

DUALITY OF GRADED GRAPHS THROUGH OPERADS

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ABSTRACT. Pairs of graded graphs, together with the Fomin property of graded graph duality, are rich combinatorial structures providing among other a framework for enumeration. The prototypical example is the one of the Young graded graph of integer partitions, allowing us to connect number of standard Young tableaux and numbers of permutations. Here, we use operads, that algebraic devices abstracting the notion of composition of combinatorial objects, to build pairs of graded graphs. For this, we first construct a pair of graded graphs where vertices are syntax trees, the elements of free nonsymmetric operads. This pair of graphs is dual for a new notion of duality called ϕ -diagonal duality, similar to the ones introduced by Fomin. We also provide a general way to build pairs of graded graphs from operads, wherein underlying posets are analogous to the Young lattice. Some examples of operads leading to new pairs of graded graphs involving integer compositions, Motzkin paths, and m -trees are considered.

CONTENTS

Introduction	2
1. Graded graphs, trees, and operads	3
1.1. Graded graphs and diagonal duality	3
1.2. Syntax trees	8
1.3. Nonsymmetric operads	10
2. Graded graphs of syntax trees	12
2.1. Prefix graded graphs	12
2.2. Twisted prefix graded graphs	16
2.3. Diagonal duality	21
3. Posets of syntax trees	23
3.1. Posets and their intervals	23
3.2. Enumerative properties	32
4. Graded graphs from operads	33
4.1. Prefix and twisted prefix graded graphs	34
4.2. Posets from operads	36
4.3. Examples	37
Perspectives and open questions	45
References	45

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INTRODUCTION

The well-known formula

$$\sum_{\lambda \vdash n} f_{\lambda}^2 = n!, \quad (0.0.1)$$

relating the numbers f_{λ} of standard Young tableaux of shape λ and number of permutations is one of the most fascinating identities appearing in algebraic combinatorics. This formula, admitting a lot of different proofs [Sag01], arises in the context of representations of symmetric groups and the Robinson–Schensted correspondence. One of its proofs is surprisingly beautiful and uses the Young lattice \mathbb{Y} on integer partitions and its structure of a differential poset [Sta88]. Such a poset satisfies the relation

$$\mathbf{U}^* \mathbf{U} - \mathbf{U} \mathbf{U}^* = I \quad (0.0.2)$$

where I is the identity map, and \mathbf{U} (resp. \mathbf{U}^*) is the linear map sending each element of the poset to the formal sum of its coverings (resp. of the elements it covers). One can interpret (0.0.1) as an identity between Hasse walks of length n in \mathbb{Y} starting from the empty integer partition to an integer partition of rank n and returning to the empty integer partition.

A natural question concerns the generalization of this concept of differential posets, in order to obtain new combinatorial proofs similar to the previous formula or to discover new ones. In this context, the notion of graded graph duality [Fom94] makes sense. Here, we work not only with posets but with multigraphs wherein analogs of (0.0.2) hold between two different graphs, called pairs of dual graphs. All this maintains close connections with algebra since, from the origins, the Hasse diagram of \mathbb{Y} is in fact the Bratteli diagram (or multiplication graph) of the algebra of the symmetric functions on the basis of Schur functions s_{λ} , where edges encode the multiplication by s_1 . A striking and nice fact is that one can construct similar graphs for other algebraic structures [Nze06, LS07] like the algebra of the noncommutative symmetric functions or the Hopf algebra of planar binary trees [HNT05].

The starting motivation of this work was to link this theory of duality of graded graphs with the theory of operads, with the aim to construct new pairs of dual graded graphs and explore the combinatorial properties they offer. Operads [Mé15, LV12, Gir18] are algebraic structures wherein elements are themselves operations, and can be composed. From a combinatorial point of view, operads allow to *insert* combinatorial objects inside other ones to form bigger ones [Gir15]. Since operads enclose a rich combinatorics, we can expect that these structures are good combinatorial sources to build interesting graphs.

We begin by constructing a pair $(\mathbf{S}_{\bullet}(\mathcal{G}), \mathbf{U}, \mathbf{V})$ of graphs where vertices are the elements of free nonsymmetric operads, that are planar rooted trees decorated on an alphabet \mathcal{G} . The graphs $(\mathbf{S}_{\bullet}(\mathcal{G}), \mathbf{U})$ and $(\mathbf{S}_{\bullet}(\mathcal{G}), \mathbf{V})$ are dual with respect to a new notion of duality called ϕ -diagonal duality, generalizing in a certain way some of the previous ones. Also, the poset for which $(\mathbf{S}_{\bullet}(\mathcal{G}), \mathbf{U})$ is the Hasse diagram has some combinatorial properties like to be a meet-semilattice and to have all intervals that are distributive lattices. Then, given an operad \mathcal{O} satisfying some not so restrictive properties, we extend the previous construction to build a pair of graded graphs $(\mathcal{O}_{\bullet}, \mathbf{U}, \mathbf{V})$, potentially ϕ -diagonal dual. We consider four

examples: the pair corresponding with the associative operad (which is called the *chain* in [Fom94]), with the diassociative operad [Lod01] (which is not ϕ -diagonal dual but is ϕ -diagonal self-dual), with the operad of integer compositions [Gir15] (which leads to the *composition poset* introduced in [BP05]), and with the operad of Motzkin paths [Gir15] (which is ϕ -diagonal dual).

This paper is organized as follows. Section 1 contains the preliminary definitions used in the rest of the document including graded graphs, formal power series on combinatorial objects, syntax trees, and nonsymmetric operads. We also set here our definition of ϕ -diagonal duality. We then introduce in Section 2 two graded graphs of syntax trees: the prefix graded graph $(S_\bullet(\mathfrak{G}), U)$ and the twisted prefix graded graph $(S_\bullet(\mathfrak{G}), V)$. We prove here in particular that the pair of graded graphs $(S_\bullet(\mathfrak{G}), U, V)$ is ϕ -diagonal dual for a certain linear map ϕ . Some combinatorial properties of these graphs are established: the numbers of Hasse walks in the prefix graded graphs are related with the hook-length formula of trees [Knu98] and the numbers of Hasse walks in the twisted ones are related with a variation of this formula. In Section 3, we study the posets associated with the prefix graded graphs. In particular, we describe the structure of the intervals of these posets. Finally, Section 4 is devoted to generalize the previous constructions of graded graphs in order to obtain pairs of graded graphs from nonsymmetric operads subjected to some conditions. We apply these constructions to some operads introduced in [Gir15], involving integer compositions, Motzkin paths, and m -trees.

General notations and conventions. All the considered vector spaces are defined over a ground field \mathbb{K} of characteristic zero. For any integers i and j , $[i, j]$ denotes the set $\{i, i+1, \dots, j\}$. For any integer i , $[i]$ denotes the set $[1, i]$. The empty word is denoted by ϵ .

1. GRADED GRAPHS, TREES, AND OPERADS

We start by setting up our context by providing definitions about graded sets, series, graded graphs, and nonsymmetric operads. We introduce also the notion of ϕ -diagonal duality.

1.1. Graded graphs and diagonal duality. The aim of this section is to make some recalls about graded graphs and their associated formal power series, about graded graph duality, and to introduce ϕ -diagonal duality.

1.1.1. Graded sets. A *graded set* is a set expressed as a disjoint union

$$G := \bigsqcup_{d \in \mathbb{N}} G(d) \quad (1.1.1)$$

such that all $G(d)$, $d \in \mathbb{N}$, are sets. The *rank* $\text{rk}(x)$ of an $x \in G$ is the unique integer d such that $x \in G(d)$. A graded set is *combinatorial* if all $G(d)$, $d \in \mathbb{N}$, are finite. In this case, the *generating series* $\mathcal{R}_G(t)$ of G is defined by

$$\mathcal{R}_G(t) := \sum_{x \in G} t^{\text{rk}(x)} \quad (1.1.2)$$

and counts the elements of G with respect to their ranks. If G_1 and G_2 are two graded sets, a map $\psi : G_1 \rightarrow G_2$ is a *graded set morphism* if for any $x \in G_1$, $\text{rk}(\psi(x)) = \text{rk}(x)$. Besides G_2 is a *graded subset* of G_1 if for any $d \in \mathbb{N}$, $G_2(d) \subseteq G_1(d)$.

1.1.2. Polynomials and series. We shall consider in the sequel linear spans of graded sets G , denoted by $\mathbb{K}\langle G \rangle$. The dual space $\mathbb{K}\langle\langle G \rangle\rangle$ of $\mathbb{K}\langle G \rangle$ is by definition the space of the maps $\mathbf{f} : G \rightarrow \mathbb{K}$, called *G-series*. Let \mathbf{f}_1 and \mathbf{f}_2 be two G -series. The *scalar product* of \mathbf{f}_1 and \mathbf{f}_2 is the element

$$\langle \mathbf{f}_1, \mathbf{f}_2 \rangle := \sum_{x \in G} \mathbf{f}_1(x) \mathbf{f}_2(x) \quad (1.1.3)$$

of \mathbb{K} . Note that the scalar product may be not defined for some G -series. For any subset X of G , the *characteristic series* of X is the G -series $\text{ch}(X)$ satisfying, for any $x \in G$, $\text{ch}(X)(x) = [x \in X]$, where $[-]$ is the Iverson bracket. By a slight abuse of notation, we denote simply by x the G -series $\text{ch}(\{x\})$. Let $\mathbf{f} \in \mathbb{K}\langle\langle G \rangle\rangle$. Observe that for any $x \in G$, $\langle x, \mathbf{f} \rangle = \mathbf{f}(x)$. The *support* of \mathbf{f} is the set $\text{Supp}(\mathbf{f}) := \{x \in G : \langle x, \mathbf{f} \rangle \neq 0\}$. An element x of G *appears* in \mathbf{f} if $x \in \text{Supp}(\mathbf{f})$. By a slight abuse of notation, this property is denoted by $x \in \mathbf{f}$. By exploiting the vector space structure of $\mathbb{K}\langle\langle G \rangle\rangle$, any G -series \mathbf{f} expresses as

$$\mathbf{f} = \sum_{x \in G} \langle x, \mathbf{f} \rangle x. \quad (1.1.4)$$

This notation using potentially infinite sums of elements of G accompanied with coefficients of \mathbb{K} is common in the context of formal power series. In the sequel, we shall define and handle some G -series using the notation (1.1.4). A G -series having a finite support is a *G-polynomial*. The space $\mathbb{K}\langle G \rangle$ can be seen as the subspace of $\mathbb{K}\langle\langle G \rangle\rangle$ consisting in all G -polynomials. The *Hadamard product* of two G -series \mathbf{f}_1 and \mathbf{f}_2 is the series $\mathbf{f}_1 \boxtimes \mathbf{f}_2$ defined, for any $x \in G$, by $\langle x, \mathbf{f}_1 \boxtimes \mathbf{f}_2 \rangle := \langle x, \mathbf{f}_1 \rangle \langle x, \mathbf{f}_2 \rangle$.

The space of all generating series on one formal parameter t is denoted by $\mathbb{K}\langle\langle t \rangle\rangle$. The *trace* of a G -series \mathbf{f} is the generating series $\text{tr}(\mathbf{f})$ of $\mathbb{K}\langle\langle t \rangle\rangle$ defined by

$$\text{tr}(\mathbf{f}) := \sum_{x \in G} \langle x, \mathbf{f} \rangle t^{\text{rk}(x)}. \quad (1.1.5)$$

This series might be ill-defined when G is not combinatorial. Observe that if \mathbf{f} is the characteristic series of G , then $\text{tr}(\mathbf{f})$ is the generating series of G .

1.1.3. Graded graphs. A *graded graph* [Fom94] is a pair (G, U) where G is a combinatorial graded set of *vertices* and $U : \mathbb{K}\langle G \rangle \rightarrow \mathbb{K}\langle G \rangle$ is a linear map such that $U(x) \in \mathbb{K}\langle G(d+1) \rangle$ for any $x \in G(d)$. In the sequel, I is the identity map on $\mathbb{K}\langle G \rangle$.

Given a pair $(x, y) \in G^2$, let us set $\omega_U(x, y) := \langle y, U(x) \rangle$. We say that (x, y) is an *edge* of (G, U) if $\omega_U(x, y) \neq 0$. In this case the *weight* of this edge is $\omega_U(x, y)$. A *path* from $x_1 \in G$ to $x_\ell \in G$ is a sequence (x_1, \dots, x_ℓ) , $\ell \geq 1$, of vertices of G such that for any $i \in [\ell - 1]$, (x_i, x_{i+1}) is an edge of (G, U) . The *length* of (x_1, \dots, x_ℓ) is $\ell - 1$ and its *weight* is

$$\omega_U(x_1, \dots, x_\ell) := \prod_{i \in [\ell - 1]} \omega_U(x_i, x_{i+1}). \quad (1.1.6)$$

We shall draw graded graphs where edges are implicitly oriented from top to bottom. The weight of an edge is written onto it, with the convention that undecorated edges have weight 1. For instance, Figure 1 shows the Young graded graph \mathbb{Y} . The poset of \mathbb{Y} is the

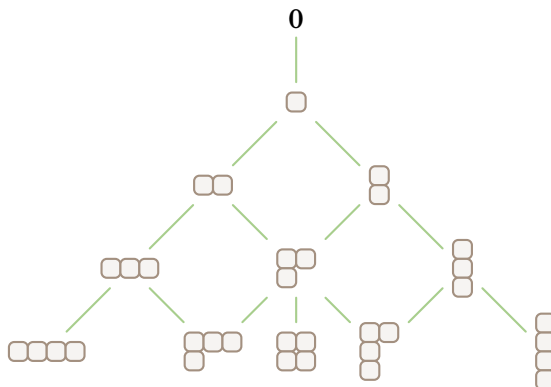


FIGURE 4. The Young graded graph up to integer partitions of size 4.

Let $\mathbf{U}^*: \mathbb{K}\langle G \rangle^* \rightarrow \mathbb{K}\langle G \rangle^*$ be the adjoint map of \mathbf{U} . Due to the fact that G is combinatorial and $\mathbb{K}\langle G \rangle$ is a graded space decomposing as

$$\mathbb{K}\langle G \rangle = \bigoplus_{d \in \mathbb{N}} \mathbb{K}\langle G(d) \rangle \quad (1.1.7)$$

$$\mathbf{U}^*(y) = \sum_{x \in G} \langle x, \mathbf{U}(y) \rangle x = \sum_{x \in G} \omega_{\mathbf{U}}(x, y)x. \quad (1.1.8)$$

In the case where (G, U) is rooted, the *hook series* of (G, U) is the G -series \mathbf{h}_U defined by the functional equation

$$\langle x, \mathbf{h}_U \rangle = [x = \mathbf{0}] + \langle U^*(x), \mathbf{h}_U \rangle. \quad (1.1.9)$$

For any $x \in G$, $\langle x, \mathbf{h}_U \rangle$ is the *hook coefficient* of x in (G, U) .

Proposition 1.1.1. *Let (G, U) be a rooted graded graph. For any $x \in G$,*

$$\langle x, \mathbf{h}_U \rangle = \sum_{p \in \mathcal{P}_U(\mathbf{0}, x)} \omega_U(p). \quad (1.1.10)$$

Moreover, $\mathbf{h}_U = (I - U)^{-1}(\mathbf{0})$.

Proof. We proceed by induction on the rank d of x . When $d = 0$, since (G, U) is rooted, we necessarily have $x = \mathbf{0}$. Therefore, since $[\mathbf{0} = \mathbf{0}] = 1$ and $U^*(\mathbf{0}) = \mathbf{0}$, the property is satisfied in this case. Otherwise, $x \neq \mathbf{0}$ and we have by definition of hook series,

$$\begin{aligned} \langle x, \mathbf{h}_U \rangle &= \langle U^*(x), \mathbf{h}_U \rangle \\ &= \left\langle \sum_{y \in G} \langle x, U(y) \rangle y, \mathbf{h}_U \right\rangle \\ &= \sum_{y \in G} \langle x, U(y) \rangle \langle y, \mathbf{h}_U \rangle. \end{aligned} \quad (1.1.11)$$

Now, for any $y \in G$, if x appears in $U(y)$, then the rank of y is $d - 1$, and by induction hypothesis, $\langle y, \mathbf{h}_U \rangle$ satisfies (1.1.10). Since all paths from $\mathbf{0}$ to x in (G, U) decompose as paths from $\mathbf{0}$ to elements y of rank $d - 1$ followed by edges from y to x , the statement of the proposition follows.

Let us establish the second part of the statement. For this, we prove that for any $x \in G$,

$$\langle x, U^{\text{rk}(x)}(\mathbf{0}) \rangle = \langle x, \mathbf{h}_U \rangle \quad (1.1.12)$$

by induction on the rank d of x . If $d = 0$, since (G, U) is rooted, $x = \mathbf{0}$, and since $\langle \mathbf{0}, U^0(\mathbf{0}) \rangle = 1$ and $\langle \mathbf{0}, \mathbf{h}_U \rangle = 1$, the property is satisfied. Assume that $d \geq 1$. By (1.1.11) and by induction hypothesis,

$$\begin{aligned} \langle x, \mathbf{h}_U \rangle &= \sum_{y \in G} \langle x, U(y) \rangle \langle y, \mathbf{h}_U \rangle \\ &= \sum_{y \in G} \langle x, U(y) \rangle \langle y, U^{\text{rk}(y)}(\mathbf{0}) \rangle \\ &= \langle x, U^{\text{rk}(x)}(\mathbf{0}) \rangle. \end{aligned} \quad (1.1.13)$$

Since finally $(I - U)^{-1} = \sum_{d \in \mathbb{N}} U^d$, the stated expression for \mathbf{h}_U follows. \square

When (G, U) is moreover natural, Proposition 1.1.1 says that the hook coefficient of any $x \in G$ can be interpreted as the number of initial multipaths to x in (G, U) . These coefficients define a statistics on the elements of G which can be of independent combinatorial interest. For instance, the hook coefficient of a partition λ in \mathbb{Y} is given by the hook-length formula [FRT54], is also the number of initial paths to λ , and is also the number of standard Young tableaux of shape λ . Therefore, a standard Young tableau of

shape λ is to the integer partition λ what an initial path to $x \in G$ is to x in the case where (G, U) is a natural rooted graded graph. Moreover, the *initial paths series* of (G, U) is the generating series $\mathbf{ip}_U := \text{tr}(\mathbf{h}_U)$. By definition, for any $\ell \in \mathbb{N}$, the coefficient $\langle t^\ell, \mathbf{ip}_U \rangle$ can be interpreted as the number of initial multipaths of (G, U) of length ℓ . In the case of \mathbb{Y} , we obtain the generating series counting the standard Young tableaux as initial path series (see Sequence [A000085](#) of [\[Slo\]](#) for its coefficients).

1.1.4. Pairs of graded graphs. A *pair of graded graphs* is a triple (G, U, V) such that both (G, U) and (G, V) are graded graphs. When (G, U) and (G, V) are both natural, (G, U, V) is *natural*. When (G, U) and (G, V) are both rooted and share the same root 0 , (G, U, V) is *rooted* and 0 is the *root* of (G, U, V) . A *returning path* from $x \in G$ to $y \in G$ is a pair (p, p') such that p is a path from x to y in (G, U) and p' is a path from x to y in (G, V) . The *length* of (p, p') is the length of p (or equivalently, of p') and its *weight* is

$$\omega_{U,V}(p, p') := \omega_U(p)\omega_V(p'). \quad (1.1.14)$$

When (G, U, V) is rooted, a *returning initial path* to x is a returning path from 0 to x in (G, U, V) and the *returning hook series* of (G, U, V) is the G -series $\mathbf{rh}_{U,V}$ defined by

$$\mathbf{rh}_{U,V} := \mathbf{h}_U \boxtimes \mathbf{h}_V. \quad (1.1.15)$$

For any $x \in G$, $\langle x, \mathbf{rh}_{U,V} \rangle$ is the *returning hook coefficient* of x in (G, U, V) .

Proposition 1.1.2. *Let (G, U, V) be a rooted pair of graded graphs. For any $x \in G$,*

$$\langle x, \mathbf{rh}_{U,V} \rangle = \sum_{\substack{p \in \mathcal{P}_U(0,x) \\ p' \in \mathcal{P}_V(0,x)}} \omega_{U,V}(p, p'). \quad (1.1.16)$$

Proof. By definition of returning hook series,

$$\langle x, \mathbf{rh}_{U,V} \rangle = \langle x, \mathbf{h}_U \boxtimes \mathbf{h}_V \rangle = \langle x, \mathbf{h}_U \rangle \langle x, \mathbf{h}_V \rangle. \quad (1.1.17)$$

By Proposition [1.1.1](#), the statement of the proposition follows. \square

When (G, U, V) is moreover natural, Proposition [1.1.2](#) says that the returning hook coefficient of any $x \in G$ can be interpreted as the number of returning initial paths to x in (G, U, V) . These coefficients define a statistics on the elements of G which can be of independent combinatorial interest. For instance, by seeing \mathbb{Y} as a pair of graded graphs with $V = U$, the returning hook coefficient of a partition λ in \mathbb{Y} is given by the square of the hook-length formula. Therefore, a pair of standard Young tableaux of the same shape λ is to the integer partition λ what a returning initial path to $x \in G$ is to x in the case where (G, U, V) is a natural rooted pair of graded graphs. Moreover, the *returning initial paths series* of (G, U, V) is the generating series $\mathbf{rip}_{U,V} := \text{tr}(\mathbf{rh}_{U,V})$. By definition, for any $\ell \in \mathbb{N}$, the coefficient of $\langle t^\ell, \mathbf{rip}_{U,V} \rangle$ can be interpreted as the number of returning initial multipaths of (G, U, V) of length ℓ . In the case of \mathbb{Y} , we obtain the generating series counting the permutations as returning initial paths series (see [\(0.0.1\)](#) and [\[Sag01\]](#)).

1.1.5. Dual graded graphs. Let (G, U, V) be a pair of graded graphs. One says that (G, U, V) is *ϕ -diagonal dual* if $\phi : \mathbb{K}\langle G \rangle \rightarrow \mathbb{K}\langle G \rangle$ is a diagonal linear map that is, for any $x \in G$, $\phi(x) = \lambda_x x$ where $\lambda_x \in \mathbb{K}$, and

$$V^*U - UV^* = \phi. \quad (1.1.18)$$

This notion is a generalization of r_d -duality, and hence, of r -duality and duality of graded graphs (see [Fom94]). Indeed, in the case where $\phi(x) = r_{\text{rk}(x)} x$ for any $x \in G$, one recovers r_d -duality. Duality of graded graphs is very closely connected with the theory of r -differential posets [Sta88]. In the case where (G, U, U) is ϕ -diagonal dual, we say that (G, U) is *ϕ -diagonal self-dual*.

Proposition 1.1.3. *Let (G, U, V) be a pair of ϕ -diagonal dual graded graphs. For any $n \geq 0$,*

$$V^*U^n = U^nV^* + \sum_{\substack{k_1, k_2 \geq 0 \\ k_1 + k_2 = n-1}} U^{k_1} \phi U^{k_2}. \quad (1.1.19)$$

Proof. We proceed by induction on n . When $n = 0$, the property holds since the left-hand side of (1.1.19) is $V^*U^0 = V^*I = V^*$ while its right-hand side is $U^0V^* = IV^* = V^*$. Assume that the property holds for a $n \geq 0$. Hence, by induction hypothesis and by using Relation (1.1.18) implied by ϕ -diagonal duality of (G, U, V) , we have

$$\begin{aligned} V^*U^{n+1} &= V^*U^nU \\ &= U^nV^*U + \sum_{\substack{k_1, k_2 \geq 0 \\ k_1 + k_2 = n-1}} U^{k_1} \phi U^{k_2+1} \\ &= U^n(\phi + UV^*) + \sum_{\substack{k_1, k_2 \geq 0 \\ k_1 + k_2 = n-1}} U^{k_1} \phi U^{k_2+1} \\ &= U^{n+1}V^* + U^n\phi + \sum_{\substack{k_1, k_2 \geq 0 \\ k_1 + k_2 = n-1}} U^{k_1} \phi U^{k_2+1} \\ &= U^{n+1}V^* + \sum_{\substack{k_1, k_2 \geq 0 \\ k_1 + k_2 = n}} U^{k_1} \phi U^{k_2}, \end{aligned} \quad (1.1.20)$$

establishing (1.1.19). □

Observe that when (G, U, V) is a pair of ϕ -diagonal dual graded graphs such that ϕ commutes with U , there exists an $r \in \mathbb{K}$ such that the map ϕ satisfies $\phi(x) = rx$ for any $x \in G$. This implies that in this case, (G, U, V) is a pair of r -dual graphs and Proposition 1.1.3 brings us the well-known identity [Sta88]

$$V^*U^n = U^nV^* + nrU^{n-1} \quad (1.1.21)$$

holding for any $n \geq 0$.

1.2. Syntax trees. We set here elementary definitions and notations about syntax trees and composition operations on syntax trees. Most of these notions can be found in [Gir18, Chapter 3].

1.2.1. *Elementary definitions.* An **alphabet** is a graded set \mathfrak{G} such that $\mathfrak{G}(0) = \emptyset$. The elements of \mathfrak{G} are **letters**. The **arity** $|a|$ of a letter $a \in \mathfrak{G}$ is its rank. A **\mathfrak{G} -tree** (also called **\mathfrak{G} -syntax tree**) is a planar rooted tree such that its internal nodes of arity k are decorated by letters of arity k of \mathfrak{G} . More precisely, a \mathfrak{G} -tree is either the **leaf** $\mathbf{\downarrow}$ or a pair $(a, (t_1, \dots, t_{|a|}))$ where $a \in \mathfrak{G}$ and $t_1, \dots, t_{|a|}$ are \mathfrak{G} -trees. Unless otherwise specified, we use in the sequel the standard terminology (such as **node**, **internal node**, **leaf**, **edge**, **root**, **child**, **ancestor**, etc.) about planar rooted trees [Knu97] (see also [Gir18]). Let us set here the most important definitions employed in this work.

Let $t = (a, (t_1, \dots, t_{|a|}))$ be a \mathfrak{G} -tree. For any word u of positive integers, let $u \mapsto t(u)$ be the partial map defined recursively as follows.

- (i) If $u = \epsilon$, then $t(u) := t$;
- (ii) If $u = u_1 u_2 \dots u_k$ with $k \geq 1$ and $u_1 \in [|a|]$, then $t(u) := t_{u_1}(u_2 \dots u_k)$;
- (iii) Otherwise, $t(u)$ is not defined.

A **node** of t is any word u of positive integers such that $t(u)$ is well-defined. In this case, $t(u)$ is the **u -suffix subtree** of t . Moreover, for any $i \in [k]$ where k is the arity of the node u in t , $t(ui)$ is the **i -th subtree** of u in t . A node u of t is **internal** if u is a proper prefix of an other node of t . A **leaf** of t is a node of t which is not internal. We denote by $\mathcal{N}(t)$ (resp. $\mathcal{N}_\bullet(t)$, $\mathcal{N}_\downarrow(t)$) the set of all nodes (resp. internal nodes, leaves) of t .

The **degree** $\deg(t)$ (resp. **arity** $|t|$) of t is its number of internal nodes (resp. leaves). The only \mathfrak{G} -tree of degree 0 and arity 1 is the **leaf** and is denoted by $\mathbf{\downarrow}$. For any $a \in \mathfrak{G}(k)$, the **corolla** decorated by a is the tree $c(a)$ consisting in one internal node decorated by a having as children k leaves. The leaves of t are totally ordered by their position in $\mathcal{N}_\downarrow(t)$ with respect to the lexicographic order. They are thus implicitly indexed from 1 to $|t|$.

For instance, if $\mathfrak{G} := \mathfrak{G}(2) \sqcup \mathfrak{G}(3)$ with $\mathfrak{G}(2) := \{a, b\}$ and $\mathfrak{G}(3) := \{c\}$,

$$t := \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{b} \quad \text{c} \quad \text{a} \\ \swarrow \searrow \quad \swarrow \searrow \quad \swarrow \searrow \\ \text{ } \quad \text{ } \quad \text{c} \quad \text{a} \quad \text{ } \quad \text{ } \end{array} \quad (1.2.1)$$

is a \mathfrak{G} -tree of degree 5 and arity 8. Its root is decorated by c and has arity 3. Moreover, we have

$$t(1) = \begin{array}{c} \text{b} \\ \swarrow \searrow \\ \text{ } \quad \text{ } \end{array} = c(b), \quad t(2) = \mathbf{\downarrow}, \quad t(3) = \begin{array}{c} \text{a} \\ \swarrow \quad \searrow \\ \text{c} \quad \text{a} \\ \swarrow \searrow \quad \swarrow \searrow \\ \text{ } \quad \text{ } \end{array}, \quad t(32) = \begin{array}{c} \text{a} \\ \swarrow \searrow \\ \text{ } \quad \text{ } \end{array} = c(a), \quad (1.2.2)$$

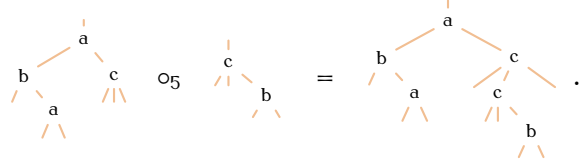
and $\mathcal{N}(t) = \{\epsilon, 1, 11, 12, 2, 3, 31, 311, 312, 313, 32, 321, 322\}$, $\mathcal{N}_\bullet(t) = \{\epsilon, 1, 3, 31, 32\}$, and $\mathcal{N}_\downarrow(t) = \{11, 12, 2, 311, 312, 313, 321, 322\}$.

1.2.2. *Graded sets of syntax trees and partial compositions.* Given an alphabet \mathfrak{G} , we denote by $\mathbf{S}(\mathfrak{G})$ (resp. $\mathbf{S}_\bullet(\mathfrak{G})$) the graded set of all the \mathfrak{G} -trees where the rank of a tree is

its arity (resp. its degree). When \mathfrak{G} is finite, the graded set $\mathbf{S}_\bullet(\mathfrak{G})$ is combinatorial and its generating series $\mathcal{R}_{\mathbf{S}_\bullet(\mathfrak{G})}(t)$, counting its elements with respect to their degrees, satisfies

$$\mathcal{R}_{\mathbf{S}_\bullet(\mathfrak{G})}(t) = 1 + t\mathcal{R}_{\mathfrak{G}}(\mathcal{R}_{\mathbf{S}_\bullet(\mathfrak{G})}(t)). \quad (1.2.3)$$

Given $\mathfrak{t}, \mathfrak{s} \in \mathbf{S}_\bullet(\mathfrak{G})$ and $i \in [|t|]$, the *partial composition* $\mathfrak{t} \circ_i \mathfrak{s}$ is the \mathfrak{G} -tree obtained by grafting the root of \mathfrak{s} onto the i -th leaf of \mathfrak{t} . For instance, by considering the previous graded set \mathfrak{G} of Section 1.2.1, one has



$$\begin{array}{c} \text{Tree 1: } \begin{array}{c} a \\ / \quad \backslash \\ b \quad c \\ \backslash \quad / \\ a \quad b \end{array} \quad \circ_5 \quad \begin{array}{c} c \\ / \quad \backslash \\ \quad b \end{array} = \begin{array}{c} a \\ / \quad \backslash \\ b \quad c \\ \backslash \quad / \quad \backslash \quad / \\ a \quad b \quad c \quad b \end{array} \end{array} \quad (1.2.4)$$

Moreover, for any $u \in \mathcal{N}(\mathfrak{t})$, we shall denote by $\mathfrak{t} \circ^u \mathfrak{s}$ the \mathfrak{G} -tree obtained by grafting the root of \mathfrak{s} into the leaf u of \mathfrak{t} . For instance, the partial composition shown in (1.2.4) is the same as the one obtained by composing the two considered trees through \circ^{22} since the 5-th leaf of the first tree is 22.

By a slight but convenient abuse of notation, given $a, b \in \mathfrak{G}$ and $i \in [|a|]$, we shall in some cases simply write $a \circ_i b$ instead of $c(a) \circ_i c(b)$. Moreover, when the context is clear, we shall even write a for $c(a)$. In addition, given some \mathfrak{G} -trees $\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|}$, we shall write $a(\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|})$ instead of $(a, (\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|}))$.

1.3. Nonsymmetric operads. We set here elementary definitions and notations about nonsymmetric operads, free nonsymmetric operads, and presentations by generators and relations. Most of these notions can be found in [Gir18, Chapter 5.] or in [Mé15].

1.3.1. Elementary definitions. A *nonsymmetric operad in the category of sets*, or a *nonsymmetric operad* for short, is a graded set \mathcal{O} together with maps

$$\circ_i : \mathcal{O}(n) \times \mathcal{O}(m) \rightarrow \mathcal{O}(n + m - 1), \quad 1 \leq i \leq n, \quad 1 \leq m, \quad (1.3.1)$$

called *partial compositions*, and a distinguished element $\mathbf{1} \in \mathcal{O}(1)$, the *unit* of \mathcal{O} . This data has to satisfy, for any $x, y, z \in \mathcal{O}$, the three relations

$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z), \quad i \in [|x|], \quad j \in [|y|], \quad (1.3.2a)$$

$$(x \circ_i y) \circ_{j+|y|-1} z = (x \circ_j z) \circ_i y, \quad i, j \in [|x|], \quad i < j, \quad (1.3.2b)$$

$$\mathbf{1} \circ_1 x = x = x \circ_1 \mathbf{1}, \quad i \in [|x|]. \quad (1.3.2c)$$

Since we consider in this work only nonsymmetric operads, we shall call these simply *operads*. The *arity* $|x|$ of any $x \in \mathcal{O}$ is its rank. An operad \mathcal{O} is *combinatorial* if \mathcal{O} is combinatorial as a graded set.

Given an operad \mathcal{O} , one defines the *full composition* maps of \mathcal{O} as the maps

$$\circ : \mathcal{O}(n) \times \mathcal{O}(m_1) \times \cdots \times \mathcal{O}(m_n) \rightarrow \mathcal{O}(m_1 + \cdots + m_n), \quad 1 \leq n, \quad 1 \leq m_1, \dots, 1 \leq m_n, \quad (1.3.3)$$

defined, for any $x \in \mathcal{O}(n)$ and $y_1, \dots, y_n \in \mathcal{O}$, by

$$x \circ [y_1, \dots, y_n] := (\dots ((x \circ_n y_n) \circ_{n-1} y_{n-1}) \dots) \circ_1 y_1. \quad (1.3.4)$$

When \mathcal{O} is combinatorial, the *Hilbert series* $\mathcal{H}_{\mathcal{O}}(t)$ of \mathcal{O} is the generating series $\mathcal{G}_{\mathcal{O}}(t)$. If \mathcal{O}_1 and \mathcal{O}_2 are two operads, a graded set morphism $\psi : \mathcal{O}_1 \rightarrow \mathcal{O}_2$ is an *operad morphism* if it sends the unit of \mathcal{O}_1 to the unit of \mathcal{O}_2 and commutes with partial composition maps. We say that \mathcal{O}_2 is a *suboperad* of \mathcal{O}_1 if \mathcal{O}_2 is a graded subset of \mathcal{O}_1 , \mathcal{O}_1 and \mathcal{O}_2 have the same unit, and the partial compositions of \mathcal{O}_2 are the ones of \mathcal{O}_1 restricted on \mathcal{O}_2 . For any subset \mathfrak{G} of \mathcal{O} , the *operad generated* by \mathfrak{G} is the smallest suboperad $\mathcal{O}^{\mathfrak{G}}$ of \mathcal{O} containing \mathfrak{G} . When $\mathcal{O}^{\mathfrak{G}} = \mathcal{O}$ and \mathfrak{G} is minimal with respect to the inclusion among the subsets of \mathcal{O} satisfying this property, \mathfrak{G} is a *minimal generating set* of \mathcal{O} and its elements are *generators* of \mathcal{O} . An *operad congruence* of \mathcal{O} is an equivalence relation \equiv respecting the arities and such that, for any $x, y, x', y' \in \mathcal{O}$, $x \equiv x'$ and $y \equiv y'$ implies $x \circ_i y \equiv x' \circ_i y'$ for any $i \in [|x|]$. The \equiv -equivalence class of any $x \in \mathcal{O}$ is denoted by $[x]_{\equiv}$. Given an operad congruence \equiv , the *quotient operad* $\mathcal{O}/_{\equiv}$ is the operad on the set of all \equiv -equivalence classes and defined in the usual way.

1.3.2. Free operads. Let \mathfrak{G} be an alphabet. The *free operad* on \mathfrak{G} is the operad defined on the graded set $\mathbf{S}_{\mathfrak{G}}(\mathfrak{G})$ wherein the partial compositions \circ_i are the partial compositions of \mathfrak{G} -trees. By considering the previous graded set \mathfrak{G} of Section 1.2.1, one has, as an example of a full composition in $\mathbf{S}_{\mathfrak{G}}(\mathfrak{G})$,

$$\begin{array}{c} \text{b} \\ \diagup \quad \diagdown \\ \text{a} \end{array} \circ \left[\begin{array}{c} \text{a} \\ \diagup \quad \diagdown \\ \text{a} \quad \text{b} \end{array}, \begin{array}{c} \text{c} \\ \diagup \quad \diagdown \\ \text{c} \end{array} \right] = \begin{array}{c} \text{b} \\ \diagup \quad \diagdown \\ \text{a} \quad \text{a} \\ \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ \text{a} \quad \text{b} \quad \text{c} \end{array}. \quad (1.3.5)$$

When \mathfrak{G} is combinatorial and satisfies $\mathfrak{G}(1) = \emptyset$, the Hilbert series $\mathcal{H}_{\mathbf{S}_{\mathfrak{G}}(\mathfrak{G})}(t)$ satisfies

$$\mathcal{H}_{\mathbf{S}_{\mathfrak{G}}(\mathfrak{G})}(t) = t + \mathcal{R}_{\mathfrak{G}}\left(\mathcal{H}_{\mathbf{S}_{\mathfrak{G}}(\mathfrak{G})}(t)\right). \quad (1.3.6)$$

Free operads satisfy the following universality property. The free operad $\mathbf{S}_{\mathfrak{G}}(\mathfrak{G})$ is the unique operad (up to isomorphism) such that for any operad \mathcal{O} and any graded set morphism $f : \mathfrak{G} \rightarrow \mathcal{O}$, there exists a unique operad morphism $\psi : \mathbf{S}_{\mathfrak{G}}(\mathfrak{G}) \rightarrow \mathcal{O}$ such that the factorization $f = \psi \circ c$ holds. In other terms, the diagram

$$\begin{array}{ccc} \mathfrak{G} & \xrightarrow{f} & \mathcal{O} \\ \downarrow c & \searrow \psi & \uparrow \\ \mathbf{S}_{\mathfrak{G}}(\mathfrak{G}) & & \end{array} \quad (1.3.7)$$

commutes.

1.3.3. Presentations and treelike expressions. A *presentation* of an operad \mathcal{O} is a pair (\mathfrak{G}, \equiv) such that \mathfrak{G} is an alphabet, \equiv is an operad congruence of $\mathbf{S}(\mathfrak{G})$, and \mathcal{O} is isomorphic to $\mathbf{S}(\mathfrak{G})/\equiv$. In most of the practical cases, \mathfrak{G} is a subset of \mathcal{O} such that \mathfrak{G} is a minimal generating set of \mathcal{O} .

When \mathcal{O} satisfies $\mathcal{O}(0) = \emptyset$, \mathcal{O} is in particular an alphabet. For this reason, $\mathbf{S}(\mathcal{O})$ is a well-defined free operad. The *evaluation map* of \mathcal{O} is the map $\text{ev} : \mathbf{S}(\mathcal{O}) \rightarrow \mathcal{O}$ defined as the unique surjective operad morphism satisfying, for any $x \in \mathcal{O}$, $\text{ev}(c(x)) = x$. Given S a subset of \mathcal{O} , a *treelike expression* on S of an element x of \mathcal{O} is a \mathcal{O} -tree t belonging to the fiber $\text{ev}^{-1}(x)$ and such that all internal nodes of t are decorated by elements of S . The set of all treelike expressions on S of x is denoted by $\mathcal{T}_S(x)$.

1.3.4. Linear operads. The partial and the full composition operations of an operad \mathcal{O} extend by linearity on the space $\mathbb{K}(\mathcal{O})$. This fact will be used implicitly in the sequel. Moreover, it is convenient in what follows, when $x \in \mathcal{O}(n)$ and $y \in \mathcal{O}$, to set $x \circ_i y := 0$ whenever $i \notin [n]$. This convention will be used also implicitly in the sequel. Besides, when \mathcal{O} is in particular the free operad on \mathfrak{G} , by a slight abuse of notation, for any $a \in \mathfrak{G}$ and $\mathbf{S}(\mathfrak{G})$ -polynomials $f_1, \dots, f_{|a|}$, we shall write $a(f_1, \dots, f_{|a|})$ for $c(a) \circ [f_1, \dots, f_{|a|}]$.

2. GRADED GRAPHS OF SYNTAX TREES

The objective of this section is to introduce two graded graphs of syntax trees which are ϕ -diagonal dual for a certain map ϕ . These graphs will be used as raw material in the next sections in order to associate pairs of graded graphs with operads.

2.1. Prefix graded graphs. We begin by introducing prefix graded graphs and present some of their combinatorial properties.

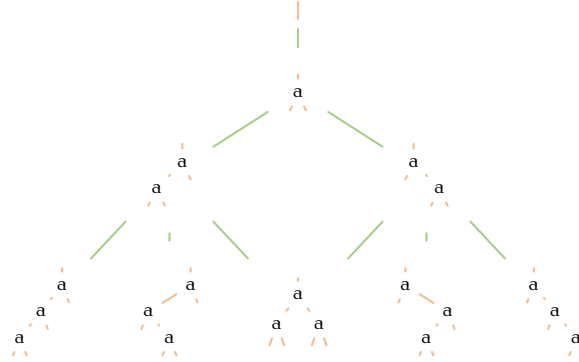
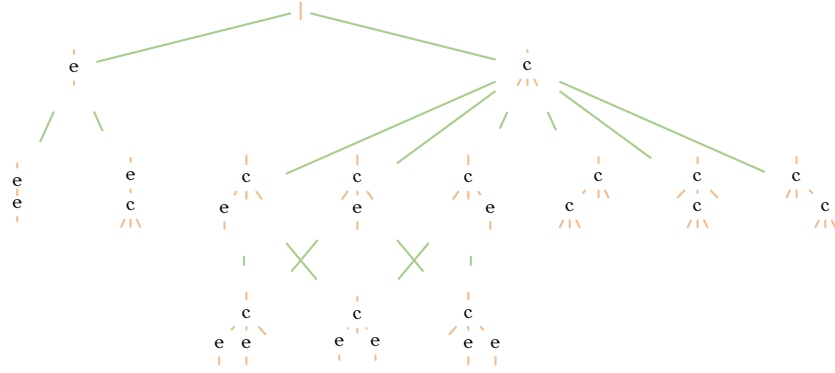
2.1.1. First definitions and properties. For any finite alphabet \mathfrak{G} , let $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{U})$ be the graded graph wherein, for any $t \in \mathbf{S}_\bullet(\mathfrak{G})$,

$$\mathbf{U}(t) := \sum_{\substack{a \in \mathfrak{G} \\ i \in [|t|]}} t \circ_i a. \quad (2.1.1)$$

We call $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{U})$ the *\mathfrak{G} -prefix graph*. Since \mathfrak{G} is finite, $\mathbf{U}(t)$ is a $\mathbf{S}_\bullet(\mathfrak{G})$ -polynomial. Moreover, since all trees appearing in $\mathbf{U}(t)$ are of rank $\deg(t) + 1$, the \mathfrak{G} -prefix graph is a well-defined graded graph. Observe that this graded graph is simple and that it admits $\mathbf{1}$ as root. Figure 2 shows examples of such graphs.

An internal node u of a \mathfrak{G} -tree t is *maximal* if u has only leaves as children. The set of all maximal nodes of t is denoted by $\mathcal{N}_\bullet^m(t)$. For any $u \in \mathcal{N}_\bullet^m(t)$, the *deletion* of u in t is the \mathfrak{G} -tree $\text{del}_u(t)$ obtained by replacing the node u of t by a leaf. By relying on these definitions, the adjoint map of \mathbf{U} satisfies, for any $t \in \mathbf{S}_\bullet(\mathfrak{G})$,

$$\mathbf{U}^*(t) = \sum_{u \in \mathcal{N}_\bullet^m(t)} \text{del}_u(t). \quad (2.1.2)$$

(A) For $\mathfrak{G} = \{a\}$ with $|a| = 2$ up to degree 3.(B) For $\mathfrak{G} = \{e, c\}$ with $|e| = 1$ and $|c| = 3$ up to degree 2 and with some trees of degree 3.FIGURE 2. Two graded graphs $(S_\bullet(\mathfrak{G}), U)$.

2.1.2. Diagonal self-duality. We give here a necessary and sufficient condition on the alphabet \mathfrak{G} for the fact that $(S_\bullet(\mathfrak{G}), U)$ is ϕ -diagonal self-dual.

Proposition 2.1.1. *The graded graph $(S_\bullet(\mathfrak{G}), U)$ is ϕ -diagonal self-dual if and only if \mathfrak{G} is the empty alphabet or a singleton alphabet. When \mathfrak{G} is a singleton, $\phi : \mathbb{K}\langle S_\bullet(\mathfrak{G}) \rangle \rightarrow \mathbb{K}\langle S_\bullet(\mathfrak{G}) \rangle$ satisfies*

$$\phi(t) = (|t| - \#N_\bullet^m(t)) t \quad (2.1.3)$$

for any \mathfrak{G} -tree t .

Proof. First of all, when \mathfrak{G} is empty, $(S_\bullet(\mathfrak{G}), U)$ is immediately ϕ -diagonal self-dual for the zero map ϕ . Assume that \mathfrak{G} is the singleton $\{a\}$. When $t = \text{root}$, since $(U^*U - UU^*)(\text{root}) = \text{root}$, the property is satisfied. Assume now that t has at least one internal node. All terms of $(U^*U)(t)$ are obtained by changing a leaf of t into an internal node decorated by a , and then by suppressing a maximal internal node of the obtained tree. Then, in particular when

the suppressed internal node is the one which has been just added, t occurs in $(U^*U)(t)$. For this reason, the coefficient of the term t is $|t|$. Moreover, all terms of $(UU^*)(t)$ are obtained by suppressing a maximal internal node of t , and then by changing a leaf into an internal node decorated by a of the obtained tree. For this reason, the coefficient of the term t is $\mathcal{N}_\bullet^m(t)$. Finally, since all trees different from t appearing $(U^*U)(t)$ or in $(UU^*)(t)$ are the same and have all 1 as coefficient, the statement of the proposition follows.

Conversely, assume that \mathfrak{G} is not empty neither a singleton. Hence, there exist $a, b \in \mathfrak{G}$ with $a \neq b$, and we have in particular

$$(U^*U - UU^*)(a) = U^* \left(\sum_{\substack{c \in \mathfrak{G} \\ i \in [|a|]}} a \circ_i c \right) - U(\cdot) = (\#\mathfrak{G})|a| a - \sum_{c \in \mathfrak{G}} c. \quad (2.1.4)$$

Since b appears in (2.1.4), this shows that $(S_\bullet(\mathfrak{G}), U)$ is not ϕ -diagonal self-dual. \square

2.1.3. Path enumeration. Recall that if (\mathcal{P}, \preceq) is a finite poset, a *linear extension* of \mathcal{P} is a bijective map $\sigma : \mathcal{P} \rightarrow [\#\mathcal{P}]$ such that for any $x, y \in \mathcal{P}$, $x \preceq y$ implies $\sigma(x) \leq \sigma(y)$, where \leq is the natural total order on the set of natural numbers $[\#\mathcal{P}]$. The linear extension σ can be encoded by the permutation

$$(x_1, \dots, x_{\#\mathcal{P}}) \quad (2.1.5)$$

of elements of \mathcal{P} , wherein for any $x \in \mathcal{P}$, $\sigma(x)$ is the position of x in the word (2.1.5). Observe that if \mathcal{P} is the disjoint union of some posets $\mathcal{P}_1, \dots, \mathcal{P}_k$, $k \geq 0$, each permutation encoding a linear extension σ of \mathcal{P} is obtained by shuffling the permutations encoding respectively linear extensions $\sigma_1, \dots, \sigma_k$ of $\mathcal{P}_1, \dots, \mathcal{P}_k$. Therefore, if each \mathcal{P}_i , $i \in [k]$, has a_i linear extensions, then \mathcal{P} has the multinomial

$$\wr a_1, \dots, a_k := \frac{(a_1 + \dots + a_k)!}{a_1! \dots a_k!} \quad (2.1.6)$$

as number of linear extensions.

The *poset induced* by a \mathfrak{G} -tree t is the poset $\mathbb{P}(t) := (\mathcal{N}_\bullet(t), \preceq)$ wherein for any $u, v \in \mathcal{N}_\bullet(t)$, $u \preceq v$ if u is an ancestor of v . The number $h(t)$ of all linear extensions of $\mathbb{P}(t)$ is given by the hook-length formula for rooted planar trees [Knu98] and satisfies

$$h(t) = \frac{\deg(t)!}{\prod_{u \in \mathcal{N}_\bullet(t)} \deg(t(u))}. \quad (2.1.7)$$

By elementary computations involving multinomial coefficients, and by structural induction on \mathfrak{G} -trees, one can show that these numbers satisfy the recurrence relation

$$h(\cdot) = 1, \quad (2.1.8a)$$

$$h(a(s_1, \dots, s_{|a|})) = \wr \deg(s_1), \dots, \deg(s_{|a|})! \prod_{i \in [|a|]} h(s_i) \quad (2.1.8b)$$

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees $s_1, \dots, s_{|a|}$.

Proposition 2.1.2. *For any finite alphabet \mathfrak{G} , the hook series of $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{U})$ satisfies $\langle \mathfrak{t}, \mathbf{h}_\mathbf{U} \rangle = h(\mathfrak{t})$ for any \mathfrak{G} -tree \mathfrak{t} .*

Proof. Let us proceed by induction on the degree of the \mathfrak{G} -trees. By definition of $\mathbf{h}_\mathbf{U}$, $\langle \mathfrak{t}, \mathbf{h}_\mathbf{U} \rangle = 1$, and since $h(\mathfrak{t}) = 1$, the property holds. Now, let \mathfrak{t} be a \mathfrak{G} -tree of degree $d \geq 1$. We have, by definition of $\mathbf{h}_\mathbf{U}$, by (2.1.2), and by induction hypothesis,

$$\begin{aligned} \langle \mathfrak{t}, \mathbf{h}_\mathbf{U} \rangle &= \langle \mathbf{U}^*(\mathfrak{t}), \mathbf{h}_\mathbf{U} \rangle \\ &= \left\langle \sum_{u \in \mathcal{N}_\bullet^m(\mathfrak{t})} \text{del}_u(\mathfrak{t}), \mathbf{h}_\mathbf{U} \right\rangle \\ &= \sum_{u \in \mathcal{N}_\bullet^m(\mathfrak{t})} \langle \text{del}_u(\mathfrak{t}), \mathbf{h}_\mathbf{U} \rangle \\ &= \sum_{u \in \mathcal{N}_\bullet^m(\mathfrak{t})} h(\text{del}_u(\mathfrak{t})). \end{aligned} \tag{2.1.9}$$

From the definition of $\mathbb{P}(\mathfrak{t})$, it follows that any permutation encoding a linear extension of $\mathbb{P}(\mathfrak{t})$ writes as

$$(\epsilon, v^{(1)}, \dots, v^{(d-2)}, u) \tag{2.1.10}$$

where the permutation $(\epsilon, v^{(1)}, \dots, v^{(d-2)})$ encodes a linear extension of $\mathbb{P}(\text{del}_u(\mathfrak{t}))$ and u is a maximal node of \mathfrak{t} . This leads, by (2.1.9), to $\langle \mathfrak{t}, \mathbf{h}_\mathbf{U} \rangle = h(\mathfrak{t})$. \square

For any alphabet \mathfrak{G} , let $m_\mathfrak{G}$ be the arity of a letter having a maximal arity in \mathfrak{G} .

Proposition 2.1.3. *For any finite alphabet \mathfrak{G} , the initial path series of $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{U})$ satisfies*

$$\langle \mathfrak{t}^d, \mathbf{ip}_\mathbf{U} \rangle = \sum_{n \in [1 + (m_\mathfrak{G} - 1)d]} \theta(d, n) \tag{2.1.11}$$

for any $d \geq 0$, where $\theta(d, n)$ satisfies the recurrence $\theta(d, n) = 0$ for any $n \leq 0$ and $d \in \mathbb{Z}$, $\theta(0, 1) = 1$, and

$$\theta(d, n) = \sum_{\substack{a \in \mathfrak{G} \\ |a| \leq n}} (n + 1 - |a|) \theta(d - 1, n + 1 - |a|) \tag{2.1.12}$$

for any $d \geq 1$ and $n \geq 1$.

Proof. Let us prove that $\theta(d, n)$ is the number of initial paths in $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{U})$ to elements of degree d and arity n by induction on $d \geq 0$. First, since there are no syntax trees of arity 0 or less, $\theta(d, n) = 0$ for any $n \leq 0$ and $d \in \mathbb{Z}$. Moreover, since $\theta(0, 1) = 1$, the property is satisfied because \mathfrak{t} is the unique tree of degree 0 and arity 1, and there is exactly one initial path to \mathfrak{t} . Assume now that $d \geq 1$. Any initial path to a tree \mathfrak{t} of degree d and arity n decomposes as an initial path to a tree \mathfrak{t}' of degree $d - 1$ such that \mathfrak{t} appears in $\mathbf{U}(\mathfrak{t}')$. Hence, there is an $i \in [|\mathfrak{t}'|]$ and an $a \in \mathfrak{G}$ such that $\mathfrak{t} = \mathfrak{t}' \circ_i a$. This implies that $|\mathfrak{t}'| = n + 1 - |a|$ and $|a| \leq n$. By induction hypothesis, there are $\theta(d - 1, |\mathfrak{t}'|)$ initial paths to trees of degree $d - 1$ and arity $|\mathfrak{t}'|$. Therefore, due to the fact that initial paths to trees of degree d decompose as explained before, $\theta(d, n)$ satisfies the claimed property. Finally, (2.1.11) is a consequence of the fact that a \mathfrak{G} -tree of degree d has 1 as minimal arity and $1 + (m_\mathfrak{G} - 1)d$ as maximal

arity. Indeed, this maximal arity is reached for trees consisting only in internal nodes of a maximal arity $m_{\mathfrak{G}}$. \square

Here are the sequences of the first coefficients of some initial paths series of $(\mathbf{S}_{\bullet}(\mathfrak{G}), \mathbf{U})$:

$$1, 1, 2, 6, 24, 120, 720, 5040, \quad \text{for } \mathfrak{G} = \{a\} \text{ with } |a| = 2, \quad (2.1.13a)$$

$$1, 1, 3, 15, 105, 945, 10395, 135135, \quad \text{for } \mathfrak{G} = \{c\} \text{ with } |c| = 3, \quad (2.1.13b)$$

$$1, 2, 8, 48, 384, 3840, 46080, 645120, \quad \text{for } \mathfrak{G} = \{a, b\} \text{ with } |a| = |b| = 2, \quad (2.1.13c)$$

$$1, 2, 10, 82, 938, 13778, 247210, 5240338, \quad \text{for } \mathfrak{G} = \{a, c\} \text{ with } |a| = 2, |c| = 3. \quad (2.1.13d)$$

These are respectively Sequences **A000142**, **A001147**, **A000165**, and **A112487** of [Slo].

2.2. Twisted prefix graded graphs. We now introduce a second sort of graded graphs and present some of their combinatorial properties. The aim is to study this graded graph in order to show in the next section that, together with the first kind of graded graphs, this forms a pair of ϕ -diagonal dual graded graphs.

2.2.1. First definitions and properties. For any finite alphabet \mathfrak{G} , let $\mathbf{V}^* : \mathbb{K}\langle \mathbf{S}_{\bullet}(\mathfrak{G}) \rangle \rightarrow \mathbb{K}\langle \mathbf{S}_{\bullet}(\mathfrak{G}) \rangle$ be the linear map satisfying the recurrence

$$\mathbf{V}^*(\cdot) := 0, \quad (2.2.1a)$$

$$\mathbf{V}^*(a(\mathfrak{s}, \cdot, \dots, \cdot)) := \mathfrak{s}, \quad (2.2.1b)$$

$$\mathbf{V}^*(a(\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|})) := \sum_{j \in [2, |a|]} a(\mathfrak{s}_1, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^*(\mathfrak{s}_j), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|}), \quad (2.2.1c)$$

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees $\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|}$ such that there is at least a $j \in [2, |a|]$ such that $\mathfrak{s}_j \neq \cdot$. For instance, for $\mathfrak{G} = \{e, a, c\}$ where $|e| = 1$, $|a| = 2$, and $|c| = 3$, one has

$$\mathbf{V}^* \left(\begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{e} \quad \text{a} \quad \text{c} \end{array} \right) = \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{e} \quad \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{a} \quad \text{c} \end{array} + \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{e} \quad \text{a} \quad \text{a} \end{array} + \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{e} \quad \text{c} \quad \text{c} \end{array}. \quad (2.2.2)$$

This recursive definition for \mathbf{V}^* is convenient to set up proofs by induction of properties involving this map. Nevertheless, we shall consider also a non-recursive description relying on the following definitions. Let \mathfrak{t} be a \mathfrak{G} -tree decomposing as $\mathfrak{t} = \mathfrak{s} \circ^u (\mathfrak{a} \circ_i \mathfrak{s}')$ where \mathfrak{s} and \mathfrak{s}' are \mathfrak{G} -trees, $u \in \mathcal{N}(\mathfrak{s})$, $i \in [|a|]$, and $a \in \mathfrak{G}$. The *contraction* of the internal node u of \mathfrak{t} is the \mathfrak{G} -tree $\text{con}_u(\mathfrak{t}) := \mathfrak{s} \circ^u \mathfrak{s}'$. For instance, by considering the same alphabet \mathfrak{G} as in the previous example, the contraction of the node 2 of the \mathfrak{G} -tree

$$\mathfrak{t} := \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{a} \quad \text{a} \end{array} = \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{c} \end{array} \circ^2 \left(\begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{c} \quad \text{a} \quad \text{a} \end{array} \right), \quad (2.2.3)$$

is

$$\text{con}_2(t) = \begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{a} \quad \text{a} \end{array} \circ^2 \begin{array}{c} \text{a} \\ / \quad \backslash \\ \text{a} \quad \text{a} \end{array} = \begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{a} \quad \text{a} \\ / \quad \backslash \\ \text{a} \quad \text{a} \end{array}. \quad (2.2.4)$$

Observe that when u is a maximal internal node of t , one has $\text{con}_u(t) = \text{del}_u(t)$.

Besides, an internal node u of t is *quasi-maximal* if u admits no occurrence of the letter 1 and all uj are leaves for all $j \in [2, k]$ where k is the arity of u in t . In other words, the path connecting the root of t with u does never go through a first edge of an internal node and u has only leaves as children except possibly at first position. We denote by $\mathcal{N}_{\bullet}^{\text{qm}}(t)$ the set of all quasi-maximal nodes of t . For instance, by considering the same alphabet \mathcal{G} as in the previous examples, here is a \mathcal{G} -tree wherein its quasi-maximal nodes are framed:

$$\begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{a} \quad \text{c} \\ / \quad \backslash \\ \text{e} \quad \text{a} \end{array} \quad \begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{a} \quad \text{c} \\ / \quad \backslash \\ \text{a} \quad \text{c} \end{array}. \quad (2.2.5)$$

Observe that for any $u \in \mathcal{N}_{\bullet}^{\text{qm}}(t)$, one has $t = s \circ^u (a \circ_1 s')$ where s and s' are \mathcal{G} -trees, possibly leaves. Therefore, $\text{con}_u(t)$ is well-defined.

By relying on these definitions, the map V^* rephrases, in a non-recursive way, as follows.

Proposition 2.2.1. *For any finite alphabet \mathcal{G} and any \mathcal{G} -tree t , the map V^* satisfies*

$$V^*(t) = \sum_{u \in \mathcal{N}_{\bullet}^{\text{qm}}(t)} \text{con}_u(t). \quad (2.2.6)$$

Proof. We proceed by induction on the degree d of t . If $d = 0$, then $t = |$ and we have $V^*(|) = 0$. Moreover, since $|$ has no internal node, the right-hand side of (2.2.6) is equal to 0. Hence, the property holds here. Assume now that $d \geq 1$. If t decomposes as $t = a(s, |, \dots, |)$ where $a \in \mathcal{G}$ and s is a \mathcal{G} -tree, we have $V^*(t) = s$ and, since the root ϵ of t is the only quasi-maximal node of t , the right-hand side of (2.2.6) is equal to $\text{con}_{\epsilon}(t) = s$. Hence, the property holds here also. It remains to explore the case where t decomposes as $t = a(s_1, \dots, s_{|a|})$ where $a \in \mathcal{G}$ and $s_1, \dots, s_{|a|}$ are \mathcal{G} -trees such that there is a $j \in [2, |a|]$ such that $s_j \neq |$. We have, by definition of V^* and by induction hypothesis,

$$\begin{aligned} V^*(t) &= \sum_{j \in [2, |a|]} a(s_1, \dots, s_{j-1}, V^*(s_j), s_{j+1}, \dots, s_{|a|}) \\ &= \sum_{j \in [2, |a|]} a(s_1, \dots, s_{j-1}, \sum_{u \in \mathcal{N}_{\bullet}^{\text{qm}}(s_j)} \text{con}_u(s_j), s_{j+1}, \dots, s_{|a|}). \end{aligned} \quad (2.2.7)$$

Now, the last member of (2.2.7) is equal to the right-hand side of (2.2.6) since

$$\mathcal{N}_{\bullet}^{\text{qm}}(t) = \bigcup_{j \in [2, |a|]} \{ju : u \in \mathcal{N}_{\bullet}^{\text{qm}}(s_j)\}. \quad (2.2.8)$$

This says that the quasi-maximal nodes of t come from the quasi-maximal nodes of the s_j , $j \in [2, |a|]$. Whence (2.2.6) is established. \square

It follows from Proposition 2.2.1 that for any \mathfrak{G} -tree t , all the trees appearing in $V^*(t)$ have $\deg(t) - 1$ as degree. For this reason, the graph $(S_\bullet(\mathfrak{G}), V)$ is graded and the rank of a \mathfrak{G} -tree is its degree. We call $(S_\bullet(\mathfrak{G}), V)$ the *\mathfrak{G} -twisted prefix graph*. Besides, again by Proposition 2.2.1, for any \mathfrak{G} -tree t , the trees appearing in $V^*(t)$ have trivial coefficients. For this reason, the graph $(S_\bullet(\mathfrak{G}), V)$ is simple. Moreover, one can prove by structural induction on \mathfrak{G} -trees that any \mathfrak{G} -tree t different from the leaf admits at least one internal node which is quasi-maximal. For this reason, if t is a \mathfrak{G} -tree different from the leaf, $V^*(t) \neq 0$, implying that $(S_\bullet(\mathfrak{G}), V)$ admits \mid as root. Observe also that when \mathfrak{G} contains only unary letters, $(S_\bullet(\mathfrak{G}), V)$ is the line.

Since V is the adjoint map of V^* , we can provide a recursive description of V from the recursive definition of V^* of (2.2.1a), (2.2.1b), and (2.2.1c). Indeed, it is possible to show by induction on the degrees of the \mathfrak{G} -trees that V satisfies

$$V(\mid) = \sum_{a \in \mathfrak{G}} a, \quad (2.2.9a)$$

$$\begin{aligned} V(b(s_1, \dots, s_{|b|})) &= \left(\sum_{a \in \mathfrak{G}} a \circ_1 b(s_1, \dots, s_{|b|}) \right) \\ &+ \left(\sum_{j \in [2, |b|]} b(s_1, \dots, s_{j-1}, V(s_j), s_{j+1}, \dots, s_{|b|}) \right), \end{aligned} \quad (2.2.9b)$$

for any $b \in \mathfrak{G}$ and any \mathfrak{G} -trees $s_1, \dots, s_{|b|}$. For instance, by considering the same alphabet \mathfrak{G} as in the previous example, one has for instance

$$\begin{aligned} V\left(\begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad a \end{array}\right) &= \begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad a \end{array} + \begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad c \end{array} + \begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad e \end{array} + \begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad a \end{array} + \begin{array}{c} a \\ \diagup \quad \diagdown \\ c \quad a \end{array} + \begin{array}{c} a \\ \diagup \quad \diagdown \\ e \quad a \end{array} \\ &+ \begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad a \end{array} + \begin{array}{c} a \\ \diagup \quad \diagdown \\ a \quad c \end{array} + \begin{array}{c} e \\ \diagup \quad \diagdown \\ a \quad a \end{array}, \end{aligned} \quad (2.2.10a)$$

$$\begin{aligned} V\left(\begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad e \end{array}\right) &= \begin{array}{c} a \\ \diagup \quad \diagdown \\ c \quad e \end{array} + \begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad e \end{array} + \begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad c \end{array} + \begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad e \end{array} + \begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad a \end{array} + \begin{array}{c} c \\ \diagup \quad \diagdown \\ c \quad e \end{array} \\ &+ \begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad e \end{array} + \begin{array}{c} c \\ \diagup \quad \diagdown \\ a \quad c \end{array} + \begin{array}{c} e \\ \diagup \quad \diagdown \\ a \quad e \end{array}. \end{aligned} \quad (2.2.10b)$$

Observe that when \mathfrak{G} contains at least one letter a such that $|a| \geq 2$, $(S_\bullet(\mathfrak{G}), V)$ is not ϕ -diagonal self-dual. Indeed,

$$\begin{aligned}
 (V^*V - VV^*)(a \circ_1 a) &= V^* \left(\left(\sum_{b \in \mathfrak{G}} b \circ_1 (a \circ_1 a) \right) + \left(\sum_{\substack{j \in [2, |a|] \\ b \in \mathfrak{G}}} (a \circ_j b) \circ_1 a \right) \right) - V(a) \\
 &= (\#\mathfrak{G}) a \circ_1 a + (\#\mathfrak{G})(|a| - 1) a \circ_1 a - \left(\sum_{b \in \mathfrak{G}} b \circ_1 a \right) - \left(\sum_{\substack{j \in [2, |a|] \\ b \in \mathfrak{G}}} a \circ_j b \right) \\
 &= (\#\mathfrak{G})|a| a \circ_1 a - \left(\sum_{b \in \mathfrak{G}} b \circ_1 a \right) - \left(\sum_{\substack{j \in [2, |a|] \\ b \in \mathfrak{G}}} a \circ_j b \right).
 \end{aligned} \tag{2.2.11}$$

Besides, in the particular case where $\mathfrak{G} = \mathfrak{G}(2) = \{a\}$, the map V^* satisfies the recurrence

$$V^*(\emptyset) = 0, \tag{2.2.12a}$$

$$V^*(a(\mathfrak{s}, \mathfrak{i})) = \mathfrak{s}, \tag{2.2.12b}$$

$$V^*(a(\mathfrak{s}_1, \mathfrak{s}_2)) = a(\mathfrak{s}_1, V^*(\mathfrak{s}_2)), \tag{2.2.12c}$$

for any \mathfrak{G} -trees \mathfrak{s} , \mathfrak{s}_1 , and \mathfrak{s}_2 such that $\mathfrak{s}_2 \neq \mathfrak{i}$. It follows by induction on the degrees of the \mathfrak{G} -trees that for any \mathfrak{G} -tree t , there is at most one term appearing in $V^*(t)$. For this reason, the graph $(S(\mathfrak{G}), V)$ is a tree.

2.2.2. Path enumeration. The *twisted poset induced* by a \mathfrak{G} -tree t is the poset $\mathbb{P}'(t) := (\mathcal{N}_\bullet(t), \preceq')$ wherein for any $u, v \in \mathcal{N}_\bullet(t)$, one has $u \preceq' v$ if $u = v$, or $v = ui v'$ where $i \geq 2$ and v' is any word of integers, or $u = v1u'$ where u' is any word of integers. In other words, this says that one has $u \preceq' v$ if $u = v$, or u is an ancestor of v but v is not in the first subtree of u , or u is in the first subtree of v . For instance, by considering the same alphabet \mathfrak{G} as in the previous examples, in the twisted poset induced by the \mathfrak{G} -tree

$$t := \begin{array}{c} \text{c} \\ \swarrow \quad \downarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{e} \\ \swarrow \quad \downarrow \quad \searrow \quad \swarrow \quad \downarrow \quad \searrow \\ \text{c} \quad \text{e} \quad \text{a} \quad \text{a} \\ \swarrow \quad \downarrow \quad \searrow \quad \swarrow \quad \downarrow \quad \searrow \\ \text{a} \end{array} \tag{2.2.13}$$

we have $11 \preceq' 1 \preceq' e$, $12 \preceq' e$, $1 \preceq' 12$, $e \preceq' 2$, $e \preceq' 3$, $e \preceq' 31$, $e \preceq' 311$, and $311 \preceq' 31 \preceq' 3$.

For any \mathfrak{G} tree t , let the statistics $t \mapsto h'(t)$ satisfying the recurrence relation

$$h'(\emptyset) = 1, \tag{2.2.14a}$$

$$h'(a(\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|})) = \lfloor \deg(\mathfrak{s}_2), \dots, \deg(\mathfrak{s}_{|a|}) \rfloor! \prod_{i \in [|a|]} h'(\mathfrak{s}_i), \tag{2.2.14b}$$

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees $s_1, \dots, s_{|a|}$. For instance, by considering the \mathfrak{G} -tree t defined in (2.2.13), one has $h'(t) = 4$.

Lemma 2.2.2. *For any alphabet \mathfrak{G} and any \mathfrak{G} -tree t , the number of linear extensions of the poset $\mathbb{P}'(t)$ is $h'(t)$.*

Proof. We proceed by induction on the degree d of t . If $d = 0$, then $t = \mathfrak{I}$, and since $\mathbb{P}'(\mathfrak{I})$ has exactly one linear extension which is the empty one, and $h'(t) = 1$, the property is satisfied. If $d \geq 1$, then $t = a(s_1, \dots, s_{|a|})$ for an $a \in \mathfrak{G}$ and \mathfrak{G} -trees $s_1, \dots, s_{|a|}$. From the definition of $\mathbb{P}'(t)$, it follows that any permutation encoding a linear extension of $\mathbb{P}'(t)$ writes as

$$(1u^{(1)}, \dots, 1u^{(k)}, \epsilon, i_1v^{(1)}, \dots, i_\ell v^{(\ell)}) \quad (2.2.15)$$

where the permutation $(u^{(1)}, \dots, u^{(k)})$ encodes a linear extension of $\mathbb{P}'(s_1)$ and the permutation $(\epsilon, i_1v^{(1)}, \dots, i_\ell v^{(\ell)})$ encodes a linear extension of $\mathbb{P}'(a(\mathfrak{I}, s_2, \dots, s_{|a|}))$. Indeed, by definition of the order relation \preceq' of $\mathbb{P}'(t)$, all the nodes $u^{(1)}, \dots, u^{(k)}$ of s_1 are smaller than the root ϵ of t , and the root of t is itself smaller than all the nodes $v^{(1)}, \dots, v^{(\ell)}$ of, respectively, $\mathbb{P}'(s_2), \dots, \mathbb{P}'(s_{|a|})$. Moreover, again by definition of \preceq' , for any $j, j' \in [2, |a|]$, $v \in \mathcal{N}_\bullet(s_j)$, and $v' \in \mathcal{N}_\bullet(s_{j'})$, if $j \neq j'$ then the nodes jv and $j'v'$ of t are incomparable in $\mathbb{P}'(t)$. Thus, by (2.1.6), $\mathbb{P}'(a(\mathfrak{I}, s_2, \dots, s_{|a|}))$ has $[\deg(s_2), \dots, \deg(s_{|a|})]!$ linear extensions. Finally, since each $\mathbb{P}'(s_i)$, $i \in [|a|]$, has by induction hypothesis $h'(s_i)$ linear extensions, it follows from (2.2.14b) that $\mathbb{P}'(t)$ admits $h'(t)$ linear extensions. \square

By Lemma 2.2.2, and in pursuit of the previous example, the \mathfrak{G} -tree defined in (2.2.13) has four linear extensions. The four permutations encoding these are

$$(11, 1, 12, \epsilon, 2, 311, 31, 3), \quad (2.2.16a)$$

$$(11, 1, 12, \epsilon, 311, 2, 31, 3), \quad (2.2.16b)$$

$$(11, 1, 12, \epsilon, 311, 31, 2, 3), \quad (2.2.16c)$$

$$(11, 1, 12, \epsilon, 311, 31, 3, 2). \quad (2.2.16d)$$

Proposition 2.2.3. *For any finite alphabet \mathfrak{G} , the hook series of $(S_\bullet(\mathfrak{G}), \mathbf{V})$ satisfies $\langle t, \mathbf{h}_V \rangle = h'(t)$ for any \mathfrak{G} -tree t .*

Proof. Let us proceed by induction on the degree of the \mathfrak{G} -trees. By definition of \mathbf{h}_V , $\langle \mathfrak{I}, \mathbf{h}_V \rangle = 1$, and since $h'(\mathfrak{I}) = 1$, the property holds. Now, let t be a \mathfrak{G} -tree of degree $d \geq 1$. We have, by definition of \mathbf{h}_V , by Proposition 2.2.1, and by induction hypothesis,

$$\begin{aligned} \langle t, \mathbf{h}_V \rangle &= \langle \mathbf{V}^*(t), \mathbf{h}_V \rangle \\ &= \left\langle \sum_{u \in \mathcal{N}_\bullet^{\text{qm}}(t)} \text{con}_u(t), \mathbf{h}_V \right\rangle \\ &= \sum_{u \in \mathcal{N}_\bullet^{\text{qm}}(t)} \langle \text{con}_u(t), \mathbf{h}_V \rangle \\ &= \sum_{u \in \mathcal{N}_\bullet^{\text{qm}}(t)} h'(\text{con}_u(t)). \end{aligned} \quad (2.2.17)$$

From the definition of $\mathbb{P}'(\mathfrak{t})$, it follows that any permutation encoding a linear extension of $\mathbb{P}'(\mathfrak{t})$ writes as

$$(v^{(1)}, \dots, v^{(d-1)}, u) \quad (2.2.18)$$

where the permutation $(v^{(1)}, \dots, v^{(d-1)})$ encodes a linear extension of $\mathbb{P}'(\text{con}_u(\mathfrak{t}))$ and u is a quasi-maximal node of \mathfrak{t} . This leads, by using Lemma 2.2.2, to $\langle \mathfrak{t}, \mathbf{h}_V \rangle = \mathbf{h}'(\mathfrak{t})$. \square

Here are the sequences of the first coefficients of some initial paths series of $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{V})$:

$$1, 1, 2, 5, 14, 42, 132, 429, \quad \text{for } \mathfrak{G} = \{a\} \text{ with } |a| = 2, \quad (2.2.19a)$$

$$1, 1, 3, 13, 71, 465, 3563, 31429, \quad \text{for } \mathfrak{G} = \{c\} \text{ with } |c| = 3, \quad (2.2.19b)$$

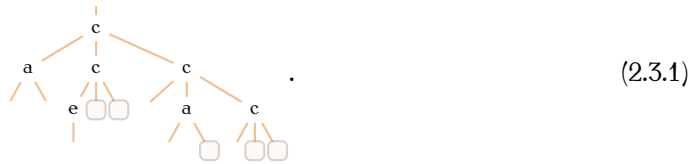
$$1, 2, 8, 40, 224, 1344, 8448, 54912, \quad \text{for } \mathfrak{G} = \{a, b\} \text{ with } |a| = |b| = 2, \quad (2.2.19c)$$

$$1, 2, 10, 70, 606, 6210, 73842, 1006318, \quad \text{for } \mathfrak{G} = \{a, c\} \text{ with } |a| = 2, |c| = 3. \quad (2.2.19d)$$

The first and third ones are respectively Sequences **A000108** and **A151374** of [Slo]. The two other ones do not appear for the time being in [Slo].

2.3. Diagonal duality. We prove here that the pair of graded graphs consisting in the \mathfrak{G} -prefix graph and the \mathfrak{G} -twisted prefix graph is ϕ -diagonal dual. The description of the map ϕ requires the use of a particular statistics on \mathfrak{G} -trees introduced here.

2.3.1. Non-first leaves statistics. Let \mathfrak{G} be an alphabet and \mathfrak{t} be a \mathfrak{G} -tree. A leaf u of \mathfrak{t} is *non-first* if u admits no occurrence of the letter 1. In other words, the path connecting the root of \mathfrak{t} with u does never go through a first edge of an internal node. We denote by $\mathcal{N}_1^{\text{nf}}(\mathfrak{t})$ the set of all non-first leaves of \mathfrak{t} . For instance, by considering the same alphabet \mathfrak{G} as in the previous examples, here is a \mathfrak{G} -tree wherein its non-first leaves are framed:



Moreover, let us define the *non-first leaves* statistics $\mathfrak{t} \mapsto \text{nfl}(\mathfrak{t})$ by setting $\text{nfl}(\mathfrak{t}) = \#\mathcal{N}_1^{\text{nf}}(\mathfrak{t})$. Immediately from the definition of non-first leaves, this statistics on $\mathbf{S}(\mathfrak{G})$ satisfies the recurrence

$$\text{nfl}() = 1, \quad (2.3.2a)$$

$$\text{nfl}(a(\mathfrak{s}_1, \mathfrak{l}, \dots, \mathfrak{l})) = |a| - 1, \quad (2.3.2b)$$

$$\text{nfl}(a(\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|})) = \sum_{j \in [2, |a|]} \text{nfl}(\mathfrak{s}_j), \quad (2.3.2c)$$

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees $\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|}$ such that there is at least a $j \in [2, |a|]$ satisfying $\mathfrak{s}_j \neq \mathfrak{l}$.

2.3.2. Diagonal duality.

Theorem 2.3.1. *For any finite alphabet \mathfrak{G} , the pair of graded graphs $(\mathbf{S}_\bullet(\mathfrak{G}), \mathbf{U}, \mathbf{V})$ is ϕ -diagonal dual for the linear map $\phi : \mathbb{K}\langle \mathbf{S}_\bullet(\mathfrak{G}) \rangle \rightarrow \mathbb{K}\langle \mathbf{S}_\bullet(\mathfrak{G}) \rangle$ satisfying*

$$\phi(t) = (\#\mathfrak{G})\text{nfl}(t) \, t \quad (2.3.3)$$

for any \mathfrak{G} -tree t .

Proof. Let us consider the \mathfrak{G} -tree polynomial

$$f(t) := (\mathbf{V}^*\mathbf{U} - \mathbf{U}\mathbf{V}^*)(t) = \sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \mathbf{V}^*(t \circ_i b) - \mathbf{V}^*(t) \circ_i b. \quad (2.3.4)$$

Notice that we use here the convention exposed in Section 1.3.4 about extension by linearity of the composition maps of operads in order to write the sum (2.1.1) without bounding i . Nevertheless, this sum is finite. We proceed by structural induction on \mathfrak{G} -trees to show that $f(t) = \phi(t)$.

We have to consider three cases following t . First, when $t = \mathbf{I}$, we immediately have $f(t) = (\#\mathfrak{G})\mathbf{I}$. Since $\text{nfl}(\mathbf{I}) = 1$, the property is satisfied. Second, when t is of the form $t = a(\mathfrak{s}_1, \mathbf{I}, \dots, \mathbf{I})$ for an $a \in \mathfrak{G}$ and a \mathfrak{G} -tree \mathfrak{s}_1 , we obtain

$$\begin{aligned} f(t) &= \sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \mathbf{V}^*(a(\mathfrak{s}_1, \mathbf{I}, \dots, \mathbf{I}) \circ_i b) - \mathfrak{s}_1 \circ_i b \\ &= \left(\sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \mathbf{V}^*(a(\mathfrak{s}_1 \circ_i b, \mathbf{I}, \dots, \mathbf{I})) - \mathfrak{s}_1 \circ_i b \right) + \left(\sum_{b \in \mathfrak{G}} \sum_{j \in [2, |a|]} \mathbf{V}^* \left(a(\mathfrak{s}_1, \underbrace{\mathbf{I}, \dots, \mathbf{I}}_{j-2}, b, \mathbf{I}, \dots, \mathbf{I}) \right) \right) \\ &= \left(\sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \mathfrak{s}_1 \circ_i b - \mathfrak{s}_1 \circ_i b \right) + \left(\sum_{b \in \mathfrak{G}} \sum_{j \in [2, |a|]} a(\mathfrak{s}_1, \underbrace{\mathbf{I}, \dots, \mathbf{I}}_{j-2}, \mathbf{V}^*(b), \mathbf{I}, \dots, \mathbf{I}) \right) \\ &= \sum_{b \in \mathfrak{G}} \sum_{j \in [2, |a|]} a(\mathfrak{s}_1, \mathbf{I}, \dots, \mathbf{I}) \\ &= (\#\mathfrak{G})(|a| - 1)t. \end{aligned} \quad (2.3.5)$$

Since t has the considered form, $\text{nfl}(t) = |a| - 1$. Hence, $f(t) = (\#\mathfrak{G})\text{nfl}(t) \, t$, so that the property is satisfied. Finally, it remains to consider the case where t is of the form $t = a(\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|})$ for an $a \in \mathfrak{G}$ and for \mathfrak{G} -trees $\mathfrak{s}_1, \dots, \mathfrak{s}_{|a|}$ where there is at least a $j \in [2, |a|]$

such that $s_j \neq i$. In this case, we obtain

$$\begin{aligned}
f(t) &= \sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \sum_{j \in [2, |a|]} a(s_1 \circ_i b, \dots, s_{j-1}, V^*(s_j), s_{j+1}, \dots, s_{|a|}) + \dots \\
&\quad + a(s_1, \dots, s_{j-1}, V^*(s_j \circ_i b), s_{j+1}, \dots, s_{|a|}) + \dots + a(s_1, \dots, s_{j-1}, V^*(s_j), s_{j+1}, \dots, s_{|a|} \circ_i b) \\
&\quad - a(s_1 \circ_i b, \dots, s_{j-1}, V^*(s_j), s_{j+1}, \dots, s_{|a|}) - \dots \\
&\quad - a(s_1, \dots, s_{j-1}, V^*(s_j) \circ_i b, s_{j+1}, \dots, s_{|a|}) - \dots - a(s_1, \dots, s_{j-1}, V^*(s_j), s_{j+1}, \dots, s_{|a|} \circ_i b) \\
&= \sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \sum_{j \in [2, |a|]} a(s_1, \dots, s_{j-1}, V^*(s_j \circ_i b), s_{j+1}, \dots, s_{|a|}) \\
&\quad - a(s_1, \dots, s_{j-1}, V^*(s_j) \circ_i b, s_{j+1}, \dots, s_{|a|}) \\
&= \sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} \sum_{j \in [2, |a|]} a(s_1, \dots, s_{j-1}, V^*(s_j \circ_i b) - V^*(s_j) \circ_i b, s_{j+1}, \dots, s_{|a|}).
\end{aligned} \tag{2.3.6}$$

By induction hypothesis, we get

$$\sum_{\substack{b \in \mathfrak{G} \\ i \geq 0}} V^*(s_j \circ_i b) - V^*(s_j) \circ_i b = (V^*U - UV^*)(s_j) = f(s_j) = \phi(s_j). \tag{2.3.7}$$

We now obtain from (2.3.6), (2.3.7), and (2.3.2c) that

$$\begin{aligned}
f(t) &= \sum_{j \in [2, |a|]} a(s_1, \dots, s_{j-1}, \phi(s_j), s_{j+1}, \dots, s_{|a|}) \\
&= \sum_{j \in [2, |a|]} (\#\mathfrak{G}) \text{nfl}(s_j) a(s_1, \dots, s_{j-1}, s_j, s_{j+1}, \dots, s_{|a|}) \\
&= (\#\mathfrak{G}) \text{nfl}(t) t.
\end{aligned} \tag{2.3.8}$$

Therefore, $f(t) = (\#\mathfrak{G}) \text{nfl}(t) t$, establishing the statement of theorem. \square

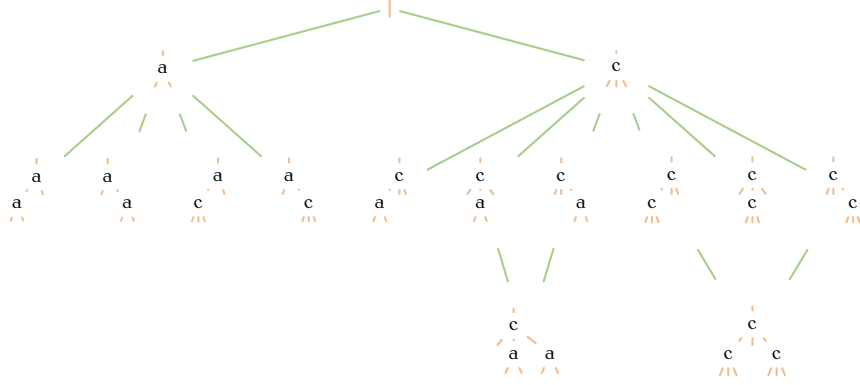
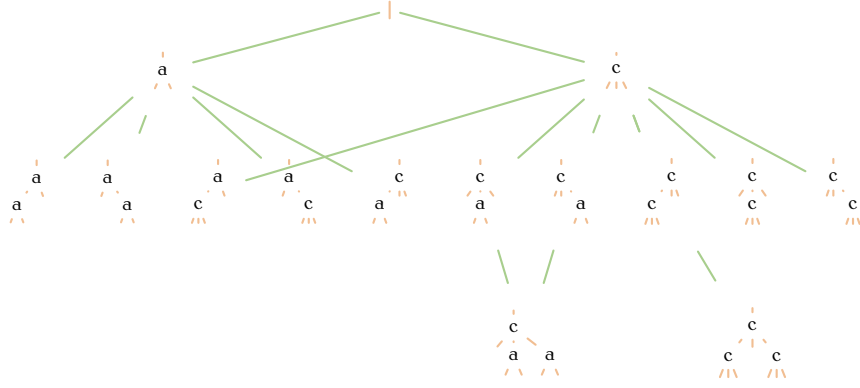
Figure 3 shows an example of a pair of ϕ -diagonal dual graded graphs.

2.3.3. Bracket tree. As already noticed before, when $\mathfrak{G} = \mathfrak{G}(2) = \{a\}$, the graph $(S_\bullet(\mathfrak{G}), V)$ is a tree. Moreover, $(S_\bullet(\mathfrak{G}), U, V)$ is a pair of dual graded graphs isomorphic to the pair consisting in the finite order ideals of the infinite binary tree and the *Bracket tree*, known from [Fom94] (see also [HNT05]). One can see Theorem 2.3.1 as a generalization of this prototypical instance for the present case of \mathfrak{G} -trees and ϕ -diagonal duality.

3. POSETS OF SYNTAX TREES

We present here a combinatorial study of the posets of the \mathfrak{G} -prefix graphs. In particular we look at their lattice properties, the structure of their intervals, enumerate the trees in a given interval, and enumerate all intervals with respect to the degrees of their bounds.

3.1. Posets and their intervals. We begin by describing the order relation and covering relation of the posets of \mathfrak{G} -prefix graphs. We prove that any interval of these posets are distributive lattices

(A) The graph $(S_{\bullet}(\mathfrak{G}), U)$ up to degree 2 and with some trees of degree 3.(B) The graph $(S_{\bullet}(\mathfrak{G}), V)$ up to degree 2 and with some trees of degree 3.FIGURE 3. The pair $(S_{\bullet}(\mathfrak{G}), U, V)$ of ϕ -diagonal dual graded graphs where $\mathfrak{G} := \{a, c\}$ with $|a| = 2$ and $|c| = 3$.

3.1.1. Prefix posets. Let \mathfrak{G} be a finite alphabet. The \mathfrak{G} -*prefix poset* is the poset $(S_{\bullet}(\mathfrak{G}), \preceq_p)$ of $(S_{\bullet}(\mathfrak{G}), U)$. Besides, for any \mathfrak{G} -trees s and t , s is a *prefix* of t if there exist \mathfrak{G} -trees $r_1, \dots, r_{|s|}$ such that $t = s \circ [r_1, \dots, r_{|s|}]$.

Lemma 3.1.1. *Let \mathfrak{G} be an alphabet, and s and t be two \mathfrak{G} -trees. Then, s is a prefix of t if and only if $s = \downarrow$, or the roots of s and t are both decorated by the same letter $a \in \mathfrak{G}$, and for all $i \in [|a|]$, $s(i)$ is a prefix of $t(i)$.*

Proof. This follows directly from the definition of the notion of prefix just introduced. \square

Proposition 3.1.2. *For any finite alphabet \mathfrak{G} , the order relation \preceq_p of the \mathfrak{G} -prefix poset satisfies $s \preceq_p t$ if and only if the \mathfrak{G} -tree s is a prefix of the \mathfrak{G} -tree t . Moreover, the covering relation \prec_U of the \mathfrak{G} -prefix poset satisfies $s \prec_U t$ for any \mathfrak{G} -trees s and t if and only if there is $u \in \mathcal{N}_{\bullet}^m(t)$ such that $s = \text{del}_u(t)$.*

Proof. Assume that $s \preceq_p t$. By definition of the \mathfrak{G} -prefix poset, there exist an integer $k \geq 0$, letters a_1, \dots, a_k of \mathfrak{G} , and positive integers i_1, \dots, i_k such that

$$t = (\dots ((s \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_k} a_k. \quad (3.1.1)$$

It follows straightforwardly by induction on k that there exist \mathfrak{G} -trees $\tau_1, \dots, \tau_{|s|}$ such that $t = s \circ [\tau_1, \dots, \tau_{|s|}]$. Therefore, s is a prefix of t .

Conversely, assume that s is a prefix of t . Hence, there exist \mathfrak{G} -trees $\tau_1, \dots, \tau_{|s|}$ such that $t = s \circ [\tau_1, \dots, \tau_{|s|}]$. By (1.3.4),

$$t = (\dots ((s \circ_{|s|} \tau_{|s|}) \circ_{|s|-1} \tau_{|s|-1}) \dots) \circ_1 \tau_1, \quad (3.1.2)$$

and, by expressing now each tree τ_i , $i \in [|s|]$, by means of partial compositions involving letters of \mathfrak{G} , and by arranging this expression so that it becomes bracketed from the left to the right by using Relation (1.3.2a), one obtains an expression of the same form as (3.1.1) for t . Therefore, $s \preceq_p t$.

The second part of the statement is a direct consequence of the fact that the adjoint map U^* of the map U of the \mathfrak{G} -prefix graph satisfies (2.1.2). \square

3.1.2. Distributive lattices. Let \mathfrak{G} be a finite alphabet. Let \wedge be the binary operation on $S_\bullet(\mathfrak{G})$, called *intersection*, defined recursively by

$$t \wedge | := | =: | \wedge t, \quad (3.1.3a)$$

$$a(t_1, \dots, t_{|a|}) \wedge b(s_1, \dots, s_{|b|}) := |, \quad (3.1.3b)$$

$$a(t_1, \dots, t_{|a|}) \wedge a'(t'_1, \dots, t'_{|a'|}) := a(t_1 \wedge t'_1, \dots, t_{|a|} \wedge t'_{|a'|}), \quad (3.1.3c)$$

for any $a, b \in \mathfrak{G}$ such that $a \neq b$, and any \mathfrak{G} -trees $t, t_1, \dots, t_{|a|}, t'_1, \dots, t'_{|a'|}$ and $s_1, \dots, s_{|b|}$. From an intuitive point of view, $t \wedge t'$ is the tree obtained by considering the largest common part between the \mathfrak{G} -trees t and t' starting from their roots. For instance,

$$\begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{a} \quad \text{a} \\ \backslash \quad / \\ \text{e} \end{array} \wedge \begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{e} \quad \text{a} \\ \backslash \quad / \\ \text{a} \end{array} = \begin{array}{c} \text{c} \\ / \quad \backslash \\ \text{a} \end{array}. \quad (3.1.4)$$

Lemma 3.1.3. *For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees t and t' , $t \wedge t'$ is greatest lower bound of $\{t, t'\}$ in the \mathfrak{G} -prefix poset.*

Proof. We use here Proposition 3.1.2 and its description of the order relation \preceq_p of the \mathfrak{G} -prefix poset in terms of prefixes of \mathfrak{G} -trees. Let us denote by $L(t, t')$ the set all lower bounds of $\{t, t'\}$. By structural induction on t and t' , we show that $\max_{\preceq_p} L(t, t')$ exists and that $\max_{\preceq_p} L(t, t') = t \wedge t'$. First, immediately from the definition of \wedge , we have $| \wedge t = t \wedge | = |$ and $L(|, t) = L(t, |) = \{| \}$ so that the statement of the lemma holds in this case. Assume now that t and t' are both different from the leaf so that $t = a(t_1, \dots, t_{|a|})$ and $t' = a'(t'_1, \dots, t'_{|a'|})$ where $a, a' \in \mathfrak{G}$ and $t_1, \dots, t_{|a|}, t'_1, \dots, t'_{|a'|}$ are \mathfrak{G} -trees. If $a \neq a'$, we have $t \wedge t' = |$ and $L(t, t') = \{| \}$ since the leaf is the only \mathfrak{G} -tree which is a common prefix of both t and t' .

Hence, the statement of the lemma holds in this case. For the last case to consider, one has $a = a'$, and it follows by induction hypothesis that

$$t \wedge t' = a(t_1 \wedge t'_1, \dots, t_{|a|} \wedge t'_{|a|}) = a(s_1, \dots, s_{|a|}), \quad (3.1.5)$$

where for any $i \in [|a|]$, $s_i := \max_{\preceq_p} L(t_i, t'_i)$. Now, since for any $i \in [|a|]$, s_i is a prefix of both t_i and t'_i , and since all trees different from the leaf of $L(t, t')$ have a root decorated by a , by Lemma 3.1.1, $t \wedge t'$ is a prefix of both t and t' so that $t \wedge t' \in L(t, t')$. To show finally that $t \wedge t'$ is the greatest element of $L(t, t')$, assume that τ is a \mathfrak{G} -tree of $L(t, t')$. First, the root of τ is decorated by a . Second, since for any $i \in [|a|]$, $\tau(i)$ is a prefix of both t_i and t'_i , and since s_i is the greatest \mathfrak{G} -tree which is a common prefix of t_i and t'_i , $\tau(i)$ is a prefix of s_i . Therefore, by Lemma 3.1.1, this implies that τ is a prefix of $t \wedge t'$ and establishes the statement of the lemma. \square

In the same way, let \vee be the partial binary operation on $S_\bullet(\mathfrak{G})$, called *union*, defined recursively by

$$t \vee | := t =: | \vee t, \quad (3.1.6a)$$

$$a(t_1, \dots, t_{|a|}) \vee a(t'_1, \dots, t'_{|a|}) := a(t_1 \vee t'_1, \dots, t_{|a|} \vee t'_{|a|}), \quad (3.1.6b)$$

and where

$$a(t_1, \dots, t_{|a|}) \vee b(s_1, \dots, s_{|b|}) \quad (3.1.7)$$

is not defined, for any $a, b \in \mathfrak{G}$ such that $a \neq b$, and any \mathfrak{G} -trees $t, t_1, \dots, t_{|a|}, t'_1, \dots, t'_{|a|}$, and $s_1, \dots, s_{|b|}$. From an intuitive point of view, $t \vee t'$ is the tree obtained by superimposing t and t' . For instance,

$$\begin{array}{c} | \\ a \\ / \quad \backslash \\ a \end{array} \vee \begin{array}{c} | \\ a \\ / \quad \backslash \\ c \quad a \end{array} = \begin{array}{c} | \\ a \\ / \quad \backslash \\ c \quad a \end{array}. \quad (3.1.8)$$

Lemma 3.1.4. *For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees t and t' such that $\{t, t'\}$ admits an upper bound in the \mathfrak{G} -prefix poset, $t \vee t'$ is well-defined and is the least upper bound of $\{t, t'\}$.*

Proof. We use here Proposition 3.1.2 and its description of the order relation \preceq_p of the \mathfrak{G} -prefix poset in terms of prefixes of \mathfrak{G} -trees. Let us denote by $U(t, t')$ the set of all upper bounds of $\{t, t'\}$. By structural induction on t and t' , we show that $\min_{\preceq_p} U(t, t')$ exists and that $\min_{\preceq_p} U(t, t') = t \vee t'$. First, immediately from the definition of \vee , we have $| \vee t = t \vee | = t$ and $U(|, t) = U(t, |) = \{t\}$ so that the statement of the lemma holds in this case. Assume now that t and t' are both different from the leaf so that $t = a(t_1, \dots, t_{|a|})$ and $t' = a'(t'_1, \dots, t'_{|a'|})$ where $a, a' \in \mathfrak{G}$ and $t_1, \dots, t_{|a|}, t'_1, \dots, t'_{|a'|}$ are \mathfrak{G} -trees. Since $\{t, t'\}$ admits, by hypothesis, an upper bound, both t and t' have to be prefixes of a same \mathfrak{G} -tree. This implies that $a = a'$. It follows by induction hypothesis that

$$t \vee t' = a(t_1 \vee t'_1, \dots, t_{|a|} \vee t'_{|a|}) = a(s_1, \dots, s_{|a|}), \quad (3.1.9)$$

where for any $i \in [|a|]$, $s_i := \min_{\preceq_p} U(t_i, t'_i)$. Observe that the \mathfrak{G} -tree specified by (3.1.9) is well-defined by induction hypothesis. Indeed, by calling τ an upper bound of $\{t, t'\}$, for any $i \in [|a|]$, τ_i is an upper bound of $\{t_i, t'_i\}$. Now, since for any $i \in [|a|]$, both t_i and t'_i are

prefixes of s_i , and since all trees of $U(t, t')$ have a root decorated by a , by Lemma 3.1.1 both t and t' are prefixes of $t \vee t'$ so that $t \vee t' \in U(t, t')$. To show finally that $t \vee t'$ is the least element of $U(t, t')$, assume that τ is a \mathfrak{G} -tree to $U(t, t')$. Since for any $i \in [|a|]$, both t_i and t'_i are prefixes of $\tau(i)$, and since s_i is the smallest \mathfrak{G} -tree admitting both t_i and t'_i as prefixes, s_i is a prefix of $\tau(i)$. Therefore, by Lemma 3.1.1, this implies that $t \vee t'$ is a prefix of τ and establishes the statement of the lemma. \square

By seeing \mathfrak{G} -trees as terms, the term encoded by $t \vee t'$ is in fact, if it exists, the unification of the terms encoded by the \mathfrak{G} -trees t and t' (see [BN98, Ter03]).

Proposition 3.1.5. *For any finite alphabet \mathfrak{G} , the \mathfrak{G} -prefix poset is a meet-semilattice for the operation \wedge . Moreover, each interval $[s, t]$ of this poset is a distributive lattice for the operations \wedge and \vee .*

Proof. Lemma 3.1.3 says that the \mathfrak{G} -prefix poset is a meet-semilattice for the operation \wedge . Moreover, by Lemma 3.1.4, the operation \vee is well-defined for any pair of elements of the interval $I := [s, t]$ since t is an upper bound of any pair of trees of I . Hence, I is a join-semilattice and thus also a lattice for the operations \wedge and \vee .

Let us now prove that I is a distributive lattice. We proceed by structural induction on the three \mathfrak{G} -trees τ_1 , τ_2 , and τ_3 of I to show that $\alpha_{\tau_1, \tau_2, \tau_3} = \beta_{\tau_1, \tau_2, \tau_3}$ where $\alpha_{\tau_1, \tau_2, \tau_3} := \tau_1 \wedge (\tau_2 \vee \tau_3)$ and $\beta_{\tau_1, \tau_2, \tau_3} := (\tau_1 \wedge \tau_2) \vee (\tau_1 \wedge \tau_3)$. First, we have

$$\alpha_{\tau_1, \tau_2, \tau_3} = | \wedge (\tau_2 \vee \tau_3) = |, \quad (3.1.10)$$

and

$$\beta_{\tau_1, \tau_2, \tau_3} = (| \wedge \tau_2) \vee (| \wedge \tau_3) = | \vee | = |. \quad (3.1.11)$$

Second, we have

$$\alpha_{\tau_1, |, \tau_3} = \tau_1 \wedge (| \vee \tau_3) = \tau_1 \wedge \tau_3, \quad (3.1.12)$$

and

$$\beta_{\tau_1, |, \tau_3} = (\tau_1 \wedge |) \vee (\tau_1 \wedge \tau_3) = | \vee (\tau_1 \wedge \tau_3) = \tau_1 \wedge \tau_3. \quad (3.1.13)$$

Similarly, the relation $\alpha_{\tau_1, \tau_2, |} = \tau_1 \wedge \tau_2 = \beta_{\tau_1, \tau_2, |}$ holds. We can now assume that τ_1 , τ_2 , and τ_3 are different from the leaf. Moreover, since $\tau_1 \preceq_p t$, $\tau_2 \preceq_p t$, and $\tau_3 \preceq_p t$, the roots of τ_1 , τ_2 , and τ_3 are decorated by the same letter a of \mathfrak{G} . Therefore,

$$\begin{aligned} \alpha_{\tau_1, \tau_2, \tau_3} &= \tau_1 \wedge a(\tau_2(1) \vee \tau_3(1), \dots, \tau_2(|a|) \vee \tau_3(|a|)) \\ &= a(\tau_1(1) \wedge (\tau_2(1) \vee \tau_3(1)), \dots, \tau_1(|a|) \wedge (\tau_2(|a|) \vee \tau_3(|a|))) \\ &= a(\alpha_{\tau_1(1), \tau_2(1), \tau_3(1)}, \dots, \alpha_{\tau_1(|a|), \tau_2(|a|), \tau_3(|a|)}), \end{aligned} \quad (3.1.14)$$

and

$$\begin{aligned} \beta_{\tau_1, \tau_2, \tau_3} &= a(\tau_1(1) \wedge \tau_2(1), \dots, \tau_1(|a|) \wedge \tau_2(|a|)) \vee a(\tau_1(1) \wedge \tau_3(1), \dots, \tau_1(|a|) \wedge \tau_3(|a|)) \\ &= a((\tau_1(1) \wedge \tau_2(1)) \vee (\tau_1(1) \wedge \tau_3(1)), \dots, (\tau_1(|a|) \wedge \tau_2(|a|)) \vee (\tau_1(|a|) \wedge \tau_3(|a|))) \\ &= a(\beta_{\tau_1(1), \tau_2(1), \tau_3(1)}, \dots, \beta_{\tau_1(|a|), \tau_2(|a|), \tau_3(|a|)}). \end{aligned} \quad (3.1.15)$$

By induction hypothesis, the relation $\alpha_{\tau_1, \tau_2, \tau_3} = \beta_{\tau_1, \tau_2, \tau_3}$ follows. \square

3.1.3. Structure of the intervals. A \mathfrak{G} -tree t is *stringy* if any internal node of t has at most one child which is an internal node. A \mathfrak{G} -tree t is *co-irreducible* in $(\mathbf{S}_\bullet(\mathfrak{G}), \preceq_p)$ if t covers at most one element.

Proposition 3.1.6. *For any finite alphabet \mathfrak{G} , the set of co-irreducible elements of the \mathfrak{G} -prefix poset is the set of all stringy \mathfrak{G} -trees. Moreover, the number of such elements of degree $d \geq 1$ is $\mathcal{R}_\mathfrak{G}(1)\mathcal{R}'_\mathfrak{G}(1)^{d-1}$, where $\mathcal{R}'_\mathfrak{G}$ is the derivative of $\mathcal{R}_\mathfrak{G}(t)$ with respect to t .*

Proof. We use here Proposition 3.1.2 and its description of the covering relation $<_U$ of the \mathfrak{G} -prefix poset in terms of deletion of maximal nodes. First, if t is a stringy \mathfrak{G} -tree different from the leaf, by definition of stringy trees, t admits exactly one maximal internal node u . Therefore, $\text{del}_u(t)$ is the only tree covered by t . Conversely, if t covers exactly one \mathfrak{G} -tree t' , then t has only one maximal internal node. This implies that t is stringy. This establishes the first part of the statement.

By definition, a stringy \mathfrak{G} -tree t decomposes as

$$t = a_1 \circ_{i_1} (a_2 \circ_{i_2} (\dots (a_{d-1} \circ_{i_{d-1}} a_d) \dots)) \quad (3.1.16)$$

where (a_1, \dots, a_d) is a sequence of elements of \mathfrak{G} and (i_1, \dots, i_{d-1}) is a sequence of indices satisfying $i_j \in [|a_j|]$ for any $j \in [d-1]$. This tree t is moreover entirely specified by these two sequences. For this reason, by denoting by $\theta(d)$ the number of stringy \mathfrak{G} -trees of degree $d \geq 0$, we have

$$\begin{aligned} \theta(d) &= \sum_{(a_1, \dots, a_d) \in \mathfrak{G}^d} |a_1| \dots |a_{d-1}| \\ &= \left(\sum_{a \in \mathfrak{G}} |a| \right)^{d-1} (\#\mathfrak{G}) \\ &= \mathcal{R}'_\mathfrak{G}(1)^{d-1} \mathcal{R}_\mathfrak{G}(1). \end{aligned} \quad (3.1.17)$$

This shows the second part of the statement. \square

Here are the sequences of the first numbers of stringy \mathfrak{G} -trees:

$$1, 1, 2, 4, 8, 16, 32, 64, \quad \text{for } \mathfrak{G} = \{a\} \text{ with } |a| = 2, \quad (3.1.18a)$$

$$1, 1, 3, 9, 27, 81, 243, 729, \quad \text{for } \mathfrak{G} = \{c\} \text{ with } |c| = 3, \quad (3.1.18b)$$

$$1, 2, 8, 32, 128, 512, 2048, 8192, \quad \text{for } \mathfrak{G} = \{a, b\} \text{ with } |a| = |b| = 2, \quad (3.1.18c)$$

$$1, 2, 10, 50, 250, 1250, 6250, 31250, \quad \text{for } \mathfrak{G} = \{a, c\} \text{ with } |a| = 2, |c| = 3, \quad (3.1.18d)$$

A *\mathfrak{G} -forest* is a nonempty word of \mathfrak{G} -trees. The *length* of a \mathfrak{G} -forest is the number of trees it contains. If $s \preceq_p t$, the *difference* between t and s is the \mathfrak{G} -forest $t \setminus s := (r_1, \dots, r_{|s|})$ such that $r_1, \dots, r_{|s|}$ are the unique \mathfrak{G} -trees such that $t = s \circ [r_1, \dots, r_{|s|}]$. Moreover, from any \mathfrak{G} -forest (r_1, \dots, r_k) , we denote by $\diamond_k(r_1, \dots, r_k)$ the $\mathfrak{G}_{\diamond_k}$ -tree obtained by grafting the \mathfrak{G} -trees r_1, \dots, r_k to a root decorated by the letter \diamond_k of arity k , where $\mathfrak{G}_{\diamond_k}$ is the alphabet $\mathfrak{G} \sqcup \{\diamond_k\}$.

Proposition 3.1.7. *Let \mathfrak{G} be a finite alphabet, and s and t be two \mathfrak{G} -trees such that $s \preceq_p t$. As subsets of $(S_\bullet(\mathfrak{G}), \preceq_p)$, one has the poset isomorphisms*

$$[s, t] \simeq [1, r_1] \times \cdots \times [1, r_{|s|}] \simeq [c(\diamond_{|s|}), \diamond_{|s|}(r_1, \dots, r_{|s|})], \quad (3.1.19)$$

where $(r_1, \dots, r_{|s|})$ is the \mathfrak{G} -forest $t \setminus s$.

Proof. We use here Proposition 3.1.2 and its description of the order relation \preceq_p of the \mathfrak{G} -prefix poset in terms of prefixes of \mathfrak{G} -trees. Let us call \mathcal{P} the poset $[1, r_1] \times \cdots \times [1, r_{|s|}]$ and let us denote by \preceq its partial order relation. Let $\psi : [s, t] \rightarrow \mathcal{P}$ be the map defined for any \mathfrak{G} -tree $u \in [s, t]$ by $\psi(u) := (u_1, \dots, u_{|s|})$ where $(u_1, \dots, u_{|s|}) = u \setminus s$. This map is well-defined because by Lemma 3.1.1, u_i is a prefix of r_i for any $i \in [|s|]$. Since ψ admits as inverse the map ψ^{-1} satisfying $\psi^{-1}((u_1, \dots, u_{|s|})) = s \circ [u_1, \dots, u_{|s|}]$, ψ is a bijection. Assume that $x := (u_1, \dots, u_{|s|})$ and $y := (v_1, \dots, v_{|s|})$ are elements of \mathcal{P} . Now, $x \preceq y$ is equivalent to the fact that $u_i \preceq_p v_i$ for all $i \in [|s|]$. This, again by Lemma 3.1.1, is in turn equivalent to the fact that $s \circ [u_1, \dots, u_{|s|}] \preceq_p s \circ [v_1, \dots, v_{|s|}]$, that is $\psi^{-1}(x) \preceq_p \psi^{-1}(y)$. Therefore, this establishes the first isomorphism of the statement of the proposition.

Let us call \mathcal{Q} the poset $[c(\diamond_{|s|}), \diamond_{|s|}(r_1, \dots, r_{|s|})]$ and let $\psi' : \mathcal{P} \rightarrow \mathcal{Q}$ be the map defined for any $(u_1, \dots, u_{|s|}) \in \mathcal{P}$ by $\psi'((u_1, \dots, u_{|s|})) := \diamond_{|s|}(u_1, \dots, u_{|s|})$. Again by Lemma 3.1.1, it follows that ψ' is a well-defined map, which is additionally a bijection, and a poset embedding. \square

For instance, by considering the same alphabet \mathfrak{G} as in the previous examples, Proposition 3.1.7 says that one has the isomorphism

$$\left[\begin{array}{c} \text{c} \\ \swarrow \quad \searrow \\ \text{a} \quad \text{a} \\ \swarrow \quad \searrow \\ \text{a} \quad \text{e} \end{array} , \begin{array}{c} \text{c} \\ \swarrow \quad \searrow \\ \text{c} \quad \text{a} \\ \swarrow \quad \searrow \\ \text{e} \quad \text{e} \end{array} \right] \simeq \left[\begin{array}{c} \diamond_5 \\ \swarrow \quad \searrow \\ \text{c} \quad \text{e} \\ \swarrow \quad \searrow \\ \text{c} \quad \text{e} \end{array} , \begin{array}{c} \text{c} \\ \swarrow \quad \searrow \\ \text{c} \quad \text{a} \\ \swarrow \quad \searrow \\ \text{e} \quad \text{e} \end{array} \right] \quad (3.1.20)$$

between respectively an interval of $(S_\bullet(\mathfrak{G}), \preceq_p)$ and an interval of $(S_\bullet(\mathfrak{G}_{\diamond_5}), \preceq_p)$.

A *shadow* is defined recursively as being a (possibly empty) finite multiset $[s_1, \dots, s_k]$ of shadows. A shadow encodes hence a nonplanar undecorated rooted tree. For any \mathfrak{G} -tree t different from the leaf, we construct the shadow $\text{sh}(t)$ recursively by

$$\text{sh}(t) := [\text{sh}(t(i)) : i \in [k] \text{ and } t(i) \neq \text{leaf}], \quad (3.1.21)$$

where k is the arity of the root of t . For instance,

$$\text{sh} \left(\begin{array}{c} \text{c} \\ \swarrow \quad \searrow \\ \text{a} \quad \text{c} \quad \text{a} \\ \swarrow \quad \searrow \quad \swarrow \quad \searrow \\ \text{e} \quad \text{a} \end{array} \right) = [\emptyset, \emptyset, \emptyset, \emptyset] = \begin{array}{c} \text{c} \\ \swarrow \quad \searrow \\ \text{c} \quad \text{c} \\ \swarrow \quad \searrow \\ \text{c} \quad \text{c} \end{array}. \quad (3.1.22)$$

Given a shadow s , the *poset induced* by s is the poset $\mathbb{P}(s)$ on its set of nodes different from the root wherein a node u is smaller than a node v if u is an ancestor of v . In other words, $\mathbb{P}(s)$ is the poset having as Hasse diagram the nonplanar rooted tree s without its root. Besides, we say that a poset (\mathcal{P}, \preceq) is a *forest poset* if $x \preceq y$ and $x' \preceq y$ imply $x \preceq x'$.

or $x' \preceq x$ for all $x, x', y \in \mathcal{P}$. Observe that any poset induced by a shadow is a forest poset and conversely, for any forest poset \mathcal{P} , there is a shadow s such that \mathcal{P} and $\mathbb{P}(s)$ are isomorphic.

For any poset (\mathcal{P}, \preceq) , let $(\mathbb{J}(\mathcal{P}), \wedge, \vee)$ be the lattice wherein

$$\mathbb{J}(\mathcal{P}) := \{X \subseteq \mathcal{P} : x \in X \text{ and } y \in \mathcal{P} \text{ and } y \preceq x \text{ imply } y \in X\}, \quad (3.1.23)$$

and the operation \wedge (resp. \vee) is the intersection (resp. the union) of the sets. In other words, $\mathbb{J}(\mathcal{P})$ is the set of all order ideals of \mathcal{P} ordered by inclusion. The *Fundamental theorem for distributive lattices* (see [Sta11]) states that for any finite distributive lattice \mathcal{L} , there exists a unique finite poset \mathcal{P} such that \mathcal{L} and $\mathbb{J}(\mathcal{P})$ are isomorphic as lattices. An element x of a finite lattice \mathcal{L} is *join-irreducible* if x covers exactly one element. It is known that the set of all join-irreducible elements of $\mathbb{J}(\mathcal{P})$ forms a subposet of $\mathbb{J}(\mathcal{P})$ which is isomorphic as a poset to \mathcal{P} .

Lemma 3.1.8. *Let s be a shadow. The set of join-irreducible elements of the lattice $\mathbb{J}(\mathbb{P}(s))$ is the set of the nonempty saturated chains of $\mathbb{P}(s)$.*

Proof. Let us denote by $<$ the covering relation of the poset $\mathbb{P}(s)$. First, \emptyset is not a join-irreducible element of $\mathbb{J}(\mathbb{P}(s))$ since \emptyset covers no elements. Any nonempty saturated chain $x_1 < \dots < x_{\ell-1} < x_\ell$ of $\mathbb{P}(s)$ covers exactly the chain $x_1 < \dots < x_{\ell-1}$, so that any nonempty saturated chain is join-irreducible. Finally, if X is an element of $\mathbb{J}(\mathbb{P}(s))$ which is not a chain, there are $x, x' \in X$ such that x and x' are incomparable in $\mathbb{P}(s)$. Since $\mathbb{P}(s)$ is a forest poset, we can assume that x and x' are maximal elements of X . Hence, X covers the elements $X \setminus \{x\}$ and $X \setminus \{x'\}$ of $\mathbb{J}(\mathbb{P}(s))$. This shows that X is not join-irreducible and establishes the statement of the lemma. \square

Lemma 3.1.9. *For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees τ_1, \dots, τ_k , the set of join-irreducible elements of the lattice $[c(\diamond_k), \diamond_k(\tau_1, \dots, \tau_k)]$ is the set of all $\mathfrak{G}_{\diamond_k}$ -trees of the form $c(\diamond_k) \circ_i \tau'_i$ where $i \in [k]$ and τ'_i is a stringy tree, different from the leaf, and a prefix of τ_i .*

Proof. We use here Proposition 3.1.2 and its descriptions of the order relation \preceq_p and of the covering relation $<_U$ of the \mathfrak{G} -prefix poset respectively in terms of prefixes of \mathfrak{G} -trees and of deletion of maximal nodes. Let $t := c(\diamond_k) \circ_i \tau'_i$. Since τ'_i is stringy, t also is. For this reason, t covers at most one element in $[c(\diamond_k), \diamond_k(\tau_1, \dots, \tau_k)]$. Moreover, due to the fact that τ'_i is by hypothesis different from the leaf, there is a \mathfrak{G} -tree τ'' , a $j \in [|\tau''|]$, and a letter $a \in \mathfrak{G}$ such that $\tau'_i = \tau'' \circ_j a$. Now, by using Relation (1.3.2a) satisfied by the partial composition maps of $\mathbf{S}_\bullet(\mathfrak{G}_{\diamond_k})$, we have

$$t = c(\diamond_k) \circ_i \tau'_i = c(\diamond_k) \circ_i (\tau'' \circ_j a) = (c(\diamond_k) \circ_i \tau'') \circ_{i+j-1} a. \quad (3.1.24)$$

This shows that t covers only $c(\diamond_k) \circ_i \tau''$. It remains to show that when t is a tree different from the description of the statement of the lemma, t covers zero or two or more elements. First, if $t = c(\diamond_k)$, t covers no elements. Second, if $t = c(\diamond_k) \circ_i \tau'_i$ where τ'_i is not stringy, there are at least two maximal internal nodes in τ'_i . By removing one of these internal nodes, one obtains at least two different trees τ'_1 and τ'_2 covered by τ'_i . Thus, $c(\diamond_k) \circ_i \tau'_1$ and $c(\diamond_k) \circ_i \tau'_2$

are both covered by t . Finally, it remains to consider the case where $t = c(\diamond_k) \circ [\tau'_1, \dots, \tau'_k]$ where τ'_1, \dots, τ'_k are \mathfrak{G} -trees such that for any $i \in [k]$, $\tau'_i \preccurlyeq_p \tau_i$, and there are at least two indices $j, \ell \in [k]$ such that $j \neq \ell$, $\tau'_j \neq \perp$ and $\tau'_\ell \neq \perp$. By Lemma 3.1.1, $t \in [c(\diamond_k), \diamond_k(\tau_1, \dots, \tau_k)]$. These assumptions on t lead to the fact that t is covered by two different trees, respectively obtained by replacing τ'_j (resp. τ'_ℓ) by any tree covered by τ'_j (resp. τ'_ℓ). All this establishes the statement of the lemma. \square

Proposition 3.1.10. *For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees s, t such that $s \preccurlyeq_p t$, the interval $[s, t]$ of $(S_\bullet(\mathfrak{G}), \preccurlyeq_p)$ is isomorphic as a lattice to $\mathbb{J}(\mathbb{P}(s))$ where $s := \text{sh}(\diamond_{|s|}(t \setminus s))$.*

Proof. By Proposition 3.1.7, the interval $[s, t]$ is isomorphic as a poset to the interval $[c(\diamond_{|s|}), \diamond_{|s|}(\tau_1, \dots, \tau_{|s|})]$ where $(\tau_1, \dots, \tau_{|s|})$ is the \mathfrak{G} -forest $t \setminus s$. Therefore, the statement of the proposition is equivalent to saying that

$$[c(\diamond_{|s|}), \diamond_{|s|}(\tau_1, \dots, \tau_{|s|})] \simeq \mathbb{J}(\mathbb{P}(\text{sh}(\diamond_{|s|}(\tau_1, \dots, \tau_{|s|}))))). \quad (3.1.25)$$

By Lemmas 3.1.8 and 3.1.9, the respective sets of join-irreducible elements of the two lattices $\mathbb{J}(\mathbb{P}(\text{sh}(\diamond_{|s|}(\tau_1, \dots, \tau_{|s|}))))$ and $[c(\diamond_{|s|}), \diamond_{|s|}(\tau_1, \dots, \tau_{|s|})]$ are in one-to-one correspondence. They also preserve the ordering so that these two subposets are isomorphic as posets. Now, since by Proposition 3.1.5, $[c(\diamond_{|s|}), \diamond_{|s|}(\tau_1, \dots, \tau_{|s|})]$ is a finite distributive lattice, by the Fundamental theorem for finite distributive lattices, the statement of the proposition follows. \square

Theorem 3.1.11. *For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees s, t, s' , and t' such that $s \preccurlyeq_p t$ and $s' \preccurlyeq_p t'$, the intervals $[s, t]$ and $[s', t']$ of $(S_\bullet(\mathfrak{G}), \preccurlyeq_p)$ are isomorphic as posets if and only if*

$$\text{sh}(\diamond_{|s|}(t \setminus s)) = \text{sh}(\diamond_{|s'|}(t' \setminus s')). \quad (3.1.26)$$

Proof. Proposition 3.1.10 brings a one-to-one correspondence between shadows and intervals of $(S_\bullet(\mathfrak{G}), \preccurlyeq_p)$ up to lattice isomorphism. This is equivalent to the statement of the theorem. \square

For instance, by considering the same alphabet \mathfrak{G} as in the previous example, Theorem 3.1.11 says that since

$$\text{sh} \left(\diamond_3 \left(\begin{array}{c} \text{a} \\ \diagup \quad \diagdown \\ \text{e} \quad \text{a} \end{array} \begin{array}{c} \text{c} \\ | \\ \text{e} \end{array} \setminus \begin{array}{c} \text{c} \\ | \\ \text{e} \end{array} \right) \right) = \begin{array}{c} \text{c} \\ | \\ \text{e} \end{array} = \text{sh} \left(\diamond_2 \left(\begin{array}{c} \text{a} \\ \diagup \quad \diagdown \\ \text{e} \quad \text{c} \end{array} \setminus \begin{array}{c} \text{a} \\ | \\ \text{c} \end{array} \right) \right), \quad (3.1.27)$$

one has the isomorphism

$$\left[\begin{array}{c} \text{c} \\ | \\ \text{e} \end{array}, \begin{array}{c} \text{a} \\ \diagup \quad \diagdown \\ \text{e} \quad \text{a} \end{array} \begin{array}{c} \text{c} \\ | \\ \text{e} \end{array} \right] \simeq \left[\begin{array}{c} \text{a} \\ | \\ \text{e} \end{array}, \begin{array}{c} \text{a} \\ \diagup \quad \diagdown \\ \text{e} \quad \text{c} \end{array} \right] \quad (3.1.28)$$

between these two intervals of $(S_\bullet(\mathfrak{G}), \preccurlyeq_p)$.

3.2. Enumerative properties. We end the study of the \mathfrak{G} -prefix posets by describing a way to count the elements of a given interval and by enumerating all its intervals with respect to the degrees of their minimal and maximal elements.

3.2.1. Cardinalities of intervals. The **load** $\text{ld}(s)$ of a shadow $s := \wr s_1, \dots, s_k \wr$ is the integer $\text{ld}(s)$ recursively defined by

$$\text{ld}(\wr s_1, \dots, s_k \wr) := \prod_{i \in [k]} (1 + \text{ld}(s_i)). \quad (3.2.1)$$

For instance, the load of the shadow s appearing in (3.1.22) is 20. Indeed, by labeling each node u of s by the load of the subtree of s rooted at u , we have



Proposition 3.2.1. *For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees s and t such that $s \preccurlyeq_p t$ in $(\mathbf{S}_\bullet(\mathfrak{G}), \preccurlyeq_p)$,*

$$\#[s, t] = \text{ld}(\text{sh}(\diamond_{|s|}(t \setminus s))). \quad (3.2.3)$$

Proof. Let $\theta(t) := \#[, t]$. By Proposition 3.1.2, $\theta(t)$ is the number of prefixes of t . By Lemma 3.1.1, any prefix τ of t is either the leaf, or when t is not the leaf, the roots of τ and t have the same label $a \in \mathfrak{G}$ and each $\tau(i)$ is a prefix of $t(i)$ for all $i \in [|a|]$. Hence,

$$\theta(t) = 1 + \prod_{i \in [|a|]} \theta(t(i)). \quad (3.2.4)$$

Moreover, by definition of the maps sh and ld , we have

$$\text{ld}(\text{sh}(\diamond_1(t \setminus s))) = \text{ld}(\text{sh}(\diamond_1 \circ_1 t)) = \theta(t). \quad (3.2.5)$$

Finally, by Proposition 3.1.7, Equation (3.2.3) is a consequence of the fact the cardinality of $[s, t]$ is the product of the cardinalities of $[, \tau_1], \dots, [, \tau_{|s|}]$ where $(\tau_1, \dots, \tau_{|s|})$ is the forest $t \setminus s$. \square

3.2.2. Generating series of the intervals. Let us consider now the generating series

$$\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t) := \sum_{\substack{s, t \in \mathbf{S}_\bullet(\mathfrak{G}) \\ s \preccurlyeq_p t}} q^{\deg(s)} t^{\deg(t)} \quad (3.2.6)$$

enumerating all intervals $[s, t]$ of $(\mathbf{S}_\bullet(\mathfrak{G}), \preccurlyeq_p)$ with respect to the degree of s (parameter q) and the degree of t (parameter t).

Proposition 3.2.2. *For any finite alphabet \mathfrak{G} , the series $\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)$ satisfies*

$$\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t) = 1 + t \mathcal{R}_{\mathfrak{G}}(\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t) - qt \mathcal{R}_{\mathfrak{G}}(\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t))) + qt \mathcal{R}_{\mathfrak{G}}(\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)). \quad (3.2.7)$$

Proof. Let $[s, t]$ be an interval of $(\mathbf{S}_\bullet(\mathfrak{G}), \preceq_p)$. By Proposition 3.1.2, s is a prefix of t . Moreover, Proposition 3.1.7 implies that this interval $[s, t]$ can be encoded as the tree obtained by marking in t the common internal nodes between s and t . Since s is a prefix of t , if a node different from the root is marked, its father is also marked. Now, let $F(q, t)$ and $G(q, t)$ be the two series satisfying

$$F(q, t) = G(q, t) + qt \sum_{a \in \mathfrak{G}} F(q, t)^{|a|}, \quad (3.2.8a)$$

$$G(q, t) = 1 + t \sum_{a \in \mathfrak{G}} G(q, t)^{|a|}. \quad (3.2.8b)$$

The series $G(q, t)$ enumerates the \mathfrak{G} -trees with respect to their degree by the parameter t , and due to the previous description of the encoding of intervals, $F(q, t)$ enumerates the marked trees with respect to their degree by the parameter t and their number of marked nodes by the parameter q . Now, by bringing in play the series $\mathcal{R}_\mathfrak{G}(t)$, these two series express as

$$F(q, t) = G(q, t) + qt \mathcal{R}_\mathfrak{G}(F(q, t)), \quad (3.2.9a)$$

$$G(q, t) = 1 + t \mathcal{R}_\mathfrak{G}(G(q, t)). \quad (3.2.9b)$$

This implies that

$$F(q, t) = 1 + t \mathcal{R}_\mathfrak{G}(G(q, t)) + qt \mathcal{R}_\mathfrak{G}(F(q, t)), \quad (3.2.10a)$$

$$G(q, t) = F(q, t) - qt \mathcal{R}_\mathfrak{G}(F(q, t)), \quad (3.2.10b)$$

and since by construction $\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t) = F(q, t)$, the stated relation for $\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)$ follows. \square

For instance, when \mathfrak{G} consists in one binary letter, $\mathcal{R}_\mathfrak{G}(t) = t^2$ and $\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)$ satisfies

$$1 - \mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t) + t \mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)^2 + qt \mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)^2 - 2qt^2 \mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)^3 + q^2 t^3 \mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t)^4 = 0 \quad (3.2.11)$$

and

$$\begin{aligned} \mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(q, t) &= 1 + (1 + q)t + 2(1 + q + q^2)t^2 + (5 + 6q + 5q^2 + 5q^3)t^3 \\ &\quad + 2(7 + 10q + 9q^2 + 7q^3 + 7q^4)t^4 \\ &\quad + 14(3 + 5q + 5q^2 + 4q^3 + 3q^4 + 3q^5)t^5 + \dots \end{aligned} \quad (3.2.12)$$

The coefficients of $\mathcal{I}_{\mathbf{S}_\bullet(\mathfrak{G})}(1, t)$ are

$$1, 2, 6, 21, 80, 322, 1348, 5814 \quad (3.2.13)$$

and form Sequence **A121988** of [Slo], enumerating the vertices of the multiplihedra, which form a sequence of posets [Sta70].

4. GRADED GRAPHS FROM OPERADS

The aim of this section is to extend the previous definitions of \mathfrak{G} -prefix graded graphs and \mathfrak{G} -twisted prefix graded graphs so that vertices of the graphs can be any combinatorial objects endowed with the structure of an operad subjected to some conditions. This generalization, applied on free operads—that are operad of \mathfrak{G} -trees endowed with the partial composition of trees—gives back the previous graded graphs. As we shall see, the pairs of graded graphs thus obtained are not always ϕ -diagonal dual. We end this section by presenting some examples of such pairs of graded graphs.

4.1. Prefix and twisted prefix graded graphs. We start by introducing the notion of homogeneous and finitely generated operad. We then describe the construction of a pair of graded graphs from any homogeneous and finitely generated operad.

4.1.1. Homogeneous operads and degrees. Let us begin by an elementary result on the minimal generating sets of operads satisfying some conditions.

Lemma 4.1.1. *If \mathcal{O} is an operad such that $\mathcal{O}(0) = \emptyset$ and $\mathcal{O}(1) = \{\mathbf{1}\}$, then \mathcal{O} admits a unique minimal generating set.*

Proof. This follows from the following algorithm to compute a minimal set \mathfrak{G} of generators of \mathcal{O} up to a given arity. First, since $\mathcal{O}(0) = \emptyset$ and $\mathcal{O}(1) = \{\mathbf{1}\}$, then $\mathfrak{G}(1) = \emptyset$. Now, assume that there is an $m \geq 1$ such that we know the sets $\mathfrak{G}(n)$ for all $n \in [m]$. A candidate for $\mathfrak{G}(m+1)$ is the set $\mathcal{O}(m+1) \setminus \mathcal{O}^{\mathfrak{G}'}$ where \mathfrak{G}' is the graded set consisting exactly in the elements of \mathfrak{G} up to arity m . In other terms, this candidate for $\mathfrak{G}(m+1)$ contains the elements of arity $m+1$ of \mathcal{O} which cannot be obtained by composing elements of arity $k \leq m$ of \mathfrak{G} . The fact that $\mathfrak{G}(1) = \emptyset$ ensures that the arity of any partial composition $x \circ_i y$ where $x \in \mathcal{O}$ and $y \in \mathfrak{G}$ (resp. $x \in \mathfrak{G}$ and $y \in \mathcal{O}$) is greater than the arity of x (resp. y). For this reason, the set $\mathfrak{G}(m+1)$ is unique, so that the given candidate for this set is the only possible one. Finally, since by construction \mathfrak{G} is minimal, the statement of lemma follows. \square

By Lemma 4.1.1, we shall denote by $\mathfrak{G}_{\mathcal{O}}$ the unique minimal generating set of any operad \mathcal{O} satisfying $\mathcal{O}(0) = \emptyset$ and $\mathcal{O}(1) = \{\mathbf{1}\}$. Moreover, given the alphabet $\mathfrak{G}_{\mathcal{O}}$, there is a unique operad congruence $\equiv_{\mathcal{O}}$ such that $\mathbf{S}(\mathfrak{G}_{\mathcal{O}})/\equiv_{\mathcal{O}} \simeq \mathcal{O}$. Indeed, $\equiv_{\mathcal{O}}$ satisfies necessarily $t \equiv_{\mathcal{O}} t'$ for all $t, t' \in \mathbf{S}(\mathfrak{G}_{\mathcal{O}})$ such that $\text{ev}(t) = \text{ev}(t')$. For this reason, \mathcal{O} admits $(\mathfrak{G}_{\mathcal{O}}, \equiv_{\mathcal{O}})$ as unique presentation. These properties arising from the fact that $\mathcal{O}(0) = \emptyset$ and $\mathcal{O}(1) = \{\mathbf{1}\}$ are consequences of the previous lemma, used implicitly in the sequel.

Let \mathcal{O} be an operad such that $\mathcal{O}(0) = \emptyset$ and $\mathcal{O}(1) = \{\mathbf{1}\}$. If the presentation $(\mathfrak{G}_{\mathcal{O}}, \equiv_{\mathcal{O}})$ of \mathcal{O} is such that for any $t, t' \in \mathbf{S}(\mathfrak{G}_{\mathcal{O}})$, $t \equiv_{\mathcal{O}} t'$ implies $\deg(t) = \deg(t')$, then \mathcal{O} is *homogeneous*. Besides, if $\mathfrak{G}_{\mathcal{O}}$ is finite, then \mathcal{O} is *finitely generated*. In the sequel, we shall mainly consider homogeneous and finitely generated operads.

Given an homogeneous operad \mathcal{O} , the *degree* $\deg(x)$ of $x \in \mathcal{O}$ is the degree of a treelike expression of x on $\mathfrak{G}_{\mathcal{O}}$. Observe that since \mathcal{O} is homogeneous, if x admits two treelike expressions t and t' , we necessarily have $\deg(t) = \deg(t')$ so that $\deg(x)$ is well-defined. Moreover, we denote by \mathcal{O}_{\bullet} the graded set wherein for any $d \geq 0$, $\mathcal{O}_{\bullet}(d)$ is the set of all elements of \mathcal{O} having d as degree. Remark that if \mathcal{O} is finitely generated, since \mathcal{O} is by definition a quotient of $\mathbf{S}(\mathfrak{G}_{\mathcal{O}})$, and since $\mathbf{S}_{\bullet}(\mathfrak{G}_{\mathcal{O}})$ is combinatorial, \mathcal{O}_{\bullet} is also combinatorial.

4.1.2. Prefix graded graphs. For any homogeneous and finitely generated operad \mathcal{O} , let $(\mathcal{O}_{\bullet}, \mathbf{U})$ be the graded graph wherein, for any $x \in \mathcal{O}_{\bullet}$,

$$\mathbf{U}(x) := \sum_{\substack{a \in \mathfrak{G}_{\mathcal{O}} \\ i \in [|x|]}} x \circ_i a. \quad (4.1.1)$$

In words, this says that $y \in \mathcal{O}_\bullet$ appears in $U(x)$ with a coefficient λ where λ is the number of pairs $(a, i) \in \mathfrak{G}_\mathcal{O} \times \mathbb{N}$ such that $y = x \circ_i a$. We call (\mathcal{O}_\bullet, U) the *prefix graph* of \mathcal{O} . As a side remark, this graph is the derivation graph of the so-called monochrome bud generating system of \mathcal{O} introduced in [Gir19].

Proposition 4.1.2. *Let \mathcal{O} be an homogeneous and finitely generated operad. The prefix graph of \mathcal{O} is a natural rooted graded graph.*

Proof. Since \mathcal{O} is finitely generated, for any $x \in \mathcal{O}_\bullet$, $U(x)$ is an \mathcal{O}_\bullet -polynomial, so that U is a well-defined map from $\mathbb{K}\langle\mathcal{O}_\bullet\rangle$ to $\mathbb{K}\langle\mathcal{O}_\bullet\rangle$. Moreover, since \mathcal{O} is homogeneous, each element of \mathcal{O} has a well-defined degree. For any $x \in \mathcal{O}_\bullet$, the degree of $x \circ_i a$ where $i \in [|x|]$ and $a \in \mathfrak{G}_\mathcal{O}$ is $\deg(x) + 1$. Therefore, U sends any element of \mathcal{O}_\bullet of degree $d \geq 0$ to a sum of elements of degrees $d + 1$. This shows that (\mathcal{O}_\bullet, U) is a graded graph. Moreover, since by (1.3.2a), any $x \in \mathcal{O}$ writes as

$$x = (\dots ((\mathbf{1} \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_d} a_d \quad (4.1.2)$$

where $d \geq 0$, $a_1, \dots, a_d \in \mathfrak{G}_\mathcal{O}$, and $i_1, i_2, \dots, i_d \in \mathbb{N}$, there is at least a path from $\mathbf{1}$ to x in (\mathcal{O}_\bullet, U) . Hence, this graded graph admits $\mathbf{1}$ as root. Finally, since all coefficients of $U(x)$ are obviously nonnegative, the statement of the proposition is established. \square

Observe that when \mathcal{O} is free, then $\mathcal{O} \simeq \mathbf{S}(\mathfrak{G}_\mathcal{O})$, and (\mathcal{O}_\bullet, U) and $(\mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}), U)$ coincide as graded graphs.

4.1.3. Twisted prefix graded graphs. For any homogeneous and finitely generated operad \mathcal{O} , let (\mathcal{O}_\bullet, V) be the graded graph wherein, for any $x \in \mathcal{O}_\bullet$,

$$V(x) := \text{ch}(\text{Supp}(V'(x))), \quad (4.1.3)$$

where $V' : \mathbb{K}\langle\mathcal{O}_\bullet\rangle \rightarrow \mathbb{K}\langle\mathcal{O}_\bullet\rangle$ is the linear map defined recursively by

$$V'(x) := \left(\sum_{a \in \mathfrak{G}_\mathcal{O}} a \circ_1 x \right) + \left(\sum_{\substack{b \in \mathfrak{G}_\mathcal{O} \\ y_1, \dots, y_{|b|} \in \mathcal{O} \\ x = b \circ [y_1, \dots, y_{|b|}]} } \sum_{j \in [2, |b|]} b \circ [y_1, \dots, y_{j-1}, V'(y_j), y_{j+1}, \dots, y_{|b|}] \right). \quad (4.1.4)$$

In words, this says that $y \in \mathcal{O}_\bullet$ appears in $V(x)$ if there is a treelike expression t_y on $\mathfrak{G}_\mathcal{O}$ of y and a treelike expression t_x on $\mathfrak{G}_\mathcal{O}$ of x such that t_y appears in $V(t_x)$, where this last occurrence of V is the linear map of the $\mathfrak{G}_\mathcal{O}$ -twisted prefix graph $(\mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}), V)$ (see Section 2.2.1). We call (\mathcal{O}_\bullet, V) the *twisted prefix graph* of \mathcal{O} .

Proposition 4.1.3. *Let \mathcal{O} be an homogeneous and finitely generated operad. The twisted prefix graph of \mathcal{O} is a simple rooted graded graph.*

Proof. Since \mathcal{O} is finitely generated, $\mathfrak{G}_\mathcal{O}$ is finite and thus, the sum appearing in (4.1.4) is finite. Therefore, $(\mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}), V)$ is a well-defined graded graph. Let $x, y \in \mathcal{O}_\bullet$ such that y appears in $V(x)$. Then, there are $s \in \mathcal{T}_{\mathfrak{G}_\mathcal{O}}(x)$, $t \in \mathcal{T}_{\mathfrak{G}_\mathcal{O}}(y)$ such that t appears in $V(s)$. Since $\mathfrak{G}_\mathcal{O}$ -twisted prefix graphs are ranked by the degrees of the $\mathfrak{G}_\mathcal{O}$ -trees, we have $\deg(t) =$

$\deg(s) + 1$. Therefore, and since \mathcal{O} is homogeneous so that each element of \mathcal{O}_\bullet as a well-defined degree, $\deg(y) = \deg(x) + 1$. Hence, \mathbf{V} sends any element of \mathcal{O}_\bullet of degree $d \geq 0$ to a sum of elements of degrees $d + 1$. This implies that $(\mathcal{O}_\bullet, \mathbf{V})$ is a graded graph. Moreover, as noticed in Section 2.2.1, for each $\mathfrak{G}_\mathcal{O}$ -tree t different from the leaf, $\mathbf{V}^*(t) \neq 0$. This implies that for any $\mathfrak{G}_\mathcal{O}$ -tree s different from the leaf, there is a $\mathfrak{G}_\mathcal{O}$ -tree t such that s appears in $\mathbf{V}(t)$. For this reason, for any $y \in \mathcal{O}_\bullet$ such that $y \neq \mathbf{1}$, there is an $x \in \mathcal{O}_\bullet$ such that $\mathbf{V}(x) = y$. Therefore, and because $\mathbf{1}$ is the only element of \mathcal{O} of degree 0, $(\mathcal{O}_\bullet, \mathbf{V})$ admits $\mathbf{1}$ as root. Finally, directly by definition, all coefficients of $\mathbf{V}(x)$ are 0 or 1. This establishes the statement of the proposition. \square

Observe that when \mathcal{O} is free, $(\mathcal{O}_\bullet, \mathbf{V})$ and $(\mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}), \mathbf{V})$ coincide as graded graphs. Besides, when \mathcal{O} is an homogeneous and finitely generated operad, by Propositions 4.1.2 and 4.1.3, the two graded graphs $(\mathcal{O}_\bullet, \mathbf{U})$ and $(\mathcal{O}_\bullet, \mathbf{V})$ are both ranked by the degrees of their elements. Hence, $(\mathcal{O}_\bullet, \mathbf{U}, \mathbf{V})$ is a pair of graded graphs.

4.2. Posets from operads. Let us study the posets of the prefix graphs of prefix graphs built from operads.

4.2.1. Prefix posets. Let \mathcal{O} be a homogeneous and finitely generated operad. The *prefix poset* of \mathcal{O} is the poset $(\mathcal{O}_\bullet, \preceq_p)$ of $(\mathcal{O}_\bullet, \mathbf{U})$. Observe that $\mathfrak{G}_\mathcal{O}$ is the set of the atoms of the prefix poset of \mathcal{O} .

Proposition 4.2.1. *Let \mathcal{O} be an homogeneous and finitely generated operad. For any $x, y \in \mathcal{O}_\bullet$, we have $x \preceq_p y$ if and only if there exist $s \in \mathcal{T}_{\mathfrak{G}_\mathcal{O}}(x)$ and $t \in \mathcal{T}_{\mathfrak{G}_\mathcal{O}}(y)$ such that $s \preceq_p t$ in the \mathfrak{G} -prefix poset $(\mathbf{S}_\bullet(\mathfrak{G}), \preceq_p)$.*

Proof. By definition of the prefix poset of \mathcal{O} , $x \preceq_p y$ is equivalent to the fact that there exist an integer $k \geq 0$, generators a_1, \dots, a_k of $\mathfrak{G}_\mathcal{O}$, and positive integers i_1, \dots, i_k such that

$$y = (\dots((x \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_k} a_k. \quad (4.2.1)$$

Let s be any treelike expression on $\mathfrak{G}_\mathcal{O}$ of x . From (4.2.1), the tree

$$t := (\dots((s \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_k} a_k, \quad (4.2.2)$$

is a treelike expression on $\mathfrak{G}_\mathcal{O}$ of y . Moreover, by definition of the $\mathfrak{G}_\mathcal{O}$ -prefix graded graph, this is equivalent to the fact that there is a path from s to t in $(\mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}), \mathbf{U})$. Therefore, $s \preceq_p t$. \square

For any $x, y \in \mathcal{O}_\bullet$, x is a *prefix* of y if there exist some elements $z_1, \dots, z_{|x|}$ of \mathcal{O}_\bullet such that $y = x \circ [z_1, \dots, z_{|x|}]$.

Proposition 4.2.2. *Let \mathcal{O} be an homogeneous and finitely generated operad. The order relation \preceq_p of the prefix poset of \mathcal{O} satisfies $x \preceq_p y$ if and only if x is a prefix of y for any $x, y \in \mathcal{O}_\bullet$. Moreover, the covering relation \prec_U of the prefix poset of \mathcal{O} satisfies $x \prec_U y$ for any $x, y \in \mathcal{O}_\bullet$ if and only if there is an $a \in \mathfrak{G}_\mathcal{O}$ and an $i \in [|x|]$ such that $y = x \circ_i a$.*

Proof. By Proposition 4.2.1, we have $x \preceq_p y$ if and only if $s \preceq_p t$ where s (resp. t) is a treelike expression on $\mathfrak{G}_\mathcal{O}$ of x (resp. y). By Proposition 3.1.2, this is equivalent to the fact that s is a prefix of t . Hence, we have $t = s \circ [\tau_1, \dots, \tau_{|s|}]$ for some $\mathcal{O}_\mathfrak{G}$ -trees $\tau_1, \dots, \tau_{|s|}$. This says that $\text{ev}(t) = \text{ev}(s \circ [\tau_1, \dots, \tau_{|s|}])$ and, since ev is an operad morphism, that $y = x \circ [\text{ev}(\tau_1), \dots, \text{ev}(\tau_{|s|})]$. This is, by definition of the order relation \preceq_p on \mathcal{O}_\bullet , equivalent to the fact that $x \preceq_p y$.

The second part of the statement is a direct consequence of the definition of the map \mathbf{U} . \square

4.2.2. Functorial construction.

Theorem 4.2.3. *The construction sending any homogeneous and finitely generated operad \mathcal{O} to its prefix poset $(\mathcal{O}_\bullet, \preceq_p)$ and sending any morphism $\psi : \mathcal{O} \rightarrow \mathcal{O}'$ of homogeneous and finitely generated operads \mathcal{O} and \mathcal{O}' to the same map between \mathcal{O}_\bullet and \mathcal{O}'_\bullet is a functor preserving injections and surjections from the category of homogeneous and finitely generated operads to the category of posets.*

Proof. By Proposition 4.1.2, this construction produces from an homogeneous and finitely presented operad \mathcal{O} a well-defined poset $(\mathcal{O}_\bullet, \preceq_p)$. It remains to prove that this construction sends operad morphisms to poset morphisms and preserves their injectivity and surjectivity. For this, let \mathcal{O} and \mathcal{O}' be two homogeneous and finitely presented operads, and $\psi : \mathcal{O} \rightarrow \mathcal{O}'$ be an operad morphism. Let also $x, y \in \mathcal{O}_\bullet$ and assume that $x \preceq_p y$. By Proposition 4.2.1, there are $s \in \mathcal{T}_{\mathfrak{G}_\mathcal{O}}(x)$ and $t \in \mathcal{T}_{\mathfrak{G}_\mathcal{O}}(y)$ such that $s \preceq_p t$. By the universality property of free operads (See Section 1.3.2), the map ψ is entirely specified by the map $f : \mathfrak{G}_\mathcal{O} \rightarrow \mathcal{O}'$ satisfying $f(a) = \psi(a)$ for all $a \in \mathfrak{G}_\mathcal{O}$. Let $\tilde{f} : \mathfrak{G}_\mathcal{O} \rightarrow \mathbf{S}(\mathfrak{G}_{\mathcal{O}'})$ be a map sending any $a \in \mathfrak{G}_\mathcal{O}$ to a treelike expression on $\mathfrak{G}_{\mathcal{O}'}$ of $f(a)$. Let also s' (resp. t') be the $\mathfrak{G}_{\mathcal{O}'}$ -tree obtained by replacing each internal node $a \in \mathfrak{G}_\mathcal{O}$ of s (resp. t) by $\tilde{f}(a)$. By construction, we have $\text{ev}(s') = \psi(x)$ and $\text{ev}(t') = \psi(y)$. Moreover, since $s \preceq_p t$, by Proposition 3.1.2, s is a prefix of t . This implies by construction of s' and t' that $s' \preceq_p t'$. Hence, by Proposition 4.2.1, we have $\psi(x) \preceq_p \psi(y)$. Therefore, ψ is a poset morphism. Finally, injections and surjections are preserved since operad morphisms are sent to poset morphisms without any change. \square

As a consequence of Theorem 4.2.3, if \mathcal{O} is an homogeneous and finitely generated operad, then the operad surjection $\text{ev} : \mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}) \rightarrow \mathcal{O}$ is a surjective poset morphism from $(\mathbf{S}_\bullet(\mathfrak{G}_\mathcal{O}), \preceq_p)$ to $(\mathcal{O}_\bullet, \preceq_p)$.

4.3. Examples. Before ending this paper, we consider here some examples of pairs of graded graphs constructed from some homogeneous and finitely generated operads. Some of these pairs of graded graphs are ϕ -diagonal dual and some other not. Most of the considered operads arise in a combinatorial context.

4.3.1. Associative operad. The *associative operad* \mathbf{As} is the operad wherein $\mathbf{As}(n) := \{\star_n\}$ for all $n \geq 1$, and $\star_n \circ_i \star_m := \star_{n+m-1}$ for all $n \geq 1$, $m \geq 1$, and $i \in [n]$. This operad admits the presentation $(\mathfrak{G}_{\mathbf{As}}, \equiv_{\mathbf{As}})$ where

$$\mathfrak{G}_{\mathbf{As}} := \{\star_2\}, \quad (4.3.1)$$

and $\equiv_{\mathbf{As}}$ is the smallest operad congruence of $\mathbf{S}(\mathfrak{G}_{\mathbf{As}})$ satisfying

$$c(\star_2) \circ_1 c(\star_2) \equiv_{\mathbf{As}} c(\star_2) \circ_2 c(\star_2). \quad (4.3.2)$$

Therefore, \mathbf{As} is homogeneous and finitely presented.

The pair $(\mathbf{As}_\bullet, \mathbf{U}, \mathbf{V})$ of graded graphs satisfies $\mathbf{U}(\star_n) = n \star_{n+1}$ and $\mathbf{V}(\star_n) = \star_{n+1}$ for any $n \geq 1$ (see Figure 4). This very elementary example of pair of graded graphs is dual and



FIGURE 4. The pair $(\mathbf{As}_\bullet, \mathbf{U}, \mathbf{V})$ of graded graphs.

is known as the *chain* in [Fom94]. The hook series of $(\mathbf{As}_\bullet, \mathbf{U})$ satisfies

$$\mathbf{h}_{\mathbf{U}} = \sum_{n \geq 1} n! \star_n. \quad (4.3.3)$$

4.3.2. Diassociative operad. The *diassociative operad* \mathbf{Dias} is the operad wherein $\mathbf{Dias}(n)$ is the set of all words of length $n \geq 1$ on the alphabet $\{0, 1\}$ having exactly one occurrence of 0. The partial composition $u \circ_i v$ of two such words u and v consists in replacing the i -th letter of u by v' , where v' is the word obtained from v by replacing all its letters a by $\max\{u_i, a\}$. This operad has been introduced in [Lod01] under a slightly different form (see also [Cha05, Gir16]). This operad admits the presentation $(\mathfrak{G}_{\mathbf{Dias}}, \equiv_{\mathbf{Dias}})$ where

$$\mathfrak{G}_{\mathbf{Dias}} = \{01, 10\} \quad (4.3.4)$$

and $\equiv_{\mathbf{Dias}}$ is the smallest operad congruence of $\mathbf{S}(\mathfrak{G}_{\mathbf{Dias}})$ satisfying

$$c(01) \circ_1 c(01) \equiv_{\mathbf{Dias}} c(01) \circ_2 c(01) \equiv_{\mathbf{Dias}} c(01) \circ_2 c(10), \quad (4.3.5a)$$

$$c(01) \circ_1 c(10) \equiv_{\mathbf{Dias}} c(10) \circ_2 c(01), \quad (4.3.5b)$$

$$c(10) \circ_1 c(01) \equiv_{\mathbf{Dias}} c(10) \circ_1 c(10) \equiv_{\mathbf{Dias}} c(10) \circ_2 c(10). \quad (4.3.5c)$$

Observe that these relations describe respectively the treelike expressions for the elements 011, 101, and 110 of \mathbf{Dias} . Therefore, \mathbf{Dias} is homogeneous and finitely generated.

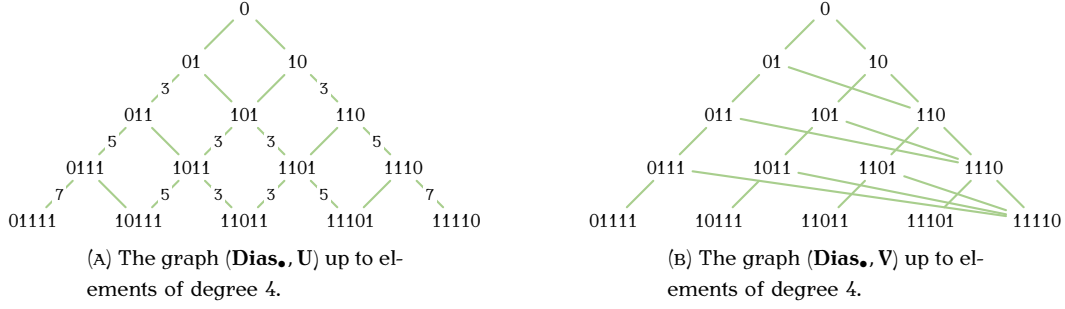
The pair of graded graphs $(\mathbf{Dias}_\bullet, \mathbf{U}, \mathbf{V})$ satisfies

$$\mathbf{U}(1^k 0 1^\ell) = (2k+1) 1^{k+1} 0 1^\ell + (2\ell+1) 1^k 0 1^{\ell+1}, \quad (4.3.6)$$

and

$$\mathbf{V}(1^k 0 1^\ell) = 1^k 0 1^{\ell+1} + 1^{k+1} 0 1^\ell, \quad (4.3.7)$$

for any $k, \ell \in \mathbb{N}$ (see Figure 5). This pair of graded graphs is not ϕ -diagonal dual since for instance, $(\mathbf{V}^* \mathbf{U} - \mathbf{U} \mathbf{V}^*)(10) = 3(10) + 2(01)$. Nevertheless, we have the following property.

FIGURE 5. The pair $(\mathbf{Dias}_\bullet, \mathbf{U}, \mathbf{V})$ of graded graphs.

Proposition 4.3.1. *The graded graph $(\mathbf{Dias}_\bullet, \mathbf{U})$ is ϕ -diagonal self-dual for the linear map $\phi : \mathbb{K}\langle \mathbf{Dias}_\bullet \rangle \rightarrow \mathbb{K}\langle \mathbf{Dias}_\bullet \rangle$ satisfying*

$$\phi(1^k 0 1^\ell) = ([k = 0] + [\ell = 0] + 8[k \geq 1]k + 8[\ell \geq 1]\ell) 1^k 0 1^\ell \quad (4.3.8)$$

for any $k, \ell \in \mathbb{N}$.

Proof. By a straightforward computation, by using (4.3.6), we can show that the relation $(\mathbf{U}^* \mathbf{U} - \mathbf{U} \mathbf{U}^*)(1^k 0 1^\ell) = \phi(1^k 0 1^\ell)$ holds for all $k, \ell \geq 0$, establishing the statement of the proposition. \square

The hook series of $(\mathbf{Dias}_\bullet, \mathbf{U})$ satisfies

$$\begin{aligned} \mathbf{h}_\mathbf{U} = & (0) + (01) + (10) + 3(011) + 2(101) + 3(110) + 15(0111) + 9(1011) \\ & + 9(1101) + 15(1110) + 105(01111) + 60(10111) + 54(11011) \\ & + 60(11101) + 105(11110) + \dots \end{aligned} \quad (4.3.9)$$

These coefficients form Triangle **A059366** of [Slo].

4.3.3. Operad of integer compositions. The *operad of integer compositions* **Comp** is the operad wherein $\mathbf{Comp}(n)$ is the set of all words of length $n \geq 1$ on the alphabet $\{0, 1\}$ beginning by 0. The partial composition $u \circ_i v$ of two such words u and v consists in replacing the i -th letter of u by v if $u_i = 0$ and by \bar{v} if $u_i = 1$ where \bar{v} is the one complement of v . This operad has been introduced in [Gir15] and admits the presentation $(\mathfrak{G}_{\mathbf{Comp}}, \equiv_{\mathbf{Comp}})$ where

$$\mathfrak{G}_{\mathbf{Comp}} = \{00, 01\} \quad (4.3.10)$$

and $\equiv_{\mathbf{Comp}}$ is the smallest operad congruence of $\mathbf{S}_1(\mathfrak{G}_{\mathbf{Comp}})$ satisfying

$$c(00) \circ_1 c(00) \equiv_{\mathbf{Comp}} c(00) \circ_2 c(00), \quad (4.3.11a)$$

$$c(01) \circ_1 c(00) \equiv_{\mathbf{Comp}} c(00) \circ_2 c(01), \quad (4.3.11b)$$

$$c(01) \circ_1 c(01) \equiv_{\mathbf{Comp}} c(01) \circ_2 c(00), \quad (4.3.11c)$$

$$c(00) \circ_1 c(01) \equiv_{\mathbf{Comp}} c(01) \circ_2 c(01). \quad (4.3.11d)$$

Observe that these relations describe respectively the treelike expressions for the elements 000, 001, 011, and 010 of **Comp**. Therefore, **Comp** is homogeneous and finitely generated.

The pair of graded graphs $(\mathbf{Comp}_\bullet, \mathbf{U}, \mathbf{V})$ satisfies

$$\mathbf{U}(u) = \sum_{i \in [|u|]} u_1 \dots u_i 0 u_{i+1} \dots u_{|u|} + u_1 \dots u_i 1 u_{i+1} \dots u_{|u|}, \quad (4.3.12)$$

and

$$\mathbf{V}(u) = u0 + u1, \quad (4.3.13)$$

for any $u \in \mathbf{Comp}$ (see Figure 6). The poset of $(\mathbf{Comp}_\bullet, \mathbf{U})$ is the *composition poset*

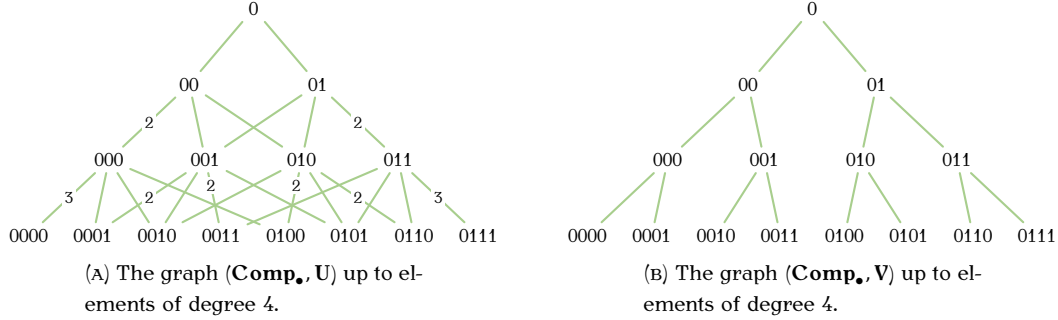


FIGURE 6. The pair $(\mathbf{Comp}_\bullet, \mathbf{U}, \mathbf{V})$ of graded graphs.

introduced and studied in [BP05].

Proposition 4.3.2. *The pair $(\mathbf{Comp}, \mathbf{U}, \mathbf{V})$ of graded graphs is 2-dual.*

Proof. By a straightforward computation, by using (4.3.12) and (4.3.13), we can infer the relation $(\mathbf{V}^* \mathbf{U} - \mathbf{U} \mathbf{V}^*)(u) = 2u$ for all $u \in \mathbf{Comp}$, establishing the statement of the proposition. \square

The hook series of $(\mathbf{Comp}_\bullet, \mathbf{U})$ satisfies

$$\mathbf{h}_\mathbf{U} = \sum_{u \in \mathbf{Comp}} (|u| - 1)! u. \quad (4.3.14)$$

4.3.4. Operad of Motzkin paths. The *operad of Motzkin paths* \mathbf{Motz} is the operad wherein $\mathbf{Motz}(n)$ is the set of all words of length $n \geq 1$ of nonnegative integers starting and finishing by 0 and such that the absolute difference between two consecutive letters is at most 1. The partial composition $u \circ_i v$ of two such words consists in replacing the i -th letter of u by v' where v' is the word obtained by incrementing by u_i all its letters. This operad has been introduced in [Gir15] and admits the presentation $(\mathfrak{G}_{\mathbf{Motz}}, \equiv_{\mathbf{Motz}})$ where

$$\mathfrak{G}_{\mathbf{Motz}} := \{00, 010\} \quad (4.3.15)$$

and $\equiv_{\mathbf{Motz}}$ is the smallest operad congruence of $\mathbf{S}(\mathfrak{G}_{\mathbf{Motz}})$ satisfying

$$c(00) \circ_1 c(00) \equiv_{\mathbf{Motz}} c(00) \circ_2 c(00), \quad (4.3.16a)$$

$$c(010) \circ_1 c(00) \equiv_{\mathbf{Motz}} c(00) \circ_2 c(010), \quad (4.3.16b)$$

$$c(00) \circ_1 c(010) \equiv_{\mathbf{Motz}} c(010) \circ_3 c(00), \quad (4.3.16c)$$

$$c(010) \circ_1 c(010) \equiv_{\mathbf{Motz}} c(010) \circ_3 c(010). \quad (4.3.16d)$$

Observe that these relations describe respectively the treelike expressions for the elements 000, 0010, 0100, and 01010 of **Motz**. Therefore, **Motz** is homogeneous and finitely generated. Observe moreover that, since the generator 010 is ternary, **Motz** is not a binary operad.

The pair of graded graphs $(\mathbf{Motz}_\bullet, \mathbf{U}, \mathbf{V})$ satisfies

$$\mathbf{U}(u) = \sum_{i \in [|u|]} u_1 \dots u_i u_i u_{i+1} \dots u_{|u|} + u_1 \dots u_i (u_i + 1) u_i u_{i+1} \dots u_{|u|}, \quad (4.3.17)$$

and

$$\mathbf{V}(u) = \sum_{\substack{i \in [|u|] \\ i=|u| \text{ or } u_i > u_{i+1}}} u_1 \dots u_i u_i u_{i+1} \dots u_{|u|} + u_1 \dots u_i (u_i + 1) u_i u_{i+1} \dots u_{|u|}, \quad (4.3.18)$$

for any $u \in \mathbf{Motz}$ (see Figure 7).

Proposition 4.3.3. *The pair $(\mathbf{Motz}_\bullet, \mathbf{U}, \mathbf{V})$ of graded graphs is ϕ -diagonal dual for the linear map $\phi : \mathbb{K}\langle \mathbf{Motz}_\bullet \rangle \rightarrow \mathbb{K}\langle \mathbf{Motz}_\bullet \rangle$ satisfying*

$$\phi(u) = (2 + \#\{i \in [|u| - 1] : u_i \neq u_{i+1}\}) u \quad (4.3.19)$$

for any $u \in \mathbf{Motz}$.

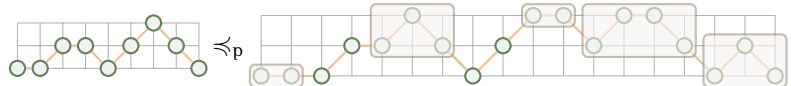
Proof. By a straightforward computation, by using (4.3.17) and (4.3.18), we can infer the relation $(\mathbf{V}^* \mathbf{U} - \mathbf{U} \mathbf{V}^*)(u) = \phi(u)$ for all $u \in \mathbf{Motz}$, establishing the statement of the proposition. \square

The hook series of $(\mathbf{Motz}_\bullet, \mathbf{U})$ satisfies

$$\begin{aligned} \mathbf{h}_\mathbf{U} = & (0) + (00) + 2(000) + (010) + 6(0000) + 2(0010) + 2(0100) + (0110) \\ & + 6(00010) + 6(00100) + 3(00110) + 6(01000) + 2(01010) + 3(01100) \\ & + 2(01110) + (01210) + 6(001010) + 3(001210) + 6(010010) + 6(010100) \\ & + 3(010110) + 3(011010) + 2(011210) + 3(012100) + 2(012110) + (012210) \\ & + 6(0101010) + 3(0101210) + 3(0121010) + 2(0121210) + (0123210) + \dots \end{aligned} \quad (4.3.20)$$

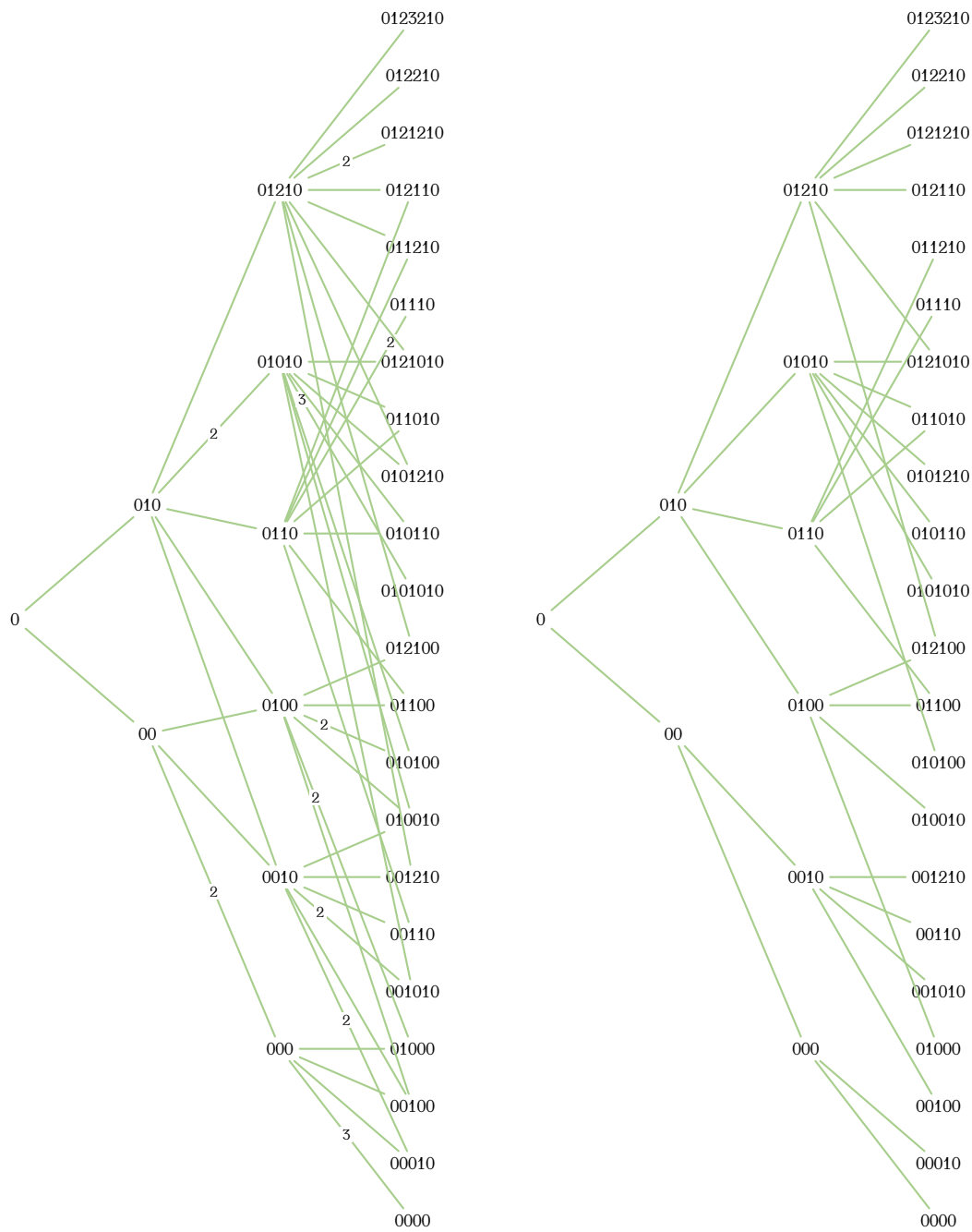
We do not have a concise combinatorial description for these hook coefficients.

Besides, by representing any element of **Motz** as a path in the quarter plane (that is, by drawing points $(i - 1, u_i)$ for all $i \in [|u|]$ and by connecting all pairs of adjacent points by segments), in the prefix poset of **Motz**, one has $u \preceq_p v$ if and only if u can be obtained from v by collapsing into points some factors of v that are Motzkin paths. For instance, one has



$$(4.3.21)$$

where the factors to collapse are framed. Observe also that the prefix poset of **Motz** is not a meet-semilattice since $00 \preceq_p 0010$, $00 \preceq_p 0100$, $010 \preceq_p 0010$, $010 \preceq_p 0100$, 00 and 010 are incomparable, and 0010 and 0100 are incomparable.



(A) The graph $(\text{Motz}_{\bullet}, U)$ up to elements of degree 3.

(B) The graph $(\text{Motz}_{\bullet}, V)$ up to elements of degree 3.

FIGURE 7. The pair $(\text{Motz}_{\bullet}, U, V)$ of graded graphs.

4.3.5. *Operads of m -trees.* For any integer $m \geq 0$, the *operad of m -trees* \mathbf{FCat}_m is the operad wherein $\mathbf{FCat}_m(n)$ is the set of all words u of length $n \geq 1$ of nonnegative integers such that $u_1 = 0$ and, for all $i \in [n - 1]$, $u_{i+1} \leq u_i + m$. The partial composition $u \circ_i v$ of two words u and v consists in replacing the i -th letter of u by v where v is the word obtained by incrementing by u_i all its letters. This operad has been introduced in [Gir15] and admits the presentation $(\mathfrak{G}_{\mathbf{FCat}_m}, \equiv_{\mathbf{FCat}_m})$ where

$$\mathfrak{G}_{\mathbf{FCat}_m} := \{00, 01, \dots, 0m\} \quad (4.3.22)$$

and $\equiv_{\mathbf{FCat}_m}$ is the smallest operad congruence of $\mathbf{S}(\mathfrak{G}_{\mathbf{FCat}_m})$ satisfying

$$c(0k_3) \circ_1 c(0k_1) \equiv_{\mathbf{FCat}_m} c(0k_1) \circ_2 c(0k_2), \quad k_3 = k_1 + k_2. \quad (4.3.23)$$

Observe that this relation describes the treelike expressions for the element $0k_1(k_1 + k_2)$ of \mathbf{FCat}_m . Therefore, \mathbf{FCat}_m is homogeneous and finitely generated.

The pair of graded graphs $(\mathbf{FCat}_{m\bullet}, \mathbf{U}, \mathbf{V})$ satisfies

$$\mathbf{U}(u) = \sum_{i \in [|u|]} \sum_{a \in [0, m]} u_1 \dots u_i (u_i + a) u_{i+1} \dots u_{|u|}, \quad (4.3.24)$$

and

$$\mathbf{V}(u) = \sum_{a \in [0, u_{|u|} + m]} ua, \quad (4.3.25)$$

for any $u \in \mathbf{FCat}_m$ (see Figure 8).

Proposition 4.3.4. *For any $m \geq 0$, the pair $(\mathbf{FCat}_{m\bullet}, \mathbf{U}, \mathbf{V})$ of graded graphs is ϕ -diagonal dual for the linear map $\phi : \mathbb{K}\langle \mathbf{FCat}_{m\bullet} \rangle \rightarrow \mathbb{K}\langle \mathbf{FCat}_{m\bullet} \rangle$ satisfying*

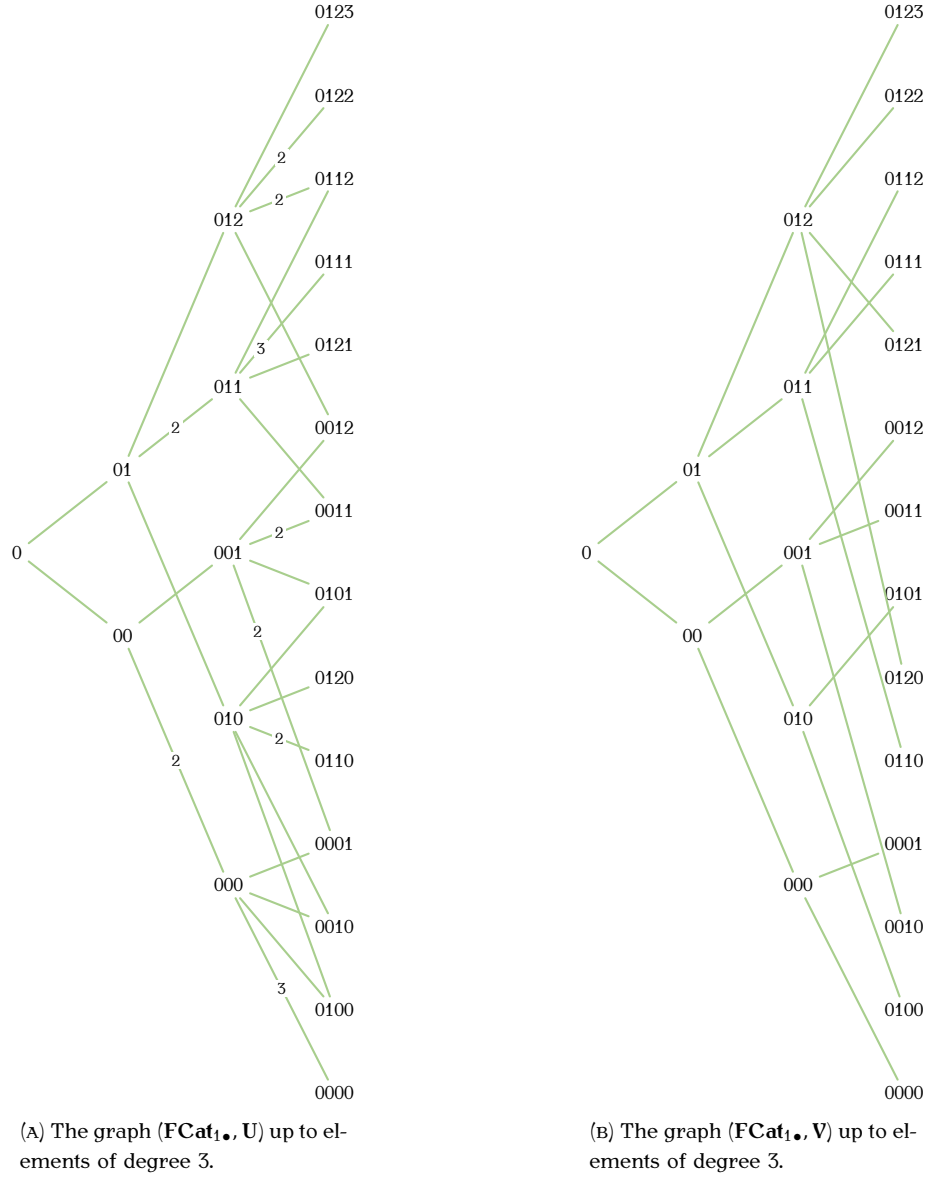
$$\phi(u) = (m + 1)u \quad (4.3.26)$$

for any $u \in \mathbf{FCat}_m$.

Proof. By a straightforward computation, by using (4.3.24) and (4.3.25), we can infer the relation $(\mathbf{V}^*\mathbf{U} - \mathbf{UV}^*)(u) = \phi(u)$ for all $u \in \mathbf{FCat}_m$, establishing the statement of the proposition. \square

The hook series of $(\mathbf{FCat}_{1\bullet}, \mathbf{U})$ satisfies

$$\begin{aligned} \mathbf{h}_{\mathbf{U}} = & (0) + (00) + (01) + 2(000) + 2(001) + (010) + 2(011) + (012) + 6(0000) \\ & + 6(0001) + 3(0010) + 6(0011) + 3(0012) + 3(0100) + 3(0101) + 2(0110) \\ & + 6(0111) + 4(0112) + (0120) + 2(0121) + 2(0122) + (0123) + \dots, \end{aligned} \quad (4.3.27)$$

FIGURE 8. The pair $(\mathbf{FCat}_{1,\bullet}, \mathbf{U}, \mathbf{V})$ of graded graphs.

and the one of $(\mathbf{FCat}_{2,\bullet}, \mathbf{U})$ satisfies

$$\begin{aligned}
 \mathbf{h}_{\mathbf{U}} = & (0) + (00) + (01) + (02) + 2(000) + 2(001) + 2(002) + (010) + 2(011) + 2(012) \\
 & + (013) + (020) + (021) + 2(022) + (023) + (024) + 6(0000) + 6(0001) \\
 & + 6(0002) + 3(0010) + 6(0011) + 6(0012) + 3(0013) + 3(0020) + 3(0021) \\
 & + 6(0022) + 3(0023) + 3(0024) + 3(0100) + 3(0101) + 3(0102) + 2(0110) \\
 & + 6(0111) + 6(0112) + 4(0113) + 2(0120) + 3(0121) + 6(0122) + 4(0123) \\
 & + 3(0124) + (0130) + 2(0131) + 2(0132) + 2(0133) + (0134) + (0135) \\
 & + 3(0200) + 3(0201) + 3(0202) + (0210) + 3(0211) + 3(0212) + 2(0213) \\
 & + 2(0220) + 2(0221) + 6(0222) + 4(0223) + 4(0224) + (0230) + (0231) \\
 & + 2(0232) + 2(0233) + 2(0234) + (0235) + (0240) + (0241) + 2(0242) + (0243) \\
 & + 2(0244) + (0245) + (0246) + \dots
 \end{aligned} \tag{4.3.28}$$

We do not have a concise combinatorial description for these hook coefficients.

PERSPECTIVES AND OPEN QUESTIONS

We finish this work by presenting three open questions and research directions.

As seen in Section 4.3, the pair of graded graphs associated with the operads **As**, **Comp**, **Motz**, and **FCat_m**, $m \geq 0$, are ϕ -diagonal dual, while the pair of graded graphs of **Dias** is not. By computer exploration, we conjecture that some classical operads appearing in the literature have also this property of ϕ -diagonal duality for their pair of graded graphs. This is the case for the 2-associative operad **2As** [LR06], for the operad **As₂** [Dot09], for the dipterous operad **Dip** [LR03], and for the duplicial operad **Dup** [Lod08]. The first question is to obtain in general a necessary and sufficient condition for an homogeneous and finitely presented operad \mathcal{O} for the ϕ -diagonal duality of its pair of graded graphs $(\mathcal{O}_\bullet, \mathbf{U}, \mathbf{V})$. Ideally, this condition should relate to the presentation of \mathcal{O} .

The second question concerns applications of ϕ -diagonal duality to enumerative problems. We propose to understand to what extent this generalized version of graph duality helps to obtain enumerative formulas. Recall that classical graph duality [Fom94] leads, from the identity $\mathbf{V}^* \mathbf{U}^n = \mathbf{U}^n \mathbf{V}^* + n \mathbf{U}^{n-1}$, $n \geq 0$, to a proof of (0.0.1) relating numbers of standard Young tableaux and numbers of permutations. A starting point is to use Proposition 1.1.3 and the Relation (1.1.19), which is a generalization of the previous identity, in order to relate other families of combinatorial objects in a similar way.

A last research direction consists in, rather than considering operads to construct graded graphs, use operad to construct trees. Roughly speaking, a tree can be built from a graded graph by deleting some of its edges. To obtain such a tree, we can consider a variant of the map \mathbf{U} (see (4.1.1)) wherein the apparitions of certain terms are forbidden. This could be achieved by the use of colored operads [Yau16] since the partial composition maps of these structures is restricted due to the use of colors. A similar mechanism is used in [Gir19] wherein graphs of some colored versions of combinatorial objects are built. The main interest to search for trees instead of graded graphs relies on the fact that trees can be thought as generating trees. These structures can be used to design efficient algorithms for the exhaustive generation of the objects or for random generation. The aim is to build a framework leading to such generating trees from any family of combinatorial objects endowed with the structure of a homogeneous and finitely presented operad.

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