
Conjugacy, Equivalence and Coverings of Automata

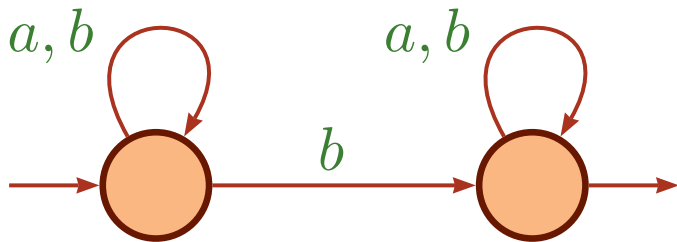
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Jacques Sakarovitch LTCI - ENST/CNRS

Automaton... with multiplicity

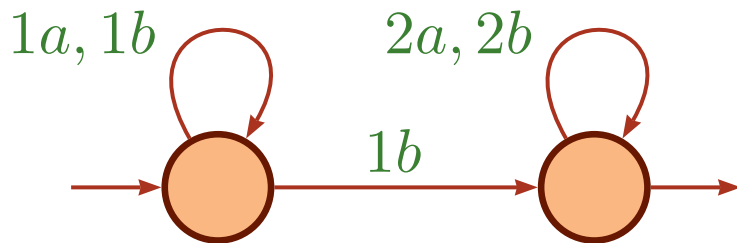
\mathbb{B}	“classical” automata
\mathbb{N}, \mathbb{Z}	counting paths
Fields	
$\text{Rat}(B^*)$	transducers
max-plus, min-plus	distance or cost automata



Boolean: accepts words with at least one b .
Over \mathbb{N} : counts the number of b 's.

Automaton... with multiplicity

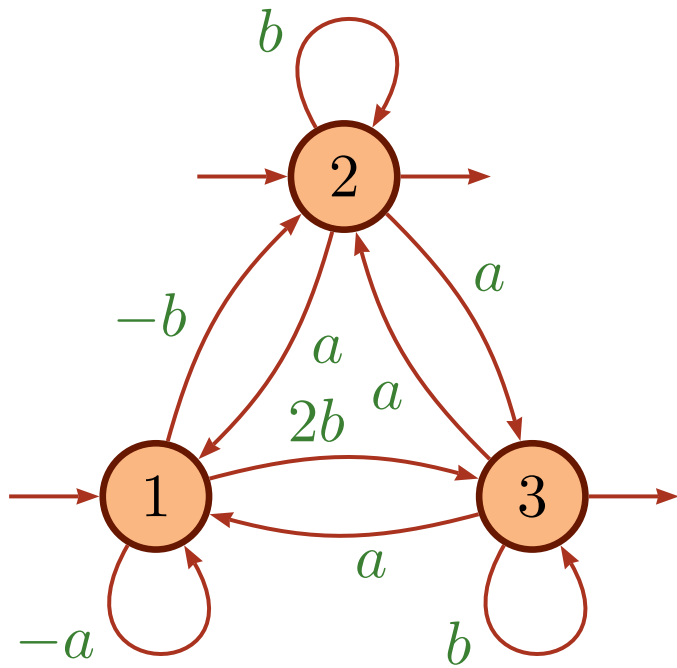
\mathbb{B}	“classical” automata
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Over \mathbb{N} : value of the number written in base 2.

Over $(\mathbb{N}, \min, +)$:

length of the word + nber of a 's at the end



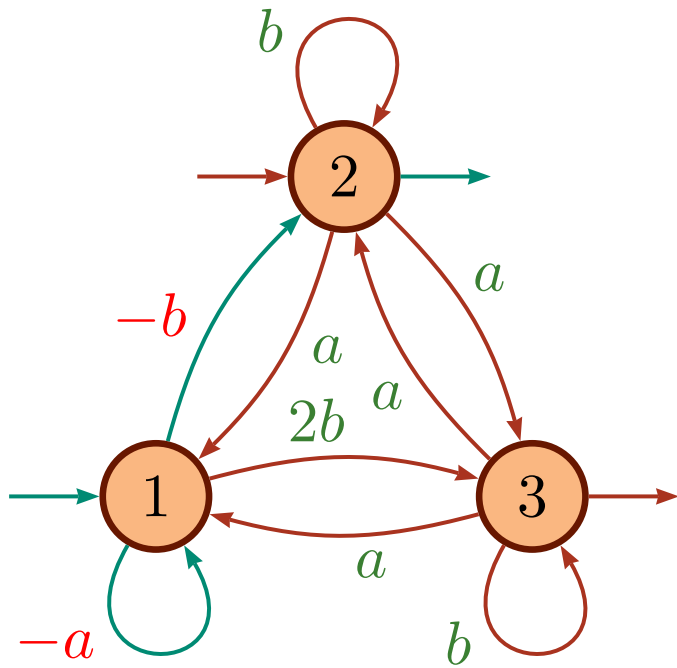
$\langle |\mathcal{A}|, ab \rangle =$

$$I = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

$$M = \begin{bmatrix} -a & -b & 2b \\ a & b & a \\ a & a & b \end{bmatrix}$$

$$T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$

\mathbb{K} -Automaton / \mathbb{K} -Representation

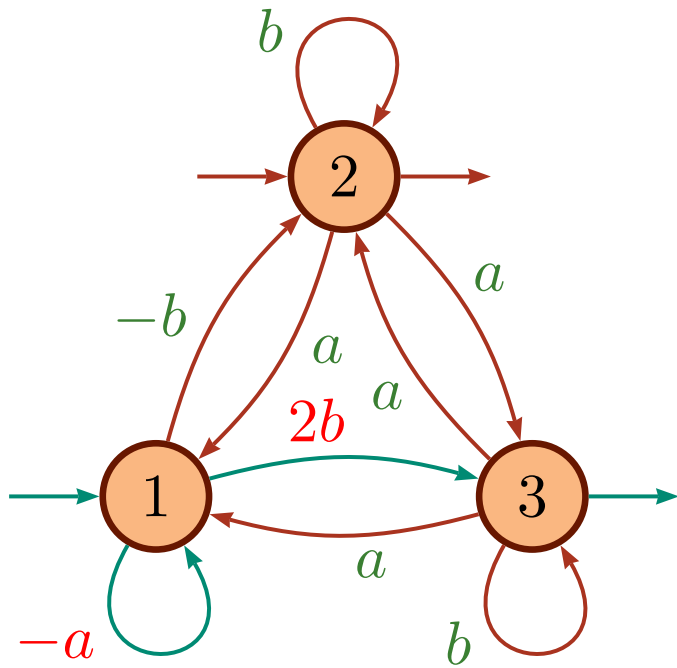


$$\langle |\mathcal{A}|, ab \rangle = 1$$

$$I = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

$$M = \begin{bmatrix} -a & -b & 2b \\ a & b & a \\ a & a & b \end{bmatrix}$$

$$T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$



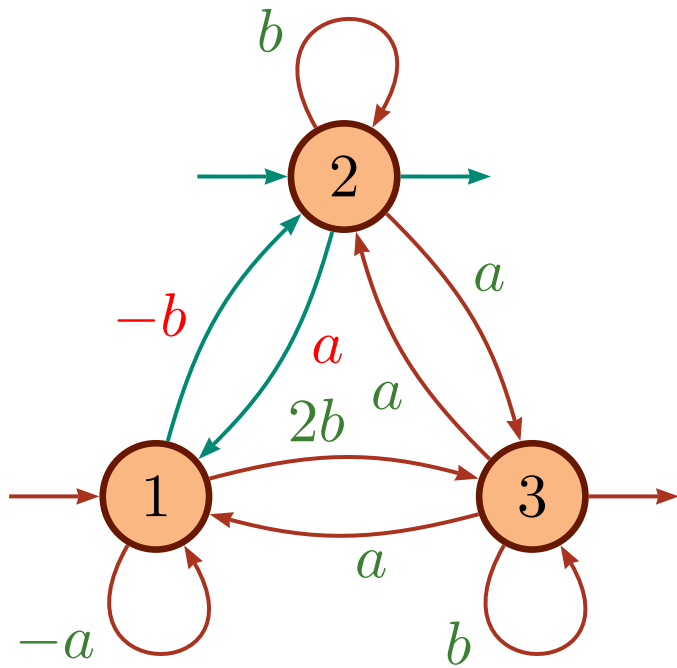
$$\langle |\mathcal{A}|, ab \rangle = 1 - 2$$

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\mathbb{K} -Automaton / \mathbb{K} -Representation

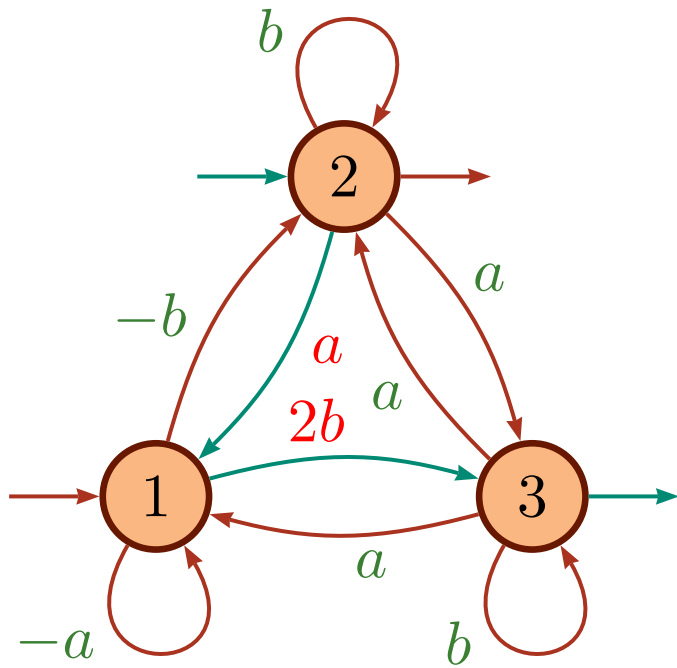


$$\langle |\mathcal{A}|, ab \rangle = 1 - 2 - 1$$

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$$T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$

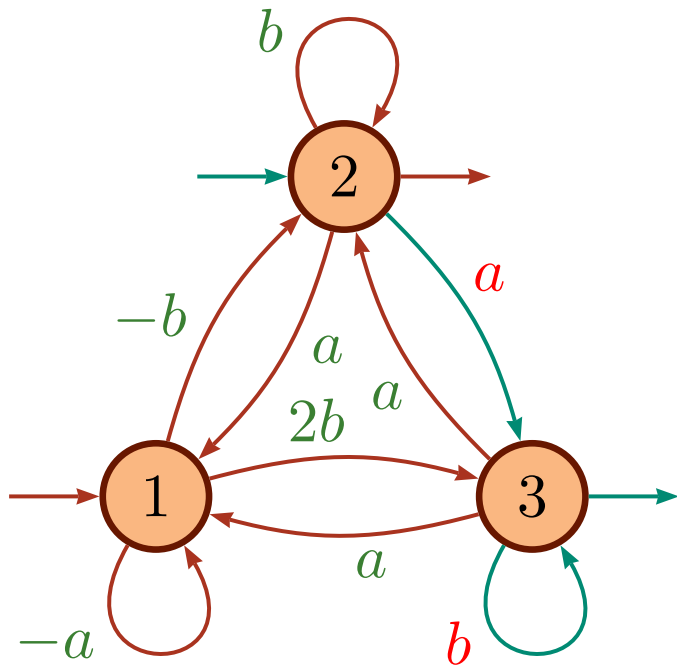


$$\langle |\mathcal{A}|, ab \rangle = 1 - 2 - 1 + 2$$

$$I = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

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$$T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$



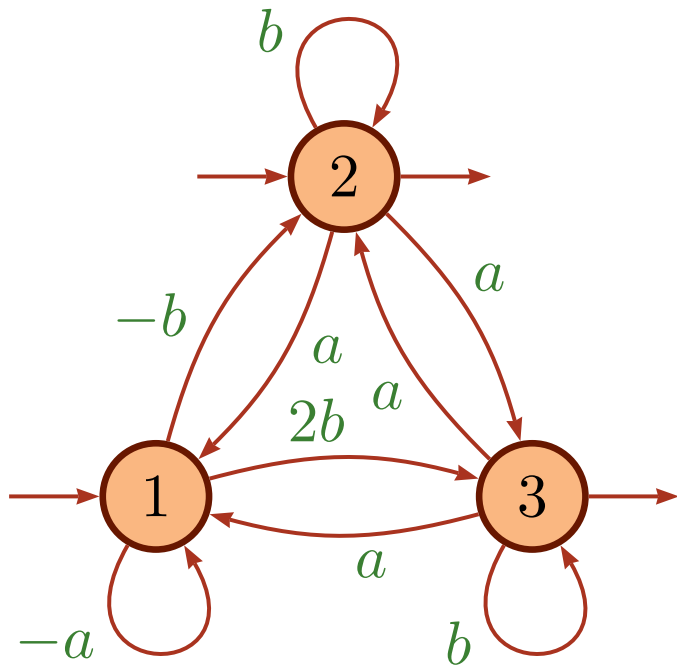
$$\langle |\mathcal{A}|, ab \rangle = 1 - 2 - 1 + 2 + 1 = 1$$

$$I = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

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$$T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$

\mathbb{K} -Automaton / \mathbb{K} -Representation



$$I = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

$$\mu(a) = \begin{bmatrix} -1 & 0 & 0 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \quad \mu(b) = \begin{bmatrix} 0 & -1 & 2 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$T = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$

$$\langle |\mathcal{A}|, ab \rangle = 1 - 2 - 1 + 2 + 1 = 1 = I\mu(a)\mu(b)T$$

Two automata are equivalent if they realize the same power series.

Decidability of equivalence depends on the semiring or on the particular form of automata:

Boolean	decidable		
Field	decidable		
Transducers	undecidable	functional	decidable
(min/max,+)	undecidable	unambiguous	decidable

$\mathcal{A} = (I, M, T), \mathcal{B} = (J, N, U). \mathcal{A} \xrightarrow{X} \mathcal{B}:$

$$IX = J, \quad MX = XN, \quad \text{et} \quad T = XU.$$

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For every w ,

$$I\mu(w_1)\dots\mu(w_n)T = I\mu(w_1)\dots\mu(w_n)XU$$

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For every w ,

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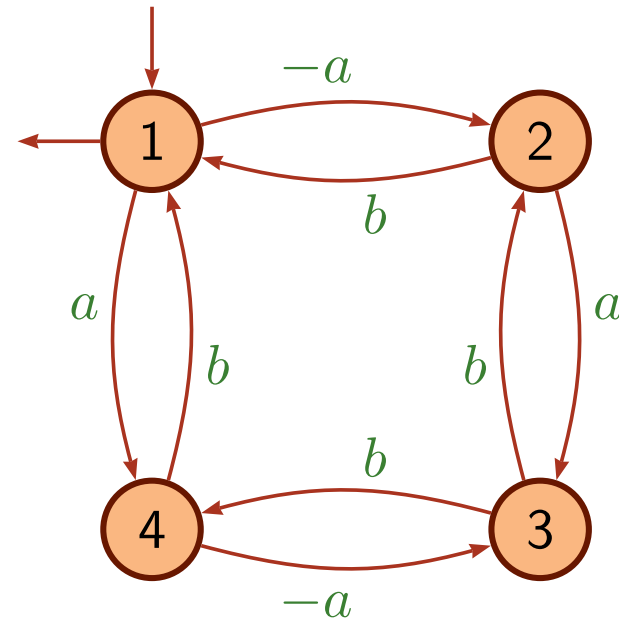
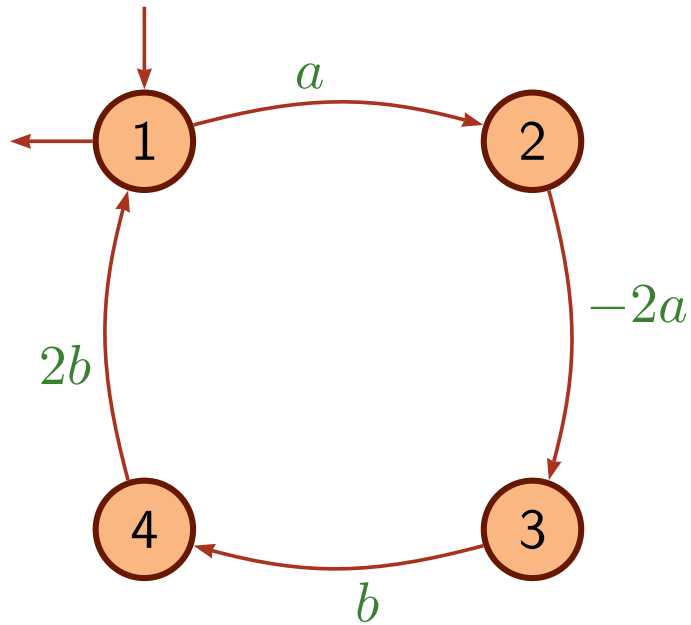
$$IX = J, \quad MX = XN, \quad \text{et} \quad T = XU.$$

For every w ,

$$I\mu(w_1)\dots\mu(w_n)T = J\mu(w_1)\dots\mu(w_n)U$$

$\Rightarrow \mathcal{A}$ and \mathcal{B} are equivalent.

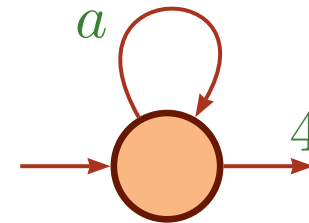
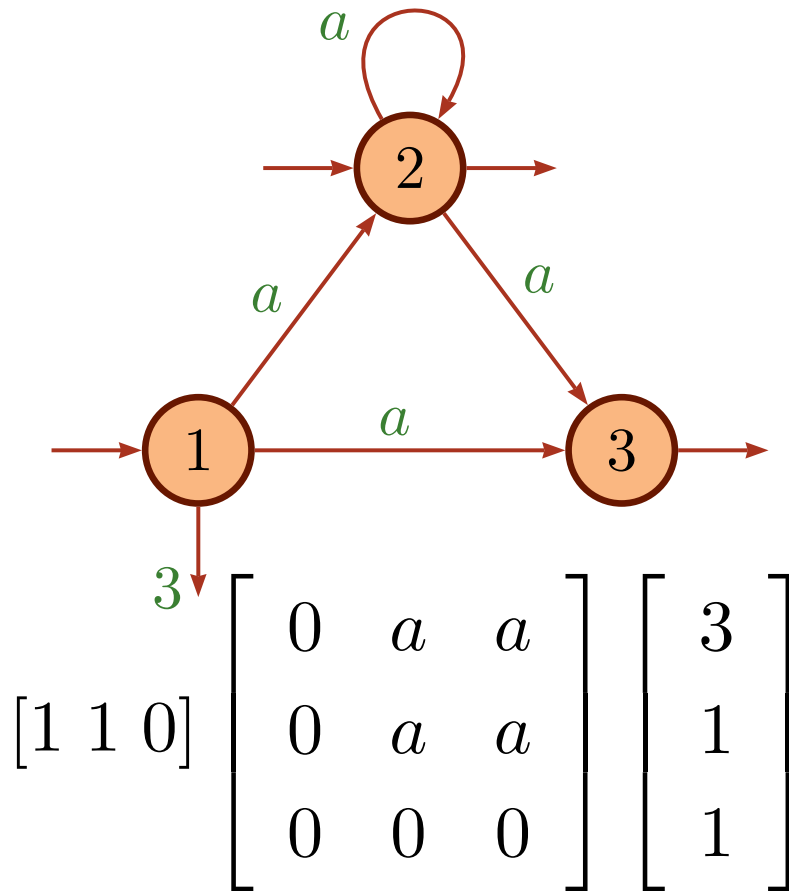
 Conjugacy is **not** an equivalence relation.
It is a pre-order.



Conjugated in \mathbb{Z} :

$$\begin{bmatrix} 0 & a & 0 & 0 \\ 0 & 0 & -2a & 0 \\ 0 & 0 & 0 & b \\ 2b & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} 0 & -a & 0 & a \\ b & 0 & a & 0 \\ 0 & b & 0 & b \\ b & 0 & -a & 0 \end{bmatrix}$$

Two equivalent automata may be not conjugated.



$$[1][a][4]$$

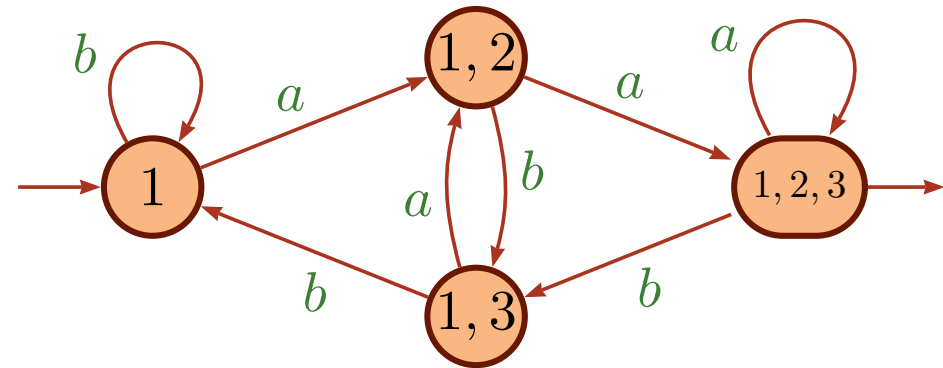
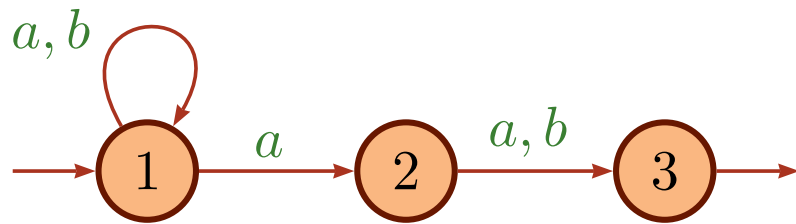
Theorem 1: Let \mathcal{A} and \mathcal{B} be

two automata,
two \mathbb{N} -automata,
two \mathbb{Z} -automata,
two \mathbb{K} -automata, with \mathbb{K} field,
two functional transducers.

If \mathcal{A} and \mathcal{B} are equivalent, there exists \mathcal{C} such that $\mathcal{A} \xLeftrightarrow{X} \mathcal{C} \xRightarrow{Y} \mathcal{B}$.

Boolean: conjugacy and determinization

$$\det(\mathcal{A}) \xRightarrow{X} \mathcal{A}$$



Every state of $\det(\mathcal{A})$ is a row vector $I_\mu(w)$.

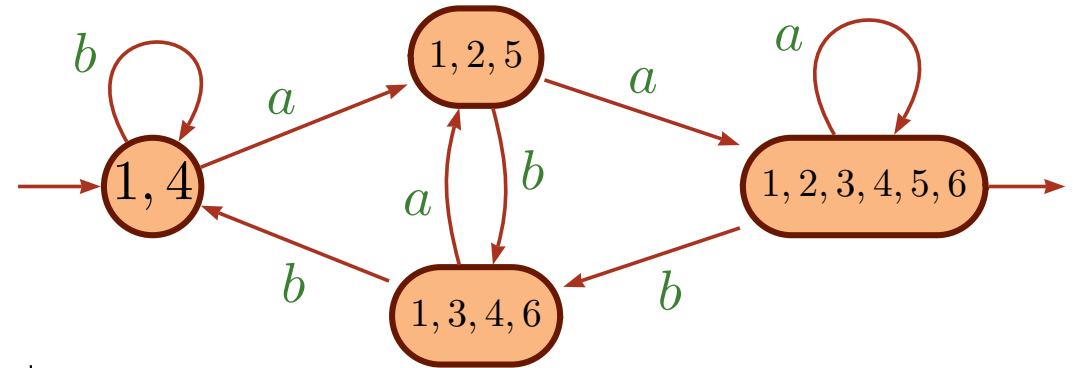
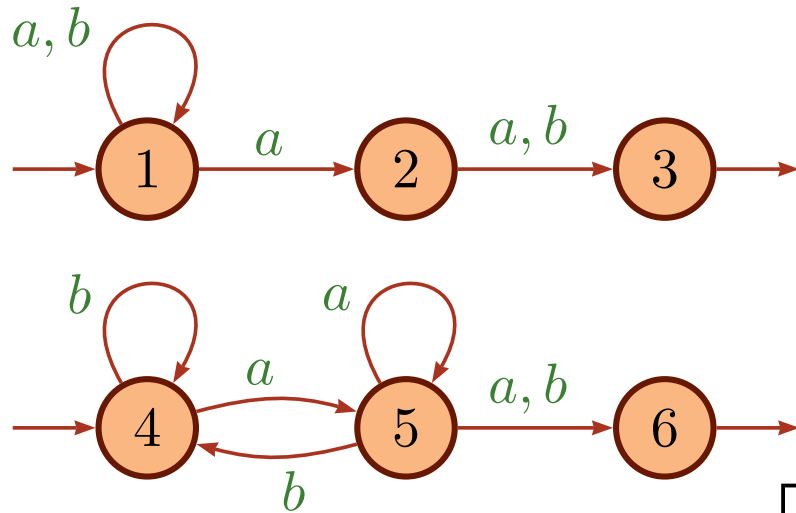
Conjugacy matrix:

$$\begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

Likewise: $\mathcal{A} \xRightarrow{X} \text{codet}(\mathcal{A})$

Boolean: conjugacy and determinization

\mathcal{A}, \mathcal{B} equivalent. Let $\mathcal{C} = \det(\mathcal{A} \cup \mathcal{B})$



$$\left[\begin{array}{ccc|ccc} 1 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \end{array} \right]$$

Thus $\mathcal{C} \xrightarrow{[X|Y]} \mathcal{A} \cup \mathcal{B}$.

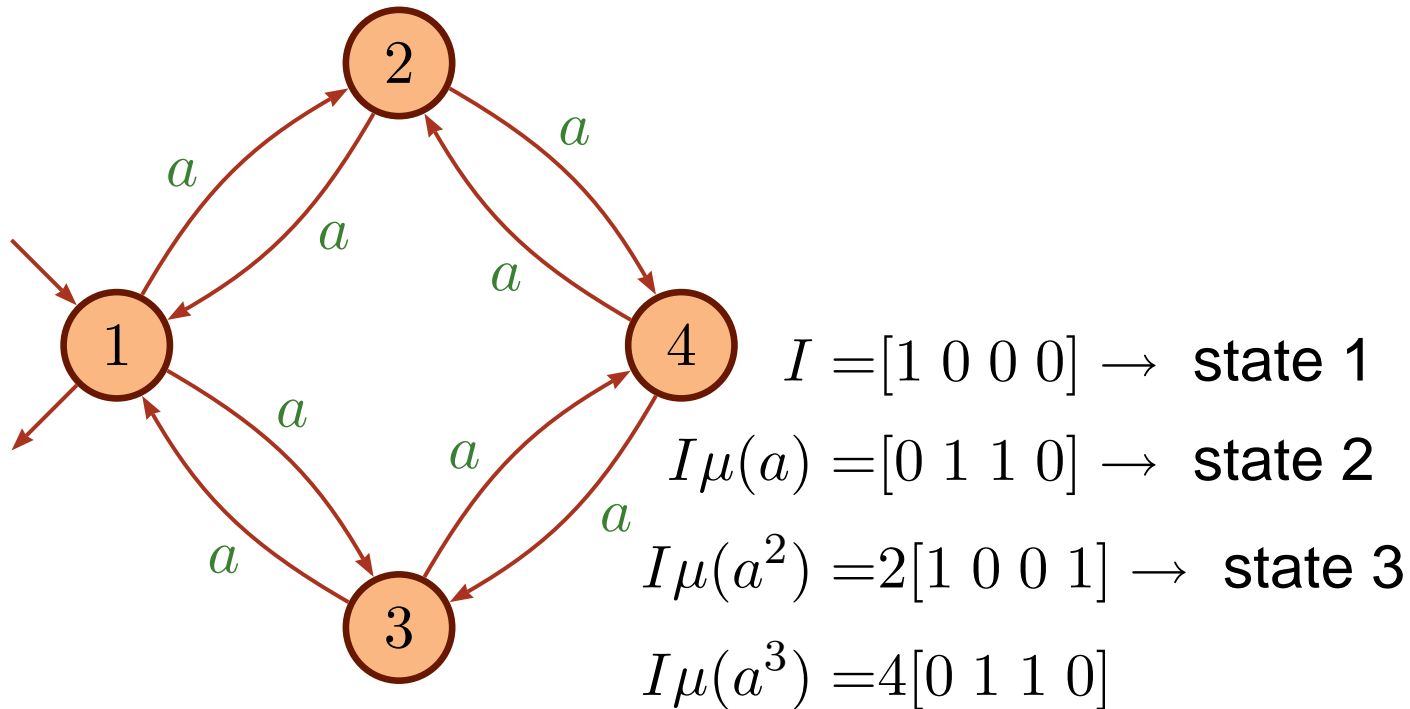
Finally, $\mathcal{C} \xrightarrow{X} \mathcal{A}$ and $\mathcal{C} \xrightarrow{Y} \mathcal{B}$.

→ Theorem 1 holds for Boolean.

Fields: reduction and conjugacy

$$\mathcal{A} = (I, \mu, T)$$

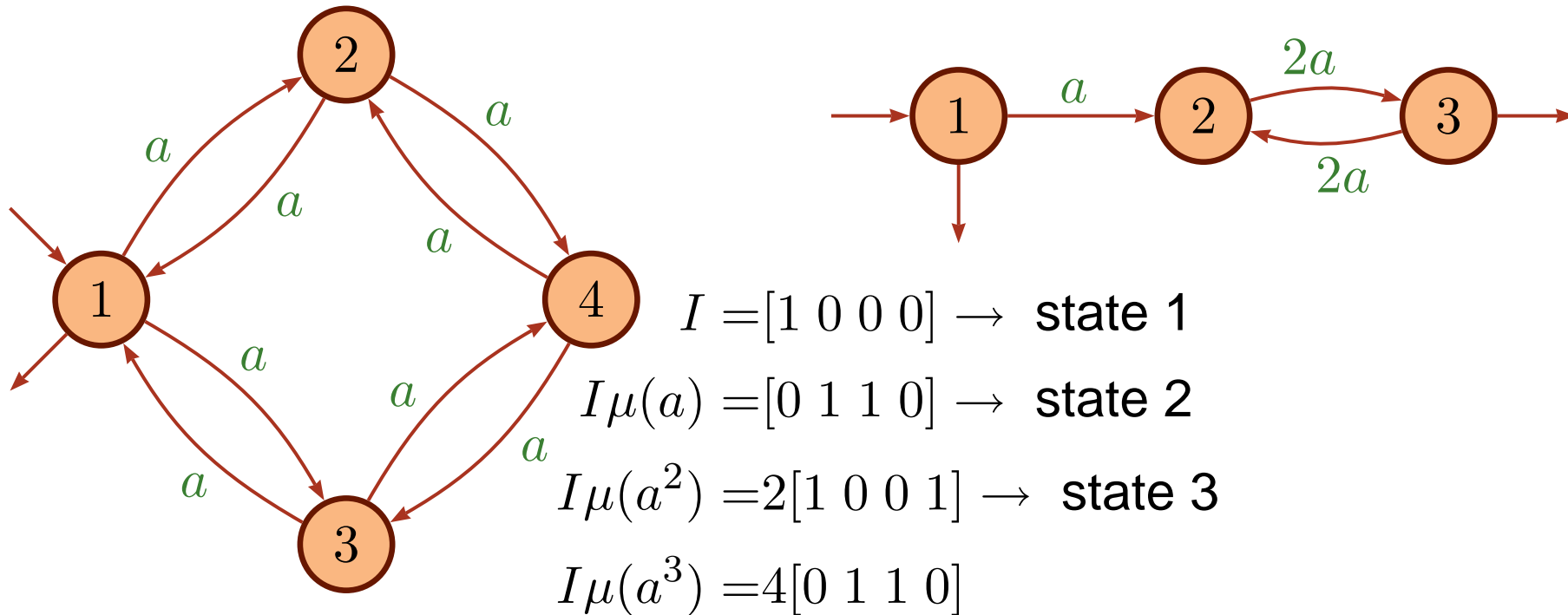
Left reduction : computing a basis of $\langle I\mu(w) \rangle$.



Fields: reduction and conjugacy

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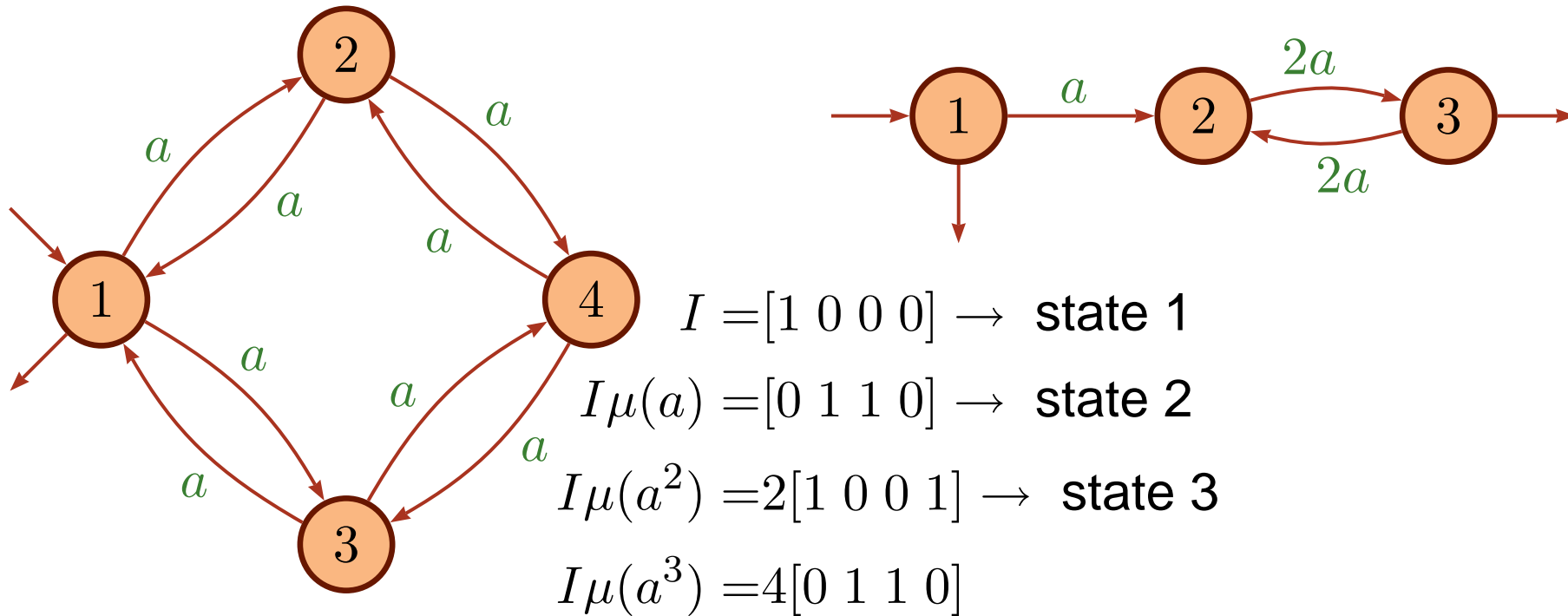
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Fields: reduction and conjugacy

$$\mathcal{A} = (I, \mu, T)$$

Left reduction : computing a basis of $\langle I\mu(w) \rangle$.



$$\text{red}_g(\mathcal{A}) \xrightarrow{X} \mathcal{A}, \text{ with } X = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix}$$

Fields: reduction and conjugacy

Likewise $\mathcal{A} \xRightarrow{X} \text{red}_d(\mathcal{A})$.

Remarks:

- $\text{red}_g(\text{red}_d(\mathcal{A}))$ is a reduced automaton (minimal number of states);
- all reduced automata are conjugated both ways with an invertible matrix (change of basis).

Fields: reduction and conjugacy

\mathcal{A}, \mathcal{B} equivalent. Let $\mathcal{C} = \text{red}_g(\mathcal{A} + \mathcal{B})$

Thus $\mathcal{C} \xrightarrow{[X|Y]} \mathcal{A} + \mathcal{B}$.


$\mathcal{C} = (I, M, T)$; set $\mathcal{C}' = (I, M, T/2)$.

Finally, $\mathcal{C}' \xrightarrow{X} \mathcal{A}$ and $\mathcal{C}' \xrightarrow{Y} \mathcal{B}$.

→ Theorem 1 holds on fields.

Over \mathbb{Z} , every thing works like over fields: a basis of the \mathbb{Z} -module $\langle I_{\mu}(w) \rangle$ is computed.

Over \mathbb{N} , a **generator set** of the \mathbb{N} -semi-module containing the vectors $I_{\mu}(w)$ is computed using the good properties of \mathbb{N}^k .

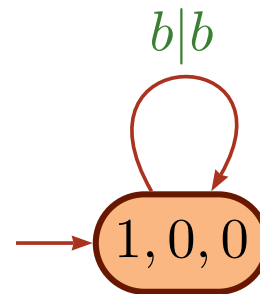
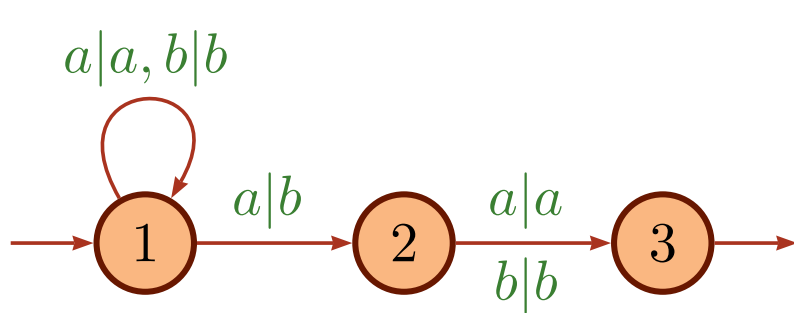
 Let $\mathcal{A} = (I, \mu, T)$ and $\mathcal{B} = (J, \nu, U)$ be equivalent \mathbb{N} -automata with resp. dim. r and s .

During the common reduction of $\mathcal{A} + \mathcal{B}$, only vectors $[x \mid y]$ such that $x.T = y.U$ can be used in the generator set.

Functional transducers: sequentialization

$\mathcal{T} = (I, \mu, T)$ **functional** transducer

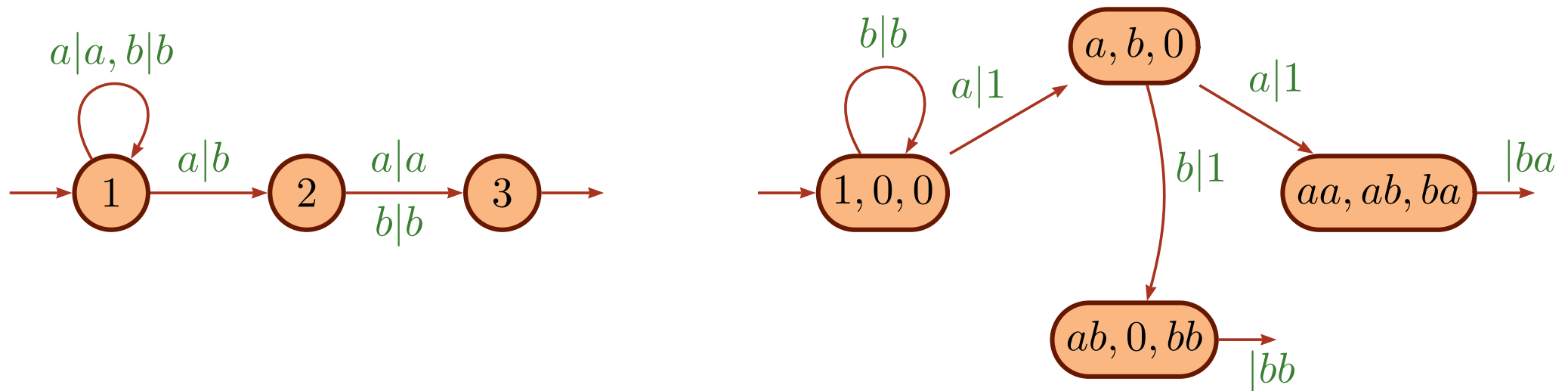
$$I = [1 \ 0 \ 0], \quad \mu(a) = \begin{bmatrix} a & b & 0 \\ 0 & 0 & a \\ 0 & 0 & 0 \end{bmatrix}, \quad \mu(b) = \begin{bmatrix} b & 0 & 0 \\ 0 & 0 & b \\ 0 & 0 & 0 \end{bmatrix}, \quad T = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$



Functional transducers: sequentialization

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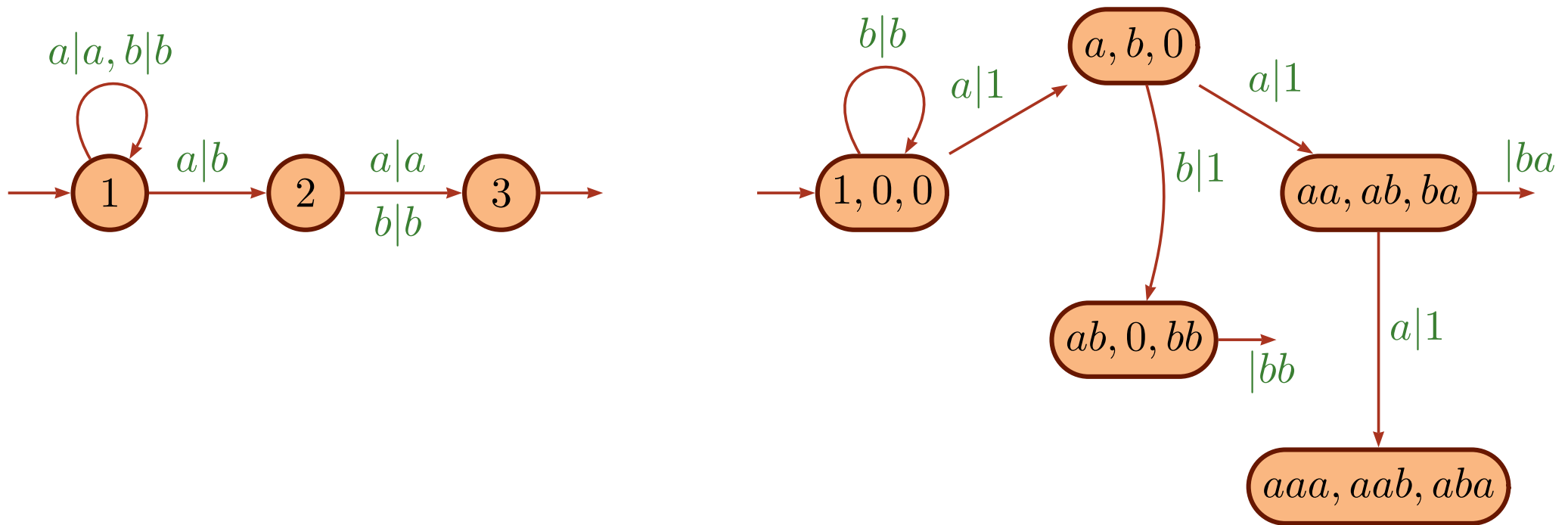
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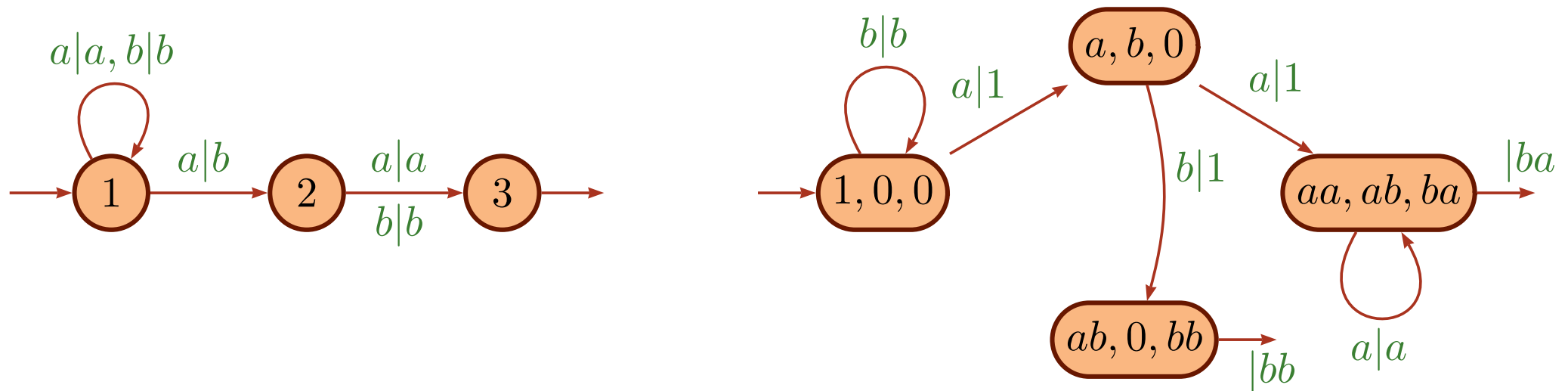
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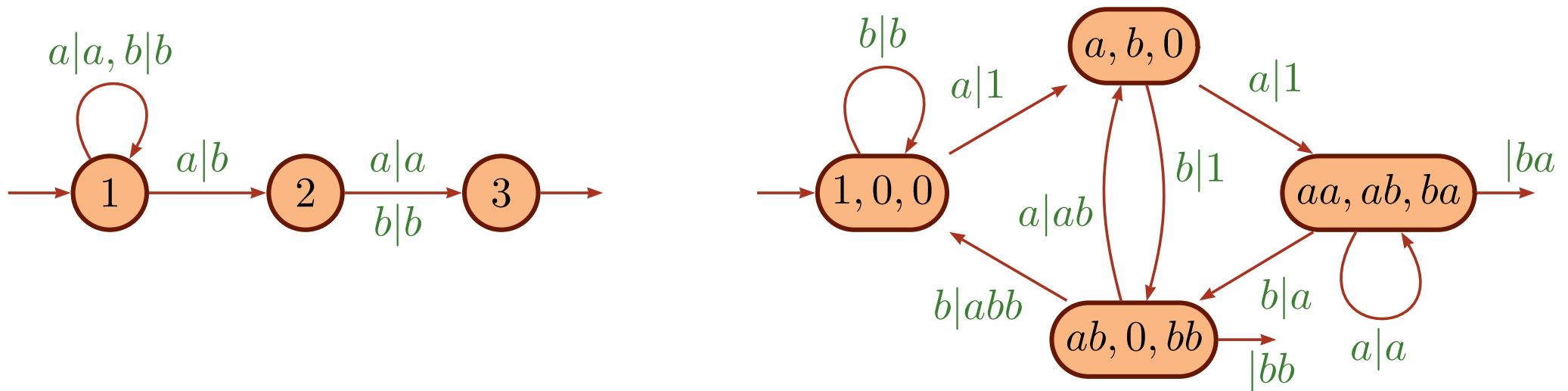
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Functional transducers: sequentialization

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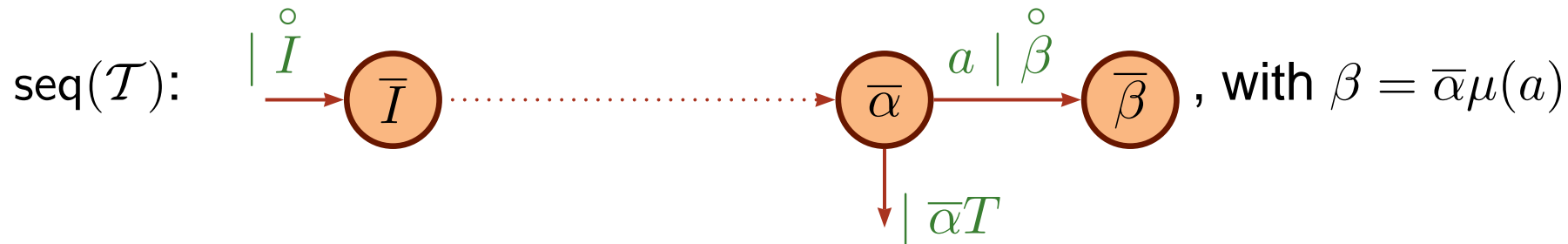
Functional transducers: sequentialization

$\mathcal{T} = (I, \mu, T)$ **functional** transducer

Sequentialization: α words vector

$\overset{\circ}{\alpha}$: largest common prefix

$$\overline{\alpha} = \overset{\circ}{\alpha}^{-1} \alpha$$



$\triangle!$ \mathcal{T} non sequentializable \implies seq(\mathcal{T}) infinite

Functional transducers: quasi-sequentialization

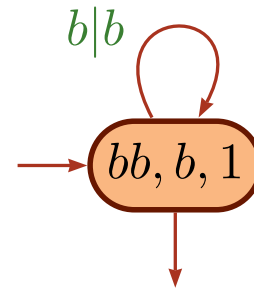
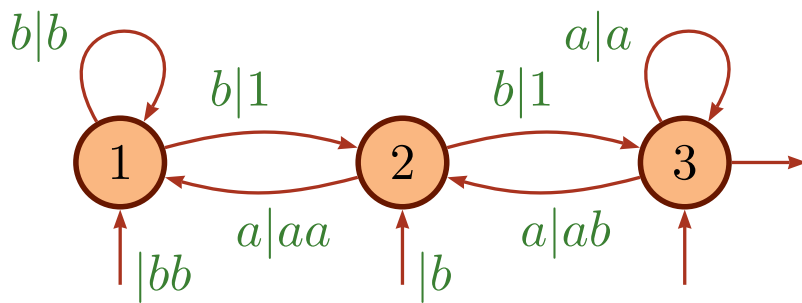
Idea: if components of α are too different, they cannot be used for the same words.

→ We require:

- every $\bar{\alpha}$ has to contain the empty word
- if α_i is non minimal, there exists α_j , prefix of α_i such that

$$|\alpha_i| - |\alpha_j| < K(\mathcal{T})$$

Otherwise α is split into a union of disjoint support vectors that fit these properties. The transducer that follows is not sequential. → $\text{qseq}(\mathcal{T})$



Functional transducers: quasi-sequentialization

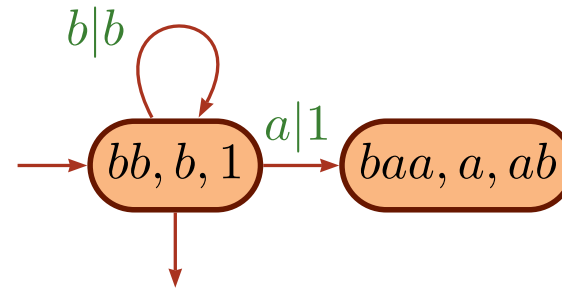
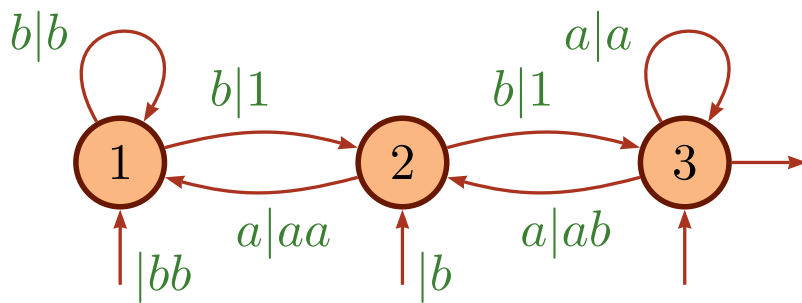
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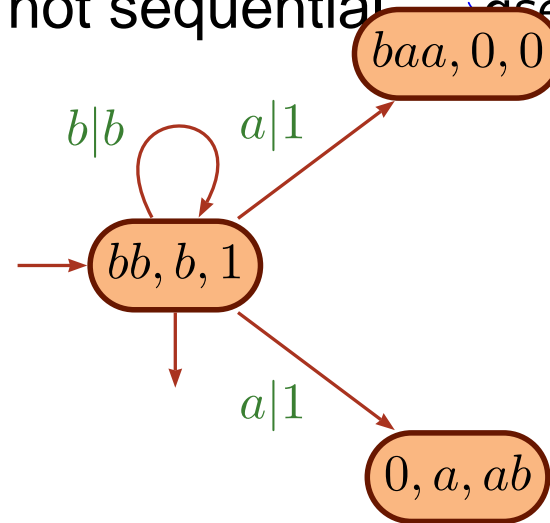
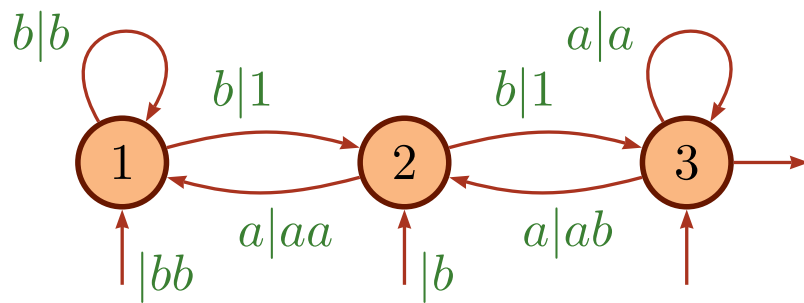
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Functional transducers: quasi-sequentialization

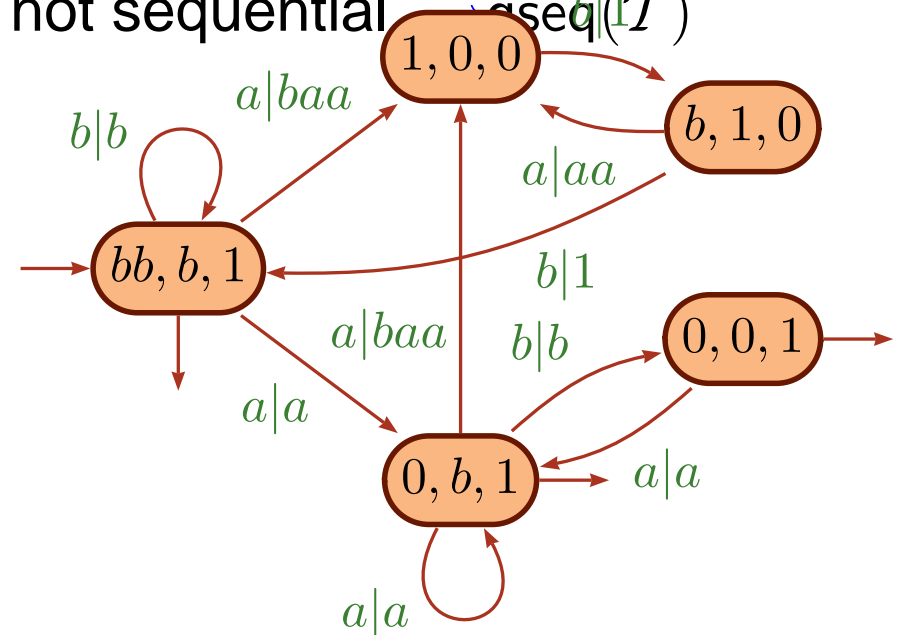
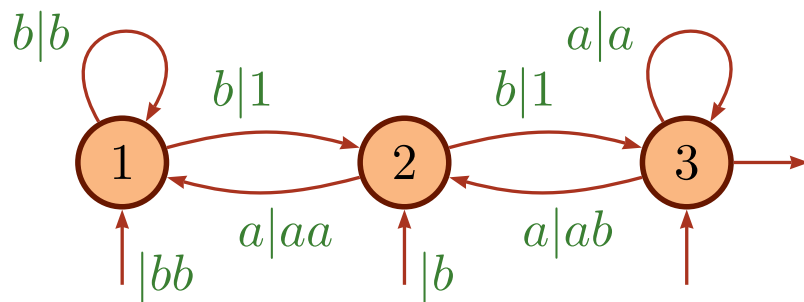
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Functional transducers: quasi-sequentialization

Idea: if components of α are too different, they cannot be used for the same words.

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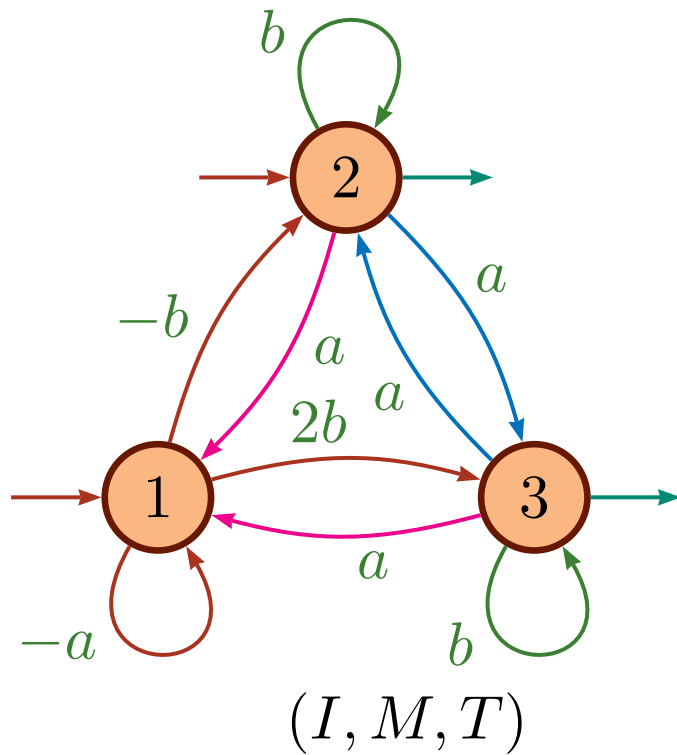
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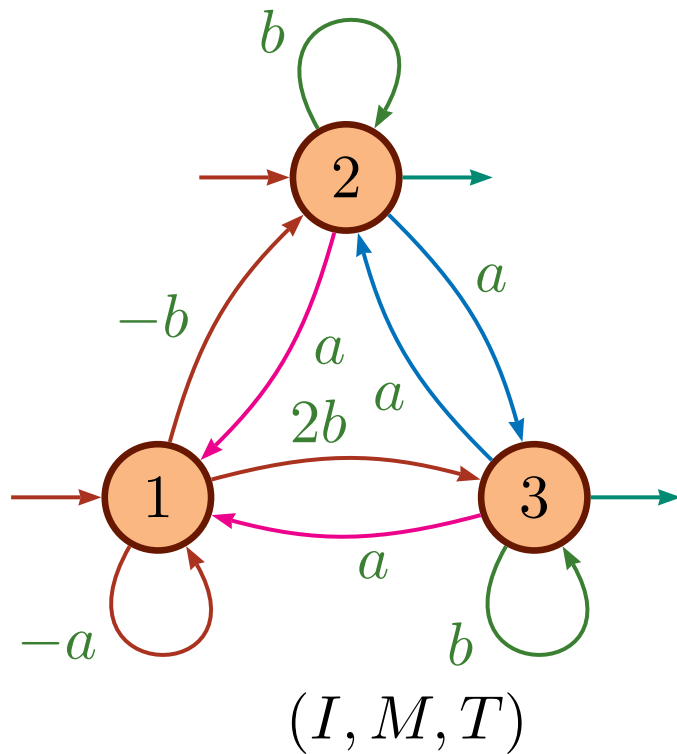
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Otherwise α is split into a union of disjoint support vectors that fit these properties. The transducer that follows is not sequential. → $\text{qseq}(\mathcal{T})$

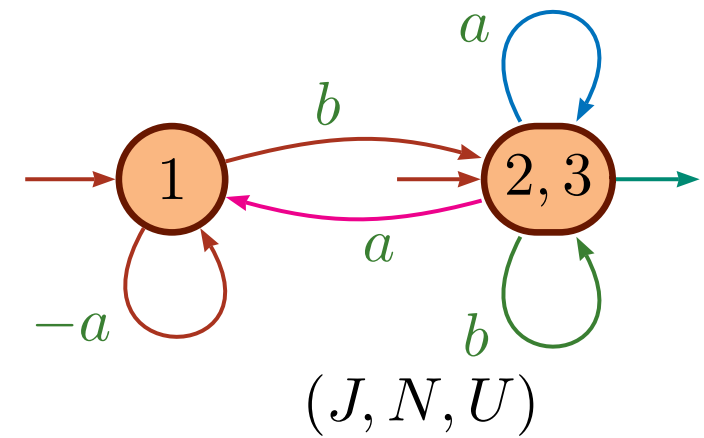
What is the mean ?

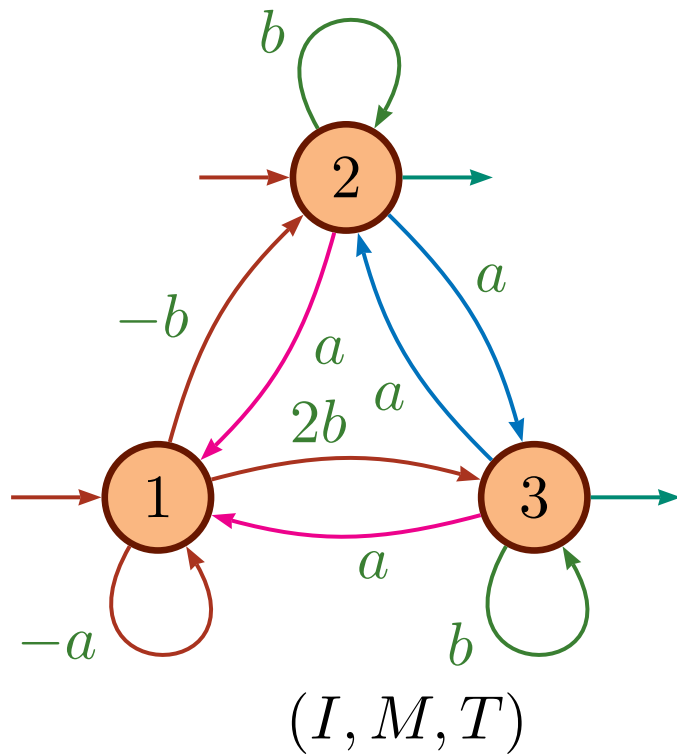
- The transducer is unambiguous
- $\text{qseq}(\mathcal{T}) \xrightarrow{X} \mathcal{T}$
- $\text{qseq}(\mathcal{T} \cup \mathcal{T}')$ is conjugated to \mathcal{T} and \mathcal{T}' (if they are equivalent).
 - Theorem 1 holds for functional transducers.



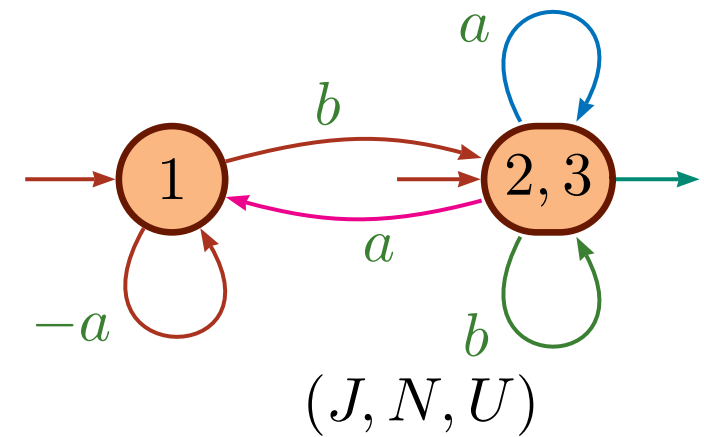


Covering





Covering



$$\left[\begin{array}{c|cc} -a & \boxed{-b} & \boxed{2b} \\ \text{pink } a & \boxed{b} & \boxed{a} \\ \text{pink } a & \boxed{a} & \boxed{b} \end{array} \right] \} \longrightarrow \left[\begin{array}{cc} -a & b \\ \text{pink } a & \text{blue } a + \text{green } b \end{array} \right]$$

$$\left[\begin{array}{cc} -a & \begin{array}{cc} (-b & 2b) \\ \textcolor{red}{b} & \textcolor{red}{a} \\ \textcolor{red}{a} & \textcolor{red}{b} \end{array} \end{array} \right] \} \longrightarrow \left[\begin{array}{cc} -a & b \\ \textcolor{red}{a} & \textcolor{red}{a} + \textcolor{red}{b} \end{array} \right]$$

— o —

$$\left[\begin{array}{cc} -a & -b & 2b \\ \textcolor{red}{a} & \textcolor{red}{b} & \textcolor{red}{a} \\ \textcolor{red}{a} & \textcolor{red}{a} & \textcolor{red}{b} \end{array} \right] \left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{array} \right] = \left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{array} \right] \left[\begin{array}{cc} -a & b \\ \textcolor{red}{a} & \textcolor{red}{a} + \textcolor{red}{b} \end{array} \right]$$

$$\left[\begin{array}{cc} -a & \begin{array}{cc} (-b & 2b) \\ \textcolor{magenta}{a} & \textcolor{blue}{a} \\ \textcolor{magenta}{a} & \textcolor{green}{b} \end{array} \end{array} \right] \} \longrightarrow \left[\begin{array}{cc} -a & b \\ \textcolor{magenta}{a} & \textcolor{blue}{a} + \textcolor{green}{b} \end{array} \right]$$

— o —

$$\left[\begin{array}{ccc} -a & -b & 2b \\ \textcolor{magenta}{a} & \textcolor{green}{b} & \textcolor{blue}{a} \\ \textcolor{magenta}{a} & \textcolor{blue}{a} & \textcolor{green}{b} \end{array} \right] \left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{array} \right] = \left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{array} \right] \left[\begin{array}{cc} -a & b \\ \textcolor{magenta}{a} & \textcolor{blue}{a} + \textcolor{green}{b} \end{array} \right]$$

$$\text{Initial: } \left[\begin{array}{ccc} 1 & 1 & 0 \end{array} \right] \left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{array} \right] = \left[\begin{array}{cc} 1 & 1 \end{array} \right], \text{ Final: } \left[\begin{array}{c} 0 \\ \textcolor{teal}{1} \\ \textcolor{teal}{1} \end{array} \right] = \left[\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{array} \right] \left[\begin{array}{c} 0 \\ \textcolor{teal}{1} \end{array} \right]$$

Definition: $\mathcal{A} = (I, M, T)$ and $\mathcal{B} = (J, N, U)$, \mathbb{Z} -automata.

\mathcal{A} is a *covering* of \mathcal{B}

\mathcal{B} is a *quotient* of \mathcal{A}

if there exists an amalgamation matrix X such that

$$IX = J, \quad MX = XN, \quad \text{et } T = XU.$$

Definition: $\mathcal{A} = (I, M, T)$ and $\mathcal{B} = (J, N, U)$

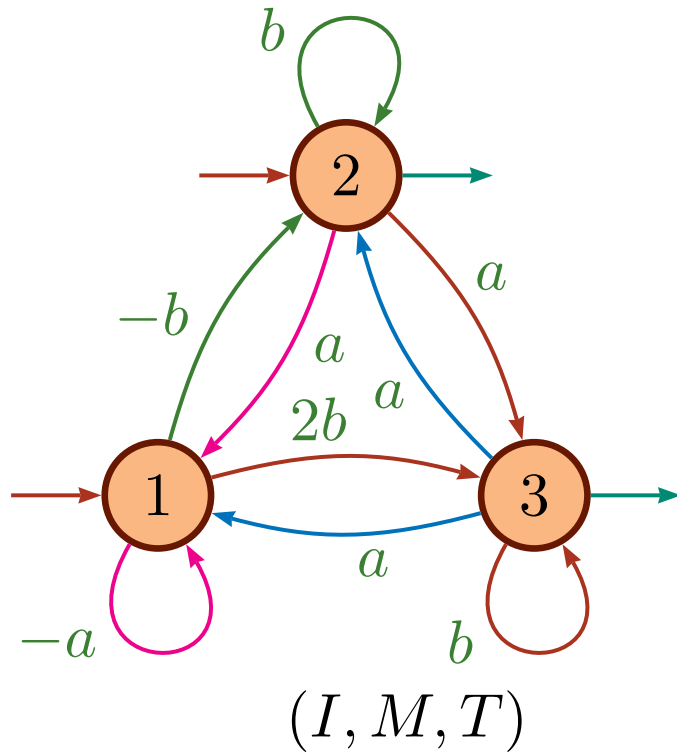
\mathcal{A} is a *co-covering* of \mathcal{B}

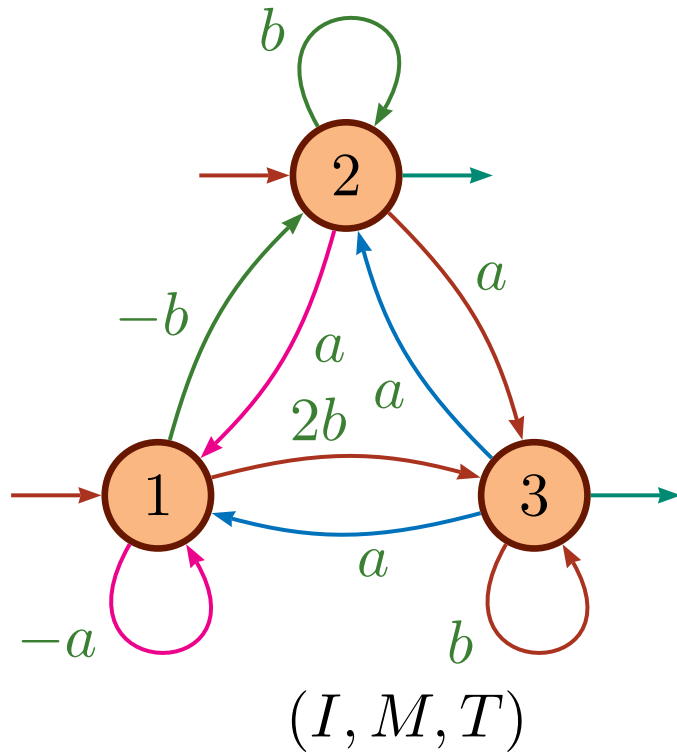
\mathcal{B} is a *co-quotient* of \mathcal{A}

that

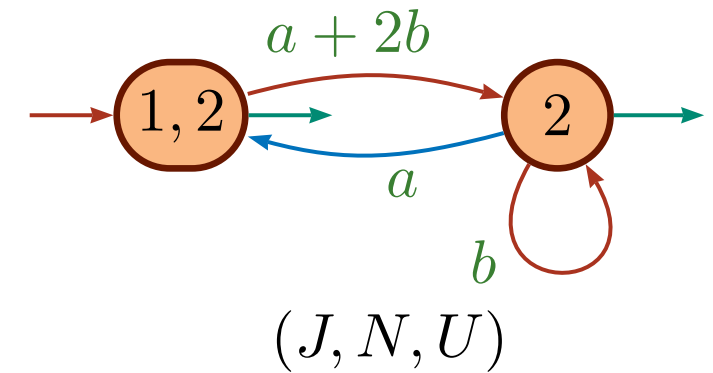
if there exists an amalgamation matrix X such

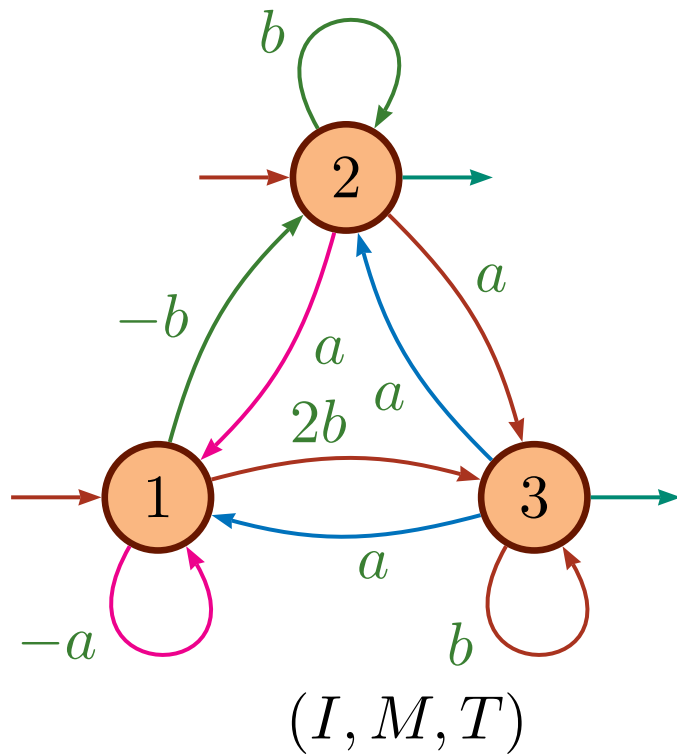
$$I = J {}^tX, \quad {}^tXM = N {}^tX, \quad \text{et } {}^tXT = U.$$



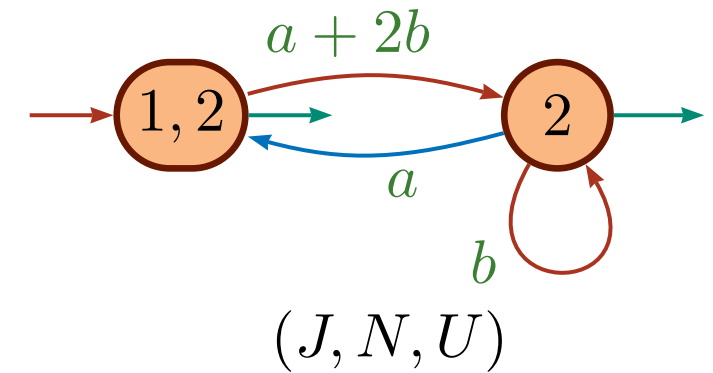


co-covering





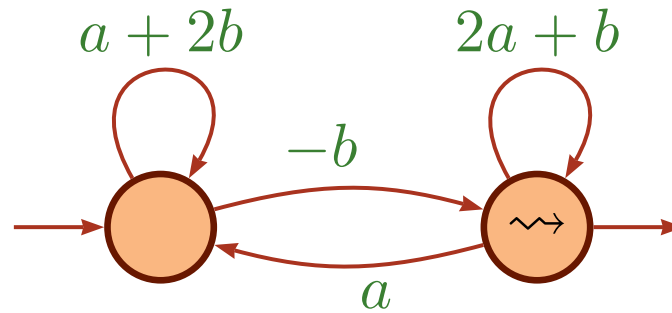
co-covering



$$\begin{bmatrix} -a & -b & 2b \\ a & b & a \\ a & a & b \end{bmatrix} \longrightarrow \begin{bmatrix} 0 & a + 2b \\ a & b \end{bmatrix}$$

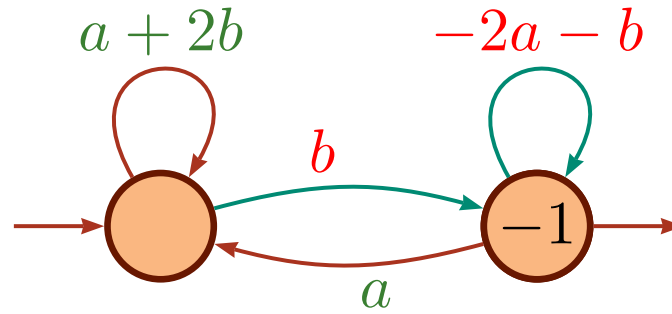
$\underbrace{\quad \quad \quad}$

Circulation of invertible elements

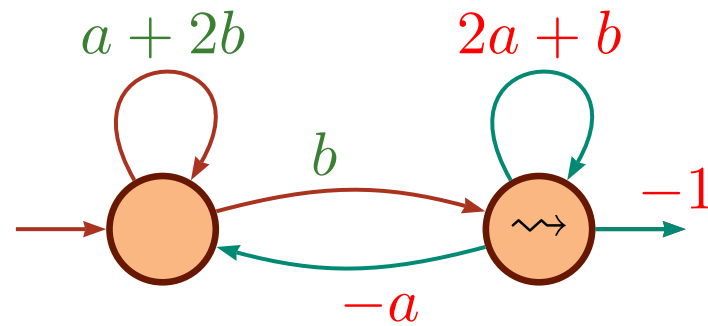


(I, M, T)

Circulation of invertible elements

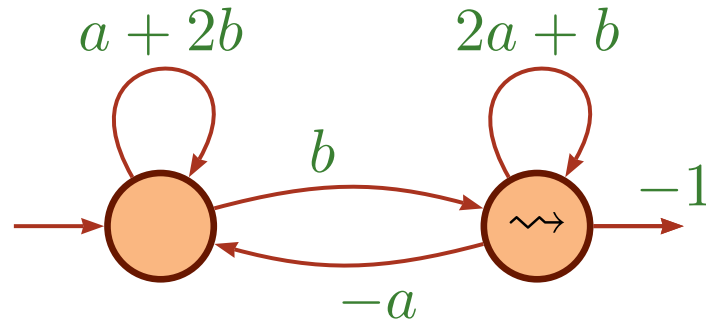


Circulation of invertible elements



(J, N, U)

Circulation of invertible elements



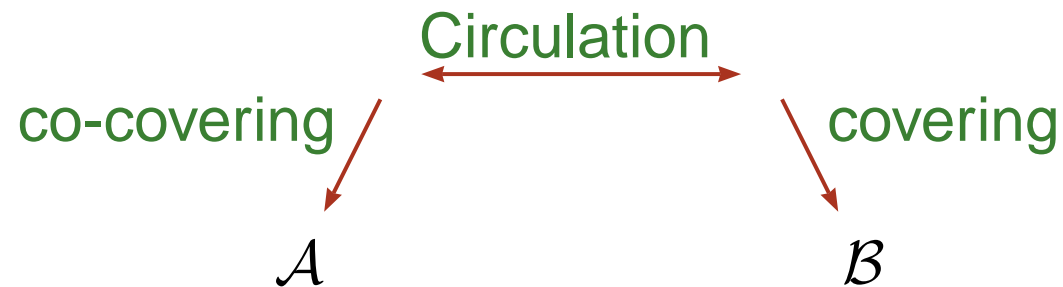
(J, N, U)

$$I \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = J, \quad M \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} N, \quad T = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} U$$

Theorem 2: Let \mathcal{A} and \mathcal{B} be

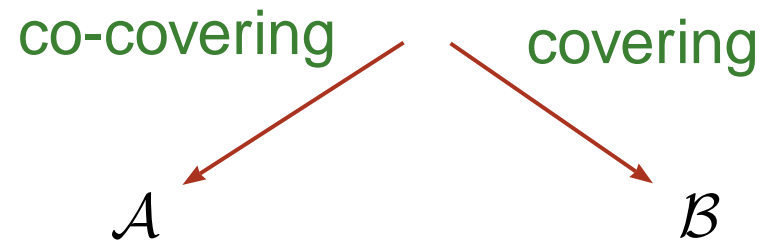
	two \mathbb{Z} -automata
	two \mathbb{K} -automata, where \mathbb{K} field
	two functional trim transducers

If $\mathcal{A} \xRightarrow{X} \mathcal{B}$, then

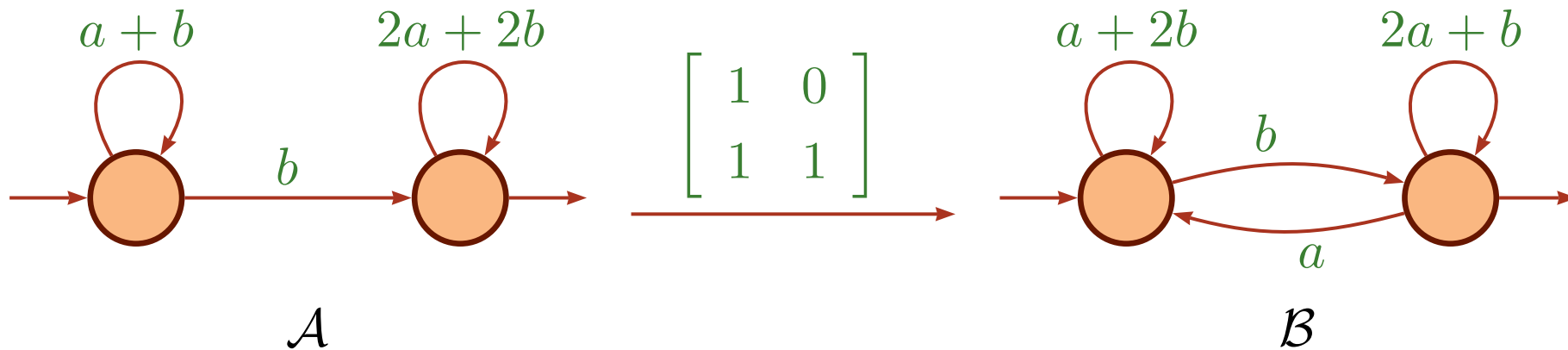


Theorem 2: Let \mathcal{A} and \mathcal{B} be | two trim automata
two trim \mathbb{N} -automata

If $\mathcal{A} \xRightarrow{X} \mathcal{B}$, then

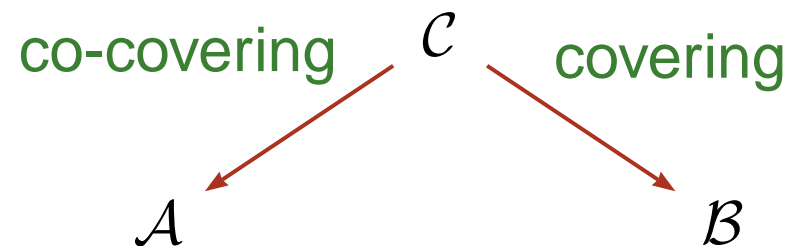


Conjugacy and coverings

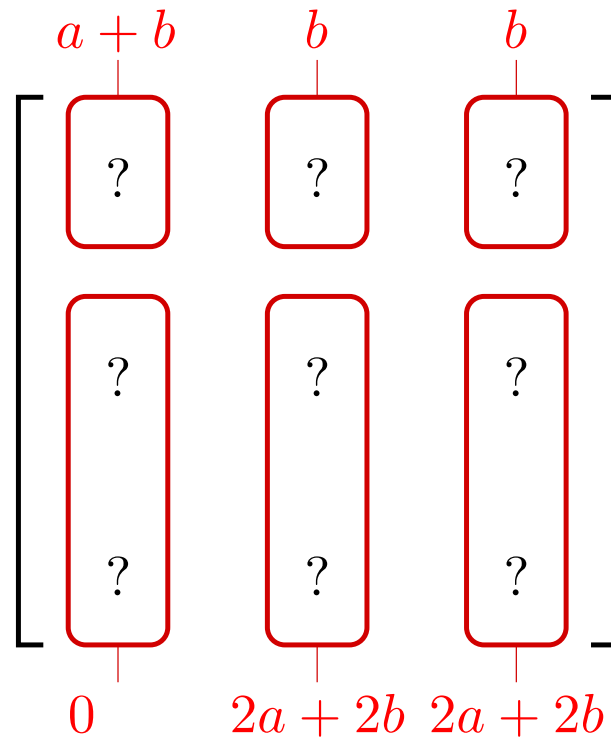


$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Let build $\mathcal{C} = (K, C, V)$ such that

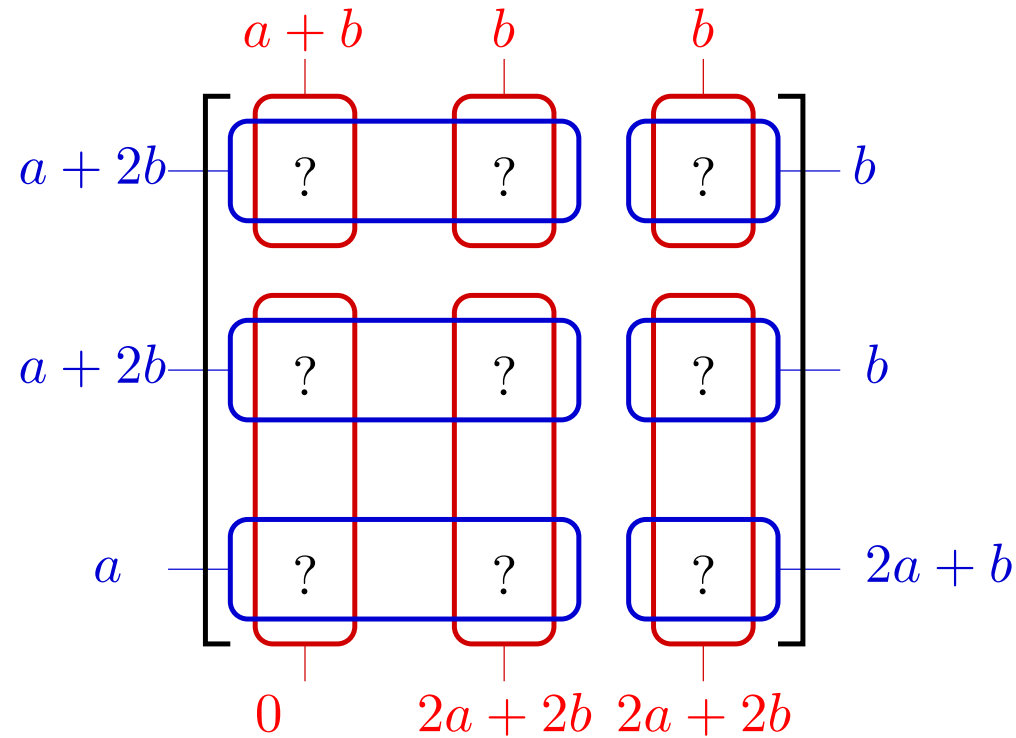


Conjugacy and coverings



$$\begin{bmatrix} a + b & b \\ 0 & 2a + 2b \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix} C$$

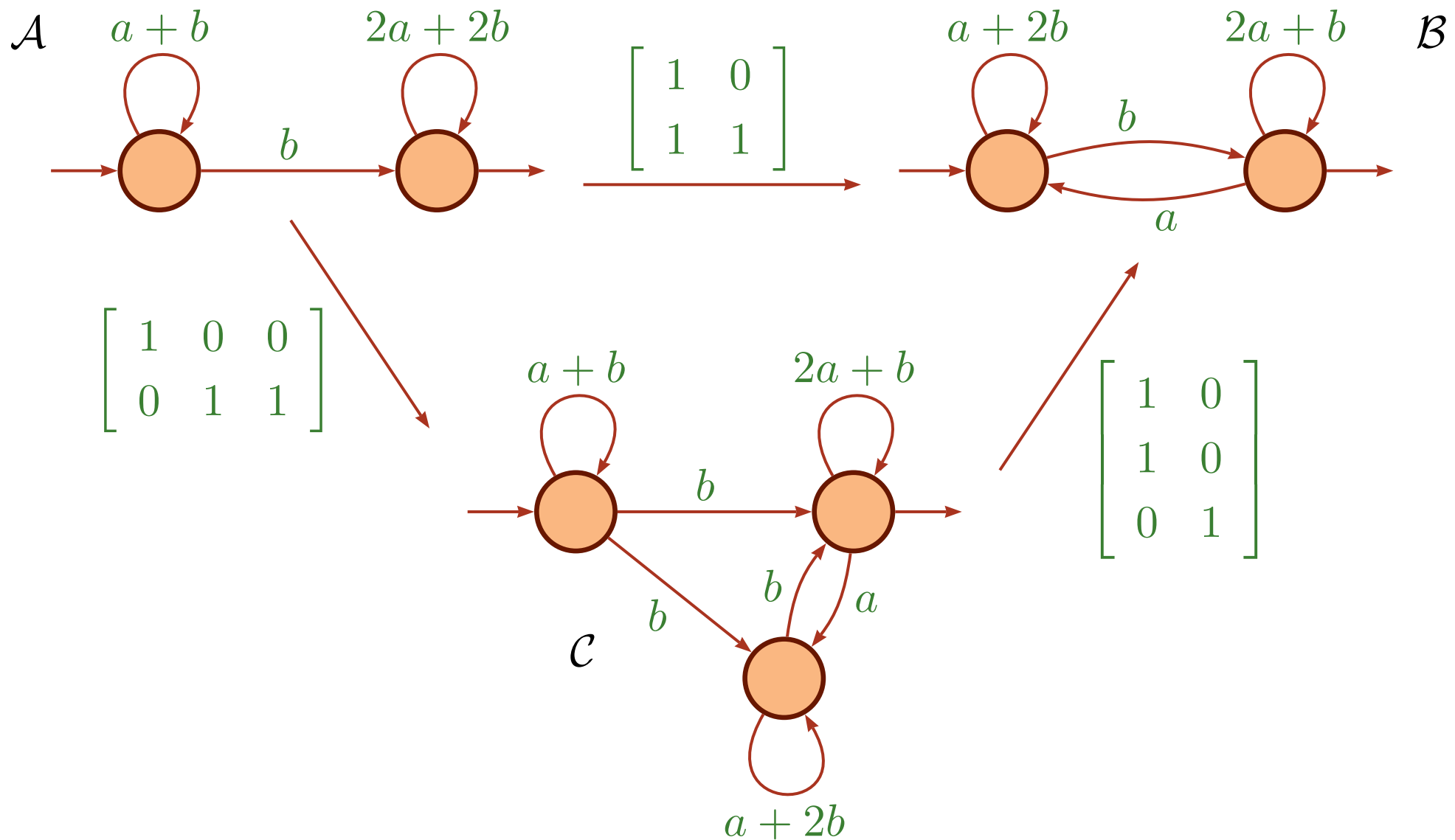
Conjugacy and coverings



$$C \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} a+2b & b \\ a & 2a+b \end{bmatrix}$$

$$\begin{bmatrix} a+b & b & b \\ 0 & a+2b & b \\ 0 & a & 2a+2b \end{bmatrix}$$

Conjugacy and coverings



Equivalence and coverings

$$|\mathcal{A}| = |\mathcal{B}|$$

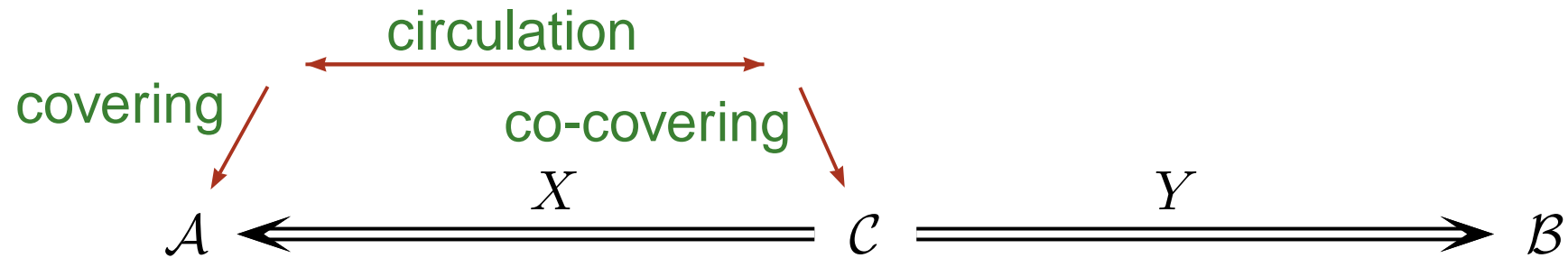
Theorem 1:

$$\mathcal{A} \xleftarrow{X} \mathcal{C} \xrightarrow{Y} \mathcal{B}$$

Equivalence and coverings

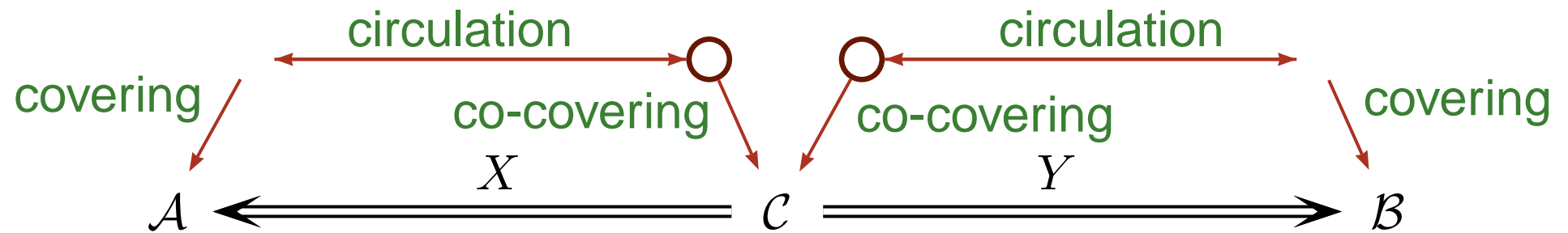
$$|\mathcal{A}| = |\mathcal{B}|$$

Theorem 2:



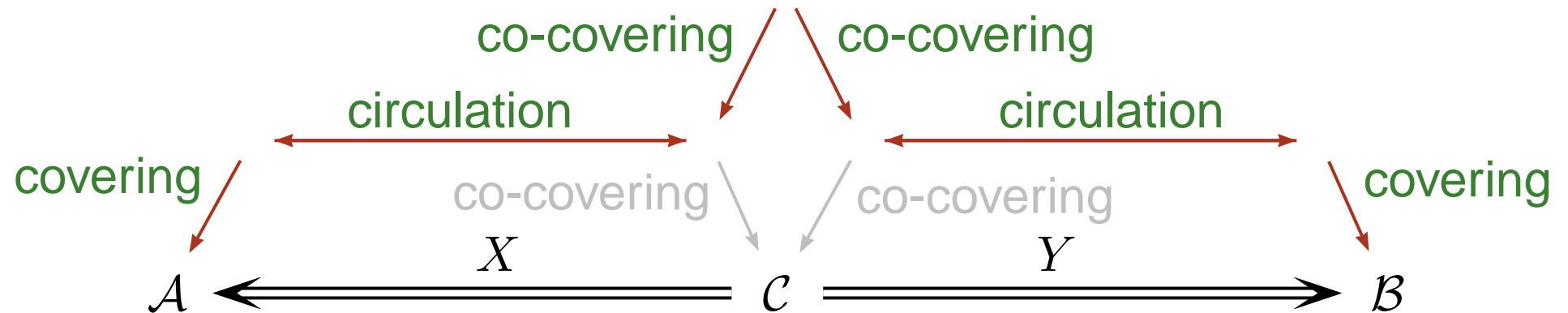
Equivalence and coverings

$$|\mathcal{A}| = |\mathcal{B}|$$



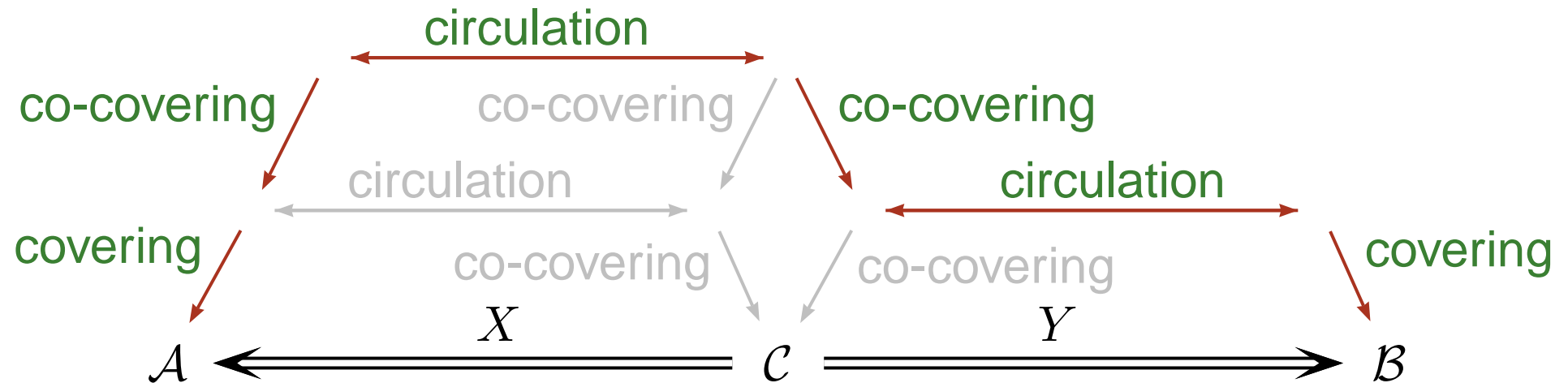
Equivalence and coverings

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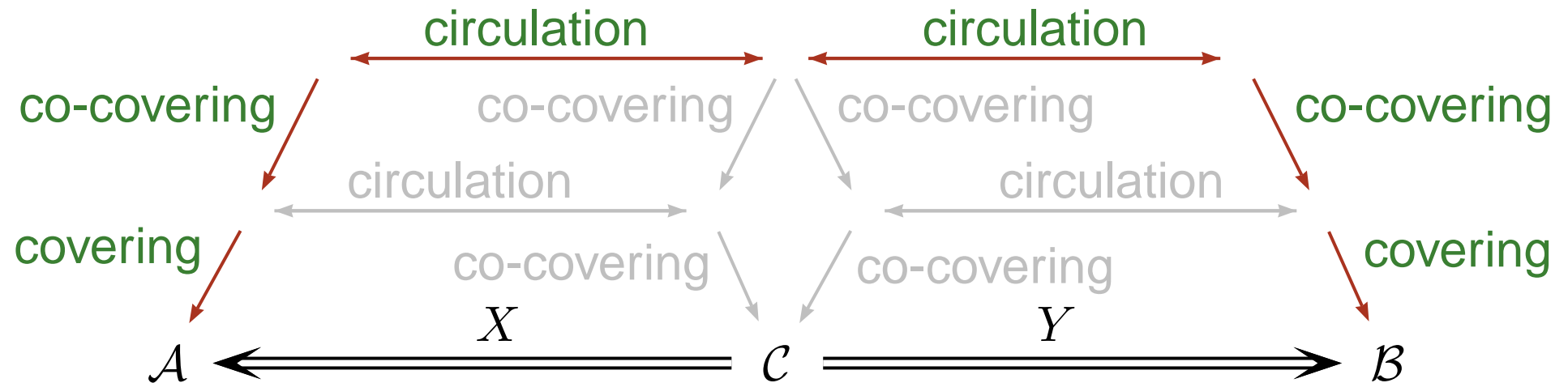
Equivalence and coverings

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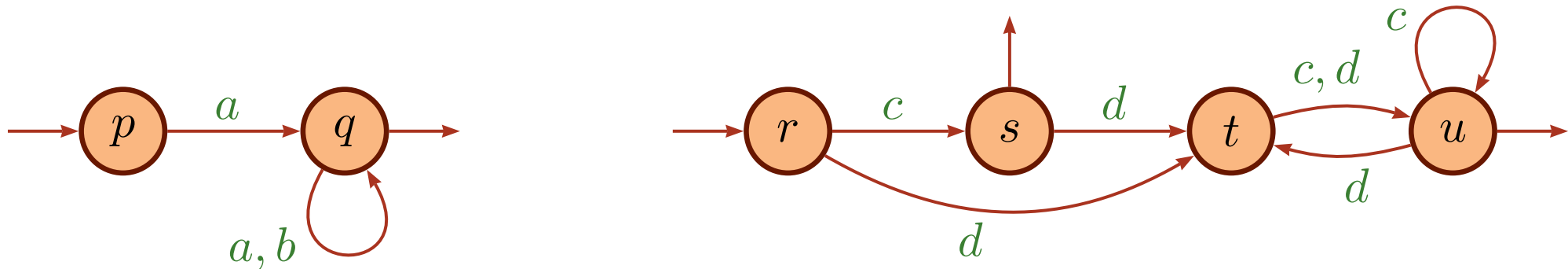
Equivalence and coverings

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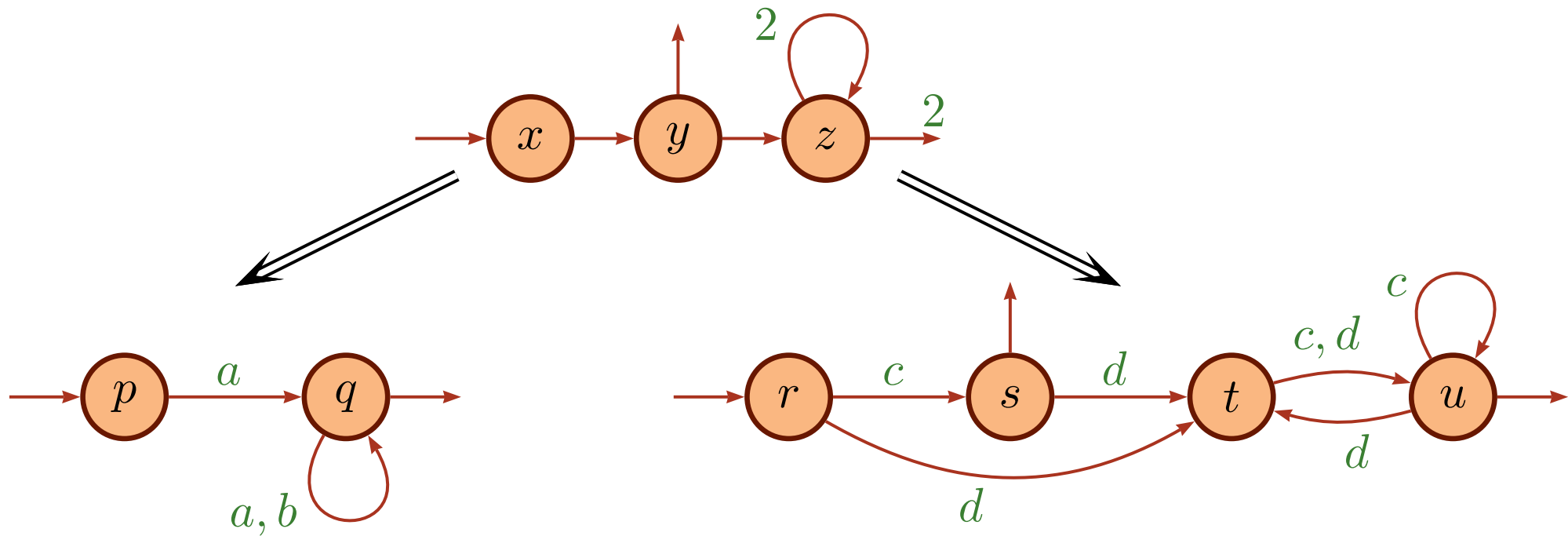
Proposition: If two rational languages have the same growth function, there exists between them a letter-to-letter rational bijection.

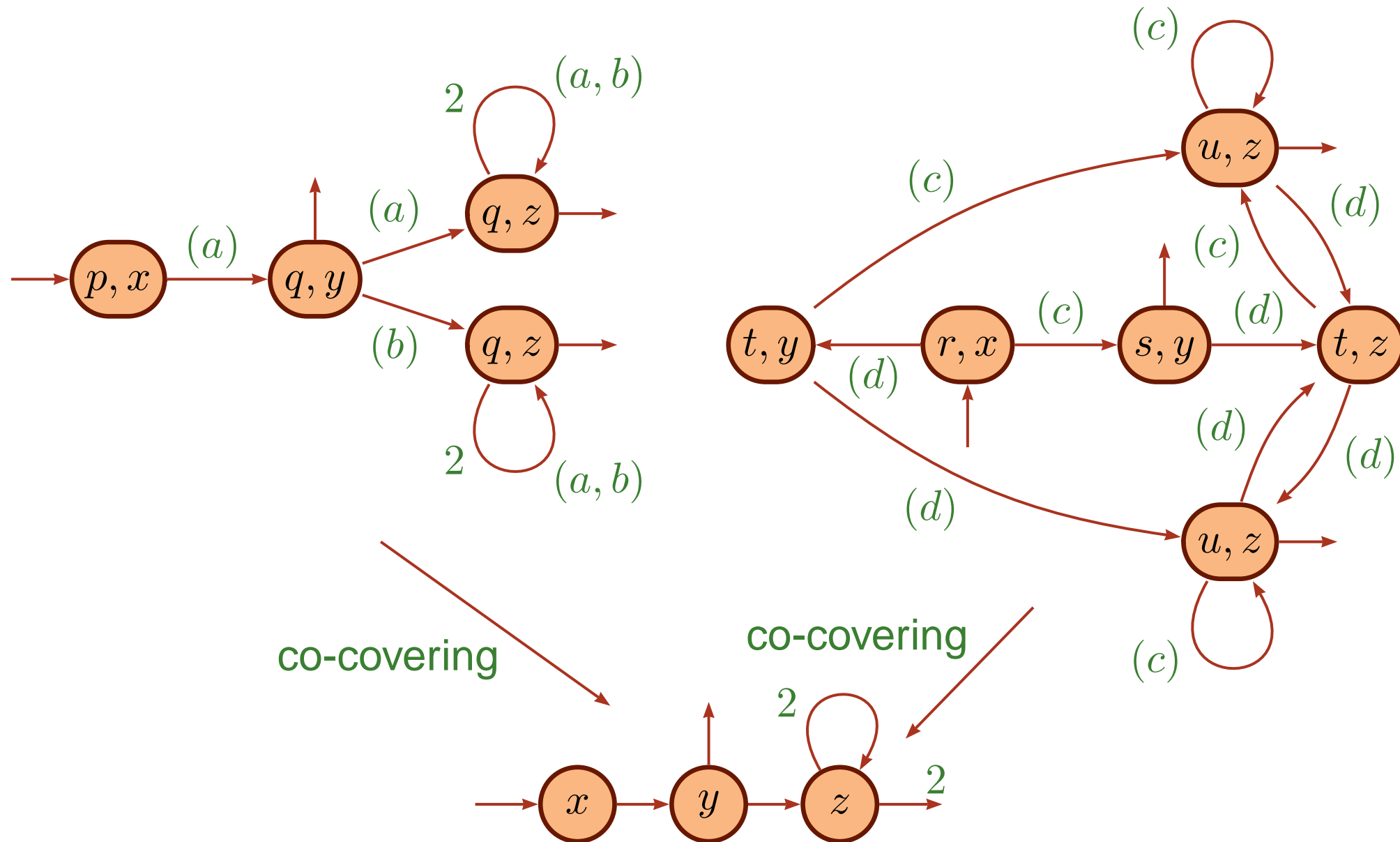
Example: $L_1 = a(a + b)^*$ and $L_2 = (c + dc + dd)^* \setminus cc(c + d)^*$:

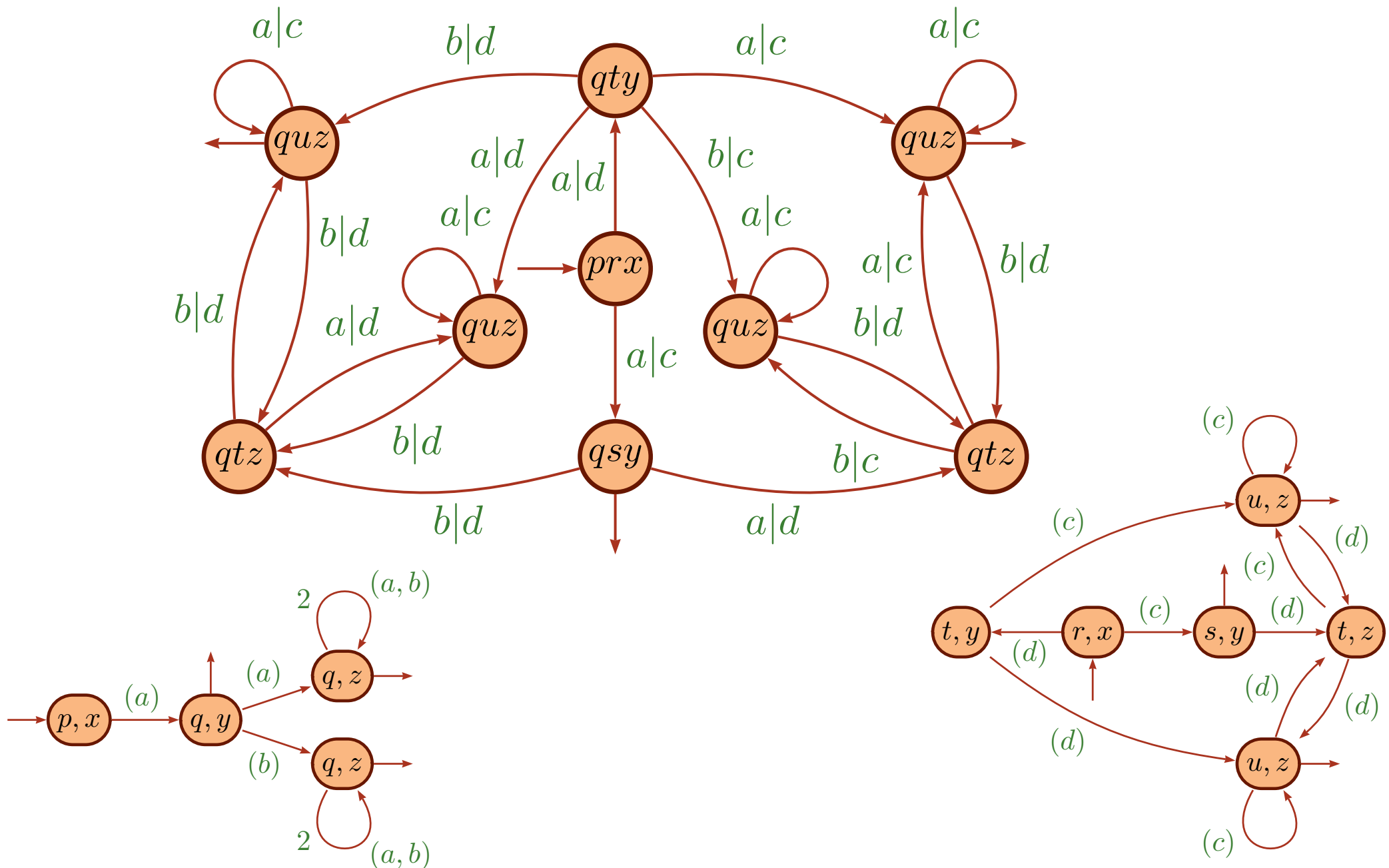


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Example: $L_1 = a(a + b)^*$ and $L_2 = (c + dc + dd)^* \setminus cc(c + d)^*$:







Conjugacy and dynamical systems

Finite Equivalence Theorem (Parry):

Two sofic subshifts are image by a *bloc-map finite-to-one* mapping of the same finite type subshift iff they have the same entropy.

proof (very sketchy):

Furstenberg Lemma: X, Y same entropy $\Rightarrow XF = FY, F \geq 0, F \neq 0$

$XF = FY \Rightarrow$ existence of *bloc-map finite-to-one* mappings

- Work in progress...
- What can we say about non functional transducers, (max/min,+) automata, *etc.* ?
- What is the link between the decidability of equivalence and the decidability of conjugacy ?