $\equiv$  is **not** a good congruence.

## Example

$B(\mathbb{N})$  is a good PRO.

# Outline

## Examples

Noncommutative symmetric functions

Noncommutative Faà di Bruno algebra and its deformations

Hopf algebras of planar rooted forests

Hopf algebras of heaps of pieces

# The PRO of ladders

**Lad**: free PRO on  $G := G(1, 1) := \{\mathbf{a}\}$ .


## Lemma

The morphism of PROS  $\phi : \mathbf{Lad} \rightarrow \mathbf{B}(\mathbb{N})$  satisfying

$$\phi \left( \begin{array}{c} \square \\ | \\ \boxed{\mathbf{a}} \\ | \\ \square \end{array} \right) = 1$$

is an isomorphism.

## Example


$$\xrightarrow{\phi} \quad 1211$$

The **reduced elements** of **Lad** are encoded by words on  $\mathbb{N} \setminus \{0\}$ .

Gradation:  $w(\mathbf{a}) := 1$ . Degree of a word: the sum of its letters.

# Noncommutative symmetric functions

$H(\mathbf{Lad})$  is the Hopf algebra **Sym** of noncommutative symmetric functions over the **S** basis.

| Degree | Basis elements of $H(\mathbf{Lad})$                                |
|--------|--------------------------------------------------------------------|
| 0      | $\mathbf{S}_\epsilon$                                              |
| 1      | $\mathbf{S}_1$                                                     |
| 2      | $\mathbf{S}_2, \mathbf{S}_{11}$                                    |
| 3      | $\mathbf{S}_3, \mathbf{S}_{21}, \mathbf{S}_{12}, \mathbf{S}_{111}$ |

## Example

$$\begin{aligned} \Delta \mathbf{S}_{21} = & \mathbf{S}_\epsilon \otimes \mathbf{S}_{21} + \mathbf{S}_1 \otimes \mathbf{S}_2 + \mathbf{S}_1 \otimes \mathbf{S}_{11} \\ & + \mathbf{S}_{11} \otimes \mathbf{S}_1 + \mathbf{S}_2 \otimes \mathbf{S}_1 + \mathbf{S}_2 \otimes \mathbf{S}_\epsilon \end{aligned}$$

# The PRO of positive integers

**Pos**: free PRO on  $G := G(1, 0) := \{a_n : n \geq 1\}$ .

The **reduced elements** of **Pos** are encoded by words on  $\mathbb{N} \setminus \{0\}$ .

## Example



Gradation:  $w(a_n) := n$ . Degree of a word: the sum of its letters.

# Noncommutative symmetric functions

$H(\mathbf{Pos})$  is **Sym** over the  $\Phi$  basis.

| Degree | Basis elements of $H(\mathbf{Pos})$ |
|--------|-------------------------------------|
| 0      | $S_\epsilon$                        |
| 1      | $S_1$                               |
| 2      | $S_2, S_{11}$                       |
| 3      | $S_3, S_{21}, S_{12}, S_{111}$      |

## Example

$$\Delta S_{21} = S_\epsilon \otimes S_{21} + S_1 \otimes S_2 + S_2 \otimes S_1 + S_{21} \otimes S_\epsilon$$

# Outline

## Examples

Noncommutative symmetric functions

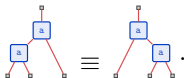
**Noncommutative Faà di Bruno algebra and its deformations**

Hopf algebras of planar rooted forests

Hopf algebras of heaps of pieces

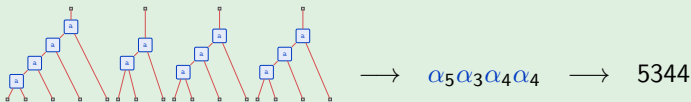
# The PRO $R(\mathbf{Assoc})$

The PRO  $R(\mathbf{Assoc})$  is the quotient of the free PRO on  $G := G(2, 1) := \{a\}$  by the finest congruence  $\equiv$  satisfying



The **reduced elements** of  $R(\mathbf{Assoc})$  are encoded by words on  $\mathbb{N} \setminus \{0, 1\}$ .

## Example



Gradation:  $w(a) := 1$ . Degree of a word: sum of its letters minus its length.

## Example

$$\omega_w(5344) = (5 + 3 + 4 + 4) - 4 = 12$$



# Noncommutative Faà di Bruno Hopf algebra

$H(\mathbf{R}(\mathbf{Assoc}))$  is the noncommutative Faà di Bruno Hopf algebra **FdB**.

| Degree | Basis elements of $H(\mathbf{R}(\mathbf{Assoc}))$                  |
|--------|--------------------------------------------------------------------|
| 0      | $\mathbf{T}_\epsilon$                                              |
| 1      | $\mathbf{T}_2$                                                     |
| 2      | $\mathbf{T}_3, \mathbf{T}_{22}$                                    |
| 3      | $\mathbf{T}_4, \mathbf{T}_{32}, \mathbf{T}_{23}, \mathbf{T}_{222}$ |

## Example

$$\mathbf{T}_5 = \mathbf{S} \begin{array}{c} \square \\ | \\ \square \\ / \backslash \\ \square \quad \square \\ / \backslash \quad / \backslash \\ \square \quad \square \quad \square \quad \square \end{array} + \mathbf{S} \begin{array}{c} \square \\ | \\ \square \\ / \backslash \\ \square \quad \square \\ / \backslash \quad / \backslash \\ \square \quad \square \quad \square \quad \square \end{array} + \mathbf{S} \begin{array}{c} \square \\ | \\ \square \\ / \backslash \\ \square \quad \square \\ / \backslash \quad / \backslash \\ \square \quad \square \quad \square \quad \square \end{array} + \mathbf{S} \begin{array}{c} \square \\ | \\ \square \\ / \backslash \\ \square \quad \square \\ / \backslash \quad / \backslash \\ \square \quad \square \quad \square \quad \square \end{array} + \mathbf{S} \begin{array}{c} \square \\ | \\ \square \\ / \backslash \\ \square \quad \square \\ / \backslash \quad / \backslash \\ \square \quad \square \quad \square \quad \square \end{array}$$

## Example

$$\begin{aligned} \Delta(\mathbf{T}_5) = & \mathbf{T}_\epsilon \otimes \mathbf{T}_5 + \mathbf{T}_2 \otimes \mathbf{T}_{23} + \mathbf{T}_2 \otimes \mathbf{T}_{32} + 3\mathbf{T}_3 \otimes \mathbf{T}_3 \\ & + 3\mathbf{T}_3 \otimes \mathbf{T}_{22} + 4\mathbf{T}_4 \otimes \mathbf{T}_2 + \mathbf{T}_5 \otimes \mathbf{T}_\epsilon \end{aligned}$$

# The PRO $R(\mathbf{Assoc}_\gamma)$

$\gamma$ : a positive integer.

$\mathbf{Assoc}_\gamma$ : suboperad of  $\mathbf{Assoc}$  generated by  $\alpha_{\gamma+1}$ .

## Example

$$\mathbf{Assoc}_1 = \mathbf{Assoc} = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5, \dots\}$$

$$\mathbf{Assoc}_2 = \{\alpha_1, \alpha_3, \alpha_5, \alpha_7, \alpha_9, \dots\}$$

The **reduced elements** of  $R(\mathbf{Assoc}_\gamma)$  are encoded by words on  $\{k\gamma + 1 : k \geq 1\}$ .

## Example

In  $\mathbf{Assoc}_2$ ,

$$\alpha_3 \alpha_3 \alpha_9 \alpha_5 \longrightarrow 3395.$$

Gradation:  $w(\alpha_\gamma) := 1$ . Degree of a letter  $\ell$ :  $\frac{\ell-1}{\gamma}$ . Degree of a word: sum of the degrees of its letters.

## Foissy's deformation of **FdB**

| Degree | Basis elements of $H(\mathbf{R}(\mathbf{Assoc}_2))$ |
|--------|-----------------------------------------------------|
| 0      | $T_\epsilon$                                        |
| 1      | $T_3$                                               |
| 2      | $T_5, T_{33}$                                       |
| 3      | $T_7, T_{53}, T_{35}, T_{333}$                      |

$\gamma$ -deformation of **FdB** [Foissy, 2008]:  $\mathbf{FdB}_\gamma, \gamma \in \mathbb{R}$ .

$\mathbf{FdB}_0$  is the Hopf algebra of noncommutative symmetric functions.

$\mathbf{FdB}_1$  is the noncommutative Faà di Bruno Hopf algebra.

All the  $\mathbf{FdB}_\gamma, \gamma \in \mathbb{R} \setminus \{0\}$  are isomorphic.

### Proposition

For any integer  $\gamma \geq 1$ , the Hopf algebras  $H(\mathbf{R}(\mathbf{Assoc}_\gamma))$  and  $\mathbf{FdB}_\gamma$  are isomorphic.

# Outline

## Examples

Noncommutative symmetric functions

Noncommutative Faà di Bruno algebra and its deformations

**Hopf algebras of planar rooted forests**

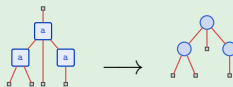
Hopf algebras of heaps of pieces

# The PRO of planar rooted forests

**PRF**: free PRO on  $G := \uplus_{n \geq 1} G(n, 1) := \uplus_{n \geq 1} \{a_n\}$ .

The elements of **PRF** are encoded by forests of planar rooted leafy trees.

## Example



The **reduced elements** of **PRF** are encoded by planar rooted forests with no empty tree.

Gradation:  $w(a_n) := n$ . Degree of a forest: number of edges.

# A Hopf algebra on planar rooted forests

$H(\mathbf{PRF})$ : Hopf algebra of forests of planar rooted leafy trees.

| Degree | Basis elements of $H(\mathbf{PRF})$                                                                                                                                |
|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0      | $S_\emptyset$                                                                                                                                                      |
| 1      | $S_{\text{root}} \circlearrowleft$                                                                                                                                 |
| 2      | $S_{\text{root}} \circlearrowleft \circlearrowleft$ , $S_{\text{root}} \circlearrowleft \circlearrowright$ , $S_{\text{root}} \circlearrowright \circlearrowright$ |

First dimensions:

1, 1, 3, 10, 35, 126, 462, 1716, 6435, 24310, 92378.

## Example

$$\begin{aligned}
 \Delta S_{\text{root}} \circlearrowleft \circlearrowleft \circlearrowleft &= S_\emptyset \otimes S_{\text{root}} \circlearrowleft \circlearrowleft \circlearrowleft + S_{\text{root}} \circlearrowleft \otimes S_{\text{root}} \circlearrowleft \circlearrowright + S_{\text{root}} \circlearrowleft \otimes S_{\text{root}} \circlearrowright \circlearrowright \\
 &+ S_{\text{root}} \circlearrowleft \circlearrowleft \otimes S_{\text{root}} \circlearrowleft + S_{\text{root}} \circlearrowleft \circlearrowleft \circlearrowleft \otimes S_\emptyset
 \end{aligned}$$

# Outline

## Examples

Noncommutative symmetric functions

Noncommutative Faà di Bruno algebra and its deformations

Hopf algebras of planar rooted forests

Hopf algebras of heaps of pieces

# The PRO of heaps of pieces

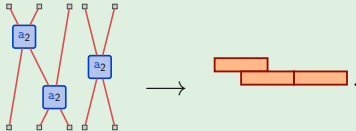
$\gamma$ : a positive integer.

$\mathbf{Hp}_\gamma$ : free PRO on  $G := G(\gamma, \gamma) := \{a_\gamma\}$ .

The elements of  $\mathbf{Hp}_\gamma$  are encoded by **heaps of pieces** of length  $\gamma$ .

## Example

In  $\mathbf{Hp}_2$ ,



The **reduced elements** of  $\mathbf{Hp}_\gamma$  are encoded by connected heaps of pieces of length  $\gamma$ .

Gradation:  $w(a_\gamma) := 1$ . Degree of a heap of pieces: number of pieces.



# A Hopf algebra of heaps of pieces

$H(\mathbf{Hp}_\gamma)$ : Hopf algebra of connected heaps of pieces of length  $\gamma$ .

| Degree | Basis elements of $H(\mathbf{Hp}_2)$                             |
|--------|------------------------------------------------------------------|
| 0      | $S_\emptyset$                                                    |
| 1      | $S_{\text{—}}$                                                   |
| 2      | $S_{\text{— —}}, S_{\text{— —}}, S_{\text{— —}}, S_{\text{— —}}$ |

## Example

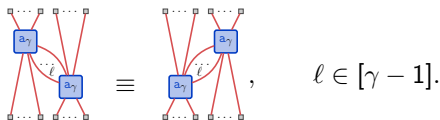
In  $H(\mathbf{Hp}_2)$ ,

$$\begin{aligned} \Delta S_{\text{— — —}} &= S_\emptyset \otimes S_{\text{— — —}} + S_{\text{—}} \otimes S_{\text{— —}} + S_{\text{—}} \otimes S_{\text{— — —}} \\ &+ S_{\text{— —}} \otimes S_{\text{—}} + S_{\text{— — —}} \otimes S_{\text{—}} + S_{\text{— — —}} \otimes S_\emptyset. \end{aligned}$$

# The PRO of friable heaps of pieces

$\gamma$ : a positive integer.

**FHp** $_{\gamma}$ : quotient of **Hp** $_{\gamma}$  by the finest congruence  $\equiv$  satisfying



Gradation: the gradation of **Hp** $_{\gamma}$ .

## Proposition

The PRO **FHp** $_{\gamma}$  is isomorphic to the sub-PRO of **B**( $\mathbb{N}$ ) generated by  $1^{\gamma}$ .

The elements of **FHp** $_{\gamma}$  are encoded by connected heaps of pieces of length 1.

## Example

In **FHp** $_2$ ,

$$\left[ \begin{array}{c} \text{orange bar} \\ \text{orange bar} \end{array} \right]_{\equiv} \leftrightarrow 231 \longrightarrow \begin{array}{c} \text{green bar} \\ \text{green bar} \\ \text{green bar} \end{array}.$$

# A Hopf algebra of friable heaps of pieces

$H(\mathbf{FHp}_\gamma)$ : Hopf algebra of connected heaps of friable pieces of length  $\gamma$ .

| Degree | Basis elements of $H(\mathbf{FHp}_2)$                                                                                                                                                                                                                  |
|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0      | $T_\emptyset$                                                                                                                                                                                                                                          |
| 1      | $T_{\begin{smallmatrix} \text{---} \\ \text{---} \end{smallmatrix}}$                                                                                                                                                                                   |
| 2      | $T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}}, T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}}, T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}}$ |

## Example

In  $H(\mathbf{FHp}_2)$ ,

$$T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} = S_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} + S_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} + S_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}}.$$

## Example

In  $H(\mathbf{FHp}_2)$ ,

$$\begin{aligned} \Delta T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} &= T_\emptyset \otimes T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} + T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} \otimes T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} + T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} \otimes T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} \\ &+ T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} \otimes T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} + T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} \otimes T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} + T_{\begin{smallmatrix} \text{---} \\ \text{---} \\ \text{---} \end{smallmatrix}} \otimes T_\emptyset. \end{aligned}$$

# Summary

| PRO                                   | Hopf algebra                                                               |
|---------------------------------------|----------------------------------------------------------------------------|
| <b>Lad</b>                            | <b>Sym</b> ( <b>S</b> basis)                                               |
| <b>Pos</b>                            | <b>Sym</b> ( $\Phi$ basis)                                                 |
| <b>R(Assoc)</b>                       | <b>FdB</b>                                                                 |
| <b>R(Assoc)<math>_{\gamma}</math></b> | <b>FdB<math>_{\gamma}</math></b> , $\gamma \in \mathbb{N} \setminus \{0\}$ |
| <b>PRF</b>                            | Hopf algebra of planar rooted forests                                      |
| <b>Hp<math>_{\gamma}</math></b>       | Hopf algebra of heaps of pieces of length $\gamma$                         |
| <b>FHp<math>_{\gamma}</math></b>      | Hopf algebra of friable heaps of pieces of length $\gamma$                 |