Algebraic structures, series, and enumeration

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Outline

Combinatorics and enumeration

Formal power series

Operads and composition

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Combinatorics and enumeration

A combinatorial set is a set *C* endowed with a map

$$|-|:C\to\mathbb{N}$$

such that for any $n \in \mathbb{N}$, $C(n) := \{x \in C : |x| = n\}$ is finite.

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Classical questions

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- 4. Establish transformations between C and other combinatorial sets D.
- 5. Define statistics on C, that are maps $s: C \to \mathbb{N}$.

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A descent of $\sigma \in \mathfrak{S}$ is a position i such that $\sigma(i) > \sigma(i+1)$.

The map s sending a permutation to its number of descents is a statistics on \mathfrak{S} . For instance, s(35142)=2.

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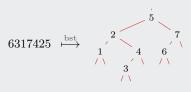
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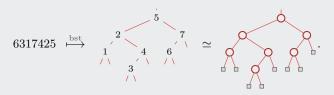
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The generating series of a combinatorial set C is

$$\mathcal{G}_C(t) := \sum_{n \in \mathbb{N}} \#C(n)t^n = \sum_{x \in C} t^{|x|}.$$

Generating series are very powerful tools for enumeration. They encode sequences of numbers and support many operations.

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$$\mathcal{G}_{BT}(t) = 1 + t + 2t^2 + 5t^3 + 14t^4 + 42t^5 + \dots = \frac{1 - \sqrt{1 - 4t}}{2t}$$

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instead of the generating series $\mathcal{G}_{BT}(t)$.

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▶ The composition $\mathcal{G}_{C_1}\left(\mathcal{G}_{C_2}(t)\right)$ is the generating series of the combinatorial set $C_1\circ C_2$ satisfying

$$(C_1 \circ C_2)(n) := \{(x, y_1, \dots, y_{|x|}) : x \in C_1, y_i \in C_2, |y_1| + \dots + |y_{|x|}| = n\}.$$

Binary trees

A binary tree can be defined recursively to be either the leaf $_{\Box}$ or an ordered pair (t_1,t_2) of binary trees.

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$$\mathcal{G}_{BT \circ A^{+}}(t) = \frac{1 - \sqrt{1 - 4\frac{2t}{1 - 2t}}}{2\frac{2t}{1 - 2t}} = 1 + 2t + 12t^{2} + 80t^{3} + 576t^{4} + 4384t^{5} + \cdots$$

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- 3. operations on C
 - \sim extensions to operations on formal power series.

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The sum notation of f is

$$\mathbf{f} = \sum_{x \in X} \langle x, \mathbf{f} \rangle x.$$

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is a product of arity k on X, one obtains the product $\bar{\star}$ on $\mathbb{K}\langle\langle X\rangle\rangle$ defined by

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Example

A binary product $\star: X \times X \to X$ leads to the (possibly partial) product

$$\mathbf{f} \ \bar{\star} \ \mathbf{g} := \sum_{x,y \in X} \langle x, \mathbf{f} \rangle \langle y, \mathbf{g} \rangle x \star y$$

on $\mathbb{K}\langle\langle X\rangle\rangle$.

Here are some examples of series on algebraic structures leading to well-known objects.

Structure on X	Sort of series
$(\mathbb{N},+,0)$	Usual series $\mathbb{K}[[t]]$
Free comm. monoid	Multivariate series $\mathbb{K}[[t_1,t_2,\dots]]$
Free monoid	Noncomm. series $\mathbb{K}\left\langle\left\langle t_1,t_2,\ldots ight angle ight angle$ [Eilenberg, 1974]
Monoid	Series on monoids [Salomaa, Soittola, 1978]
Operads	Series on operads [Chapoton, 2002, 2008]

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Proposition

The map ev is an algebra morphism between $(\mathbb{K}\left\langle\left\langle C\right\rangle\right\rangle,\bar{\star})$ and $(\mathbb{K}\left\langle\left\langle t\right\rangle\right\rangle,\cdot)$. Moreover, this morphism is surjective when $C(n)\neq\emptyset$ for all $n\in\mathbb{N}$.

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A binary product \star on C is graded if $|x_1 \star x_2| = |x_1| + |x_2|$.

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Proof.

$$\operatorname{ev}\left(\mathbf{f}_{1} \,\bar{\star} \,\mathbf{f}_{2}\right) = \sum_{x_{1}, x_{2} \in C} \langle x_{1}, \mathbf{f}_{1} \rangle \, \langle x_{2}, \mathbf{f}_{2} \rangle \operatorname{ev}(x_{1} \star x_{2})$$

$$= \sum_{x_{1}, x_{2} \in C} \langle x_{1}, \mathbf{f}_{1} \rangle \, \langle x_{2}, \mathbf{f}_{2} \rangle \, t^{|x_{1}| + |x_{2}|}$$

$$= \left(\sum_{x_{1} \in C} \langle x_{1}, \mathbf{f}_{1} \rangle \, t^{|x_{1}|}\right) \left(\sum_{x_{2} \in C} \langle x_{2}, \mathbf{f}_{2} \rangle \, t^{|x_{2}|}\right)$$

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Proof.

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Therefore, $\bar{\star}$ offers a generalization of the usual product of generating series.

Example: series of paths

A path is an element of $\bigsqcup_{n\geqslant 1} \mathbb{N}^n$.

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Example

The path 1212232100112 is depicted as



and its size is 12.

Let \star be the binary product on $\mathcal P$ defined by

$$u_1 \dots u_n \star v_1 \dots v_m :=$$

$$\uparrow_{\max(0,v_1-u_n)} (u_1 \dots u_{n-1}) \max(u_n,v_1) \uparrow_{\max(0,u_n-v_1)} (v_2 \dots v_m)$$
where $\uparrow_i(w)$ is the word obtained by incrementing by i each letter of w .

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The \star -Kleene star of a subset X of \mathcal{P} is

$$X^{\star_*} := \bigsqcup_{\ell \geqslant 0} \underbrace{X \star \cdots \star X}_{\times \ell}.$$

Let Schr be the subset of $\{ \mathbf{S}^{\bullet}, \mathbf{o} - \mathbf{o}_{\bullet}, \mathbf{S} \}^{**}$ restrained to the paths starting and finishing by 0. We call these Schröder paths.

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By evaluation, we get the expression

$$\mathcal{G}_{\mathsf{Schr}}(t) = 1 + t^2 \mathcal{G}_{\mathsf{Schr}}(t) + t^2 \mathcal{G}_{\mathsf{Schr}}(t)^2$$

for the generating series of the Schröder paths.

Example: series of paths — statistics

We can add formal parameters to refine the enumeration by taking into account of statistics. Then,

$$g_{Schr} = o + q_0 o o \bar{\star} g_{Schr} + q_1 \bar{\bullet} \bar{\star} g_{Schr} \bar{\star} \bar{\bullet} \bar{\star} g_{Schr}$$

is the formal series of Schröder paths where the coefficient of a path u is $q_0^{\alpha_0}q_1^{\alpha_1}$ where α_0 (resp. α_1) is half of the number of $\bullet \bullet$ (resp. the number of $\bullet \bullet$) appearing in it.

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Its evaluation is

$$\begin{split} \text{ev}\left(\mathbf{g}_{\mathsf{Schr}}\right) &= 1 + \left(q_0 + q_1\right)t^2 + \left(q_0^2 + 3q_0q_1 + 2q_1^2\right)t^4 \\ &\quad + \left(q_0^3 + 6q_0^2q_1 + 10q_0q_1^2 + 5q_1^3\right)t^6 \\ &\quad + \left(q_0^4 + 10q_0^3q_1 + 30q_0^2q_1^2 + 35q_0q_1^3 + 14q_1^4\right)t^8 + \cdots. \end{split}$$

Its specialization $\operatorname{ev}\left(\mathbf{g}_{\mathsf{Schr}}\right)_{|q_1:=1}$ is Triangle A088617 of OEIS and $\operatorname{ev}\left(\mathbf{g}_{\mathsf{Schr}}\right)_{|q_0:=1}$ is Triangle A060693 of OEIS.

Outline

Operads and composition

Operators

An operator is an entity having $n \ge 1$ inputs and a single output:



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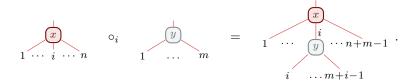


Its $\frac{1}{2}$ is its number n of inputs.

Composing two operators x and y consists in

- 1. selecting an input of x specified by its position i;
- 2. grafting the output of y onto this input.

This produces a new operator $x \circ_i y$ of arity n + m - 1:



Operads are algebraic structures formalizing the notion of operators and their composition.

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A (nonsymmetric set-theoretic) operad is a triple $(\mathcal{O}, \circ_i, \mathbb{1})$ where

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This data has to satisfy some axioms.

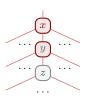
$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z)$$

$$1 \leqslant i \leqslant |x|, 1 \leqslant j \leqslant |y|$$

$$\begin{aligned} & (x \circ_i y) \\ & 1 \leqslant i \leqslant |x|, 1 \leqslant j \leqslant |y| \end{aligned}$$



$$(x \circ_i y) \circ_{i+j-1} z$$
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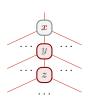






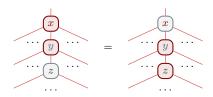
$$(x \circ_i y) \circ_{i+j-1} z \qquad x \circ_i (y \circ_j z)$$
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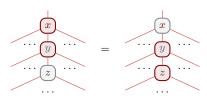
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Associativity:

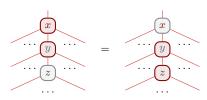
$$\begin{split} & (x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z) \\ & 1 \leqslant i \leqslant |x|, 1 \leqslant j \leqslant |y| \end{split}$$



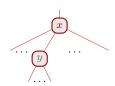
$$(x \circ_i y) \circ_{j+|y|-1} z = (x \circ_j z) \circ_i y$$
$$1 \le i < j \le |x|$$

Associativity:

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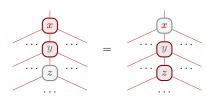
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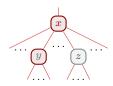
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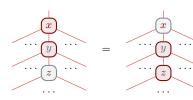
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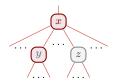
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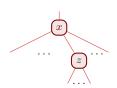
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$$(x \circ_i y) \circ_{j+|y|-1} z \quad (x \circ_j z)$$
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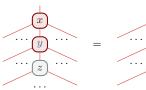




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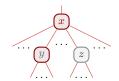
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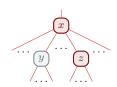
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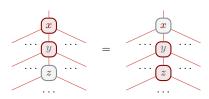




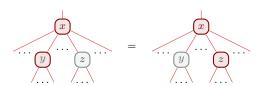
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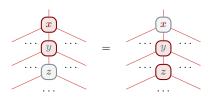
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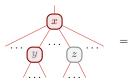
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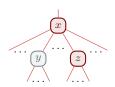
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Commutativity:

$$(x \circ_i y) \circ_{j+|y|-1} z = (x \circ_j z) \circ_i y$$
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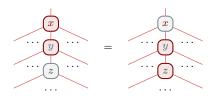


$$1 \circ_1 x = x = x \circ_i 1$$
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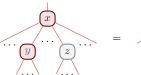
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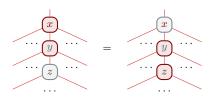
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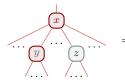
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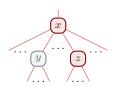
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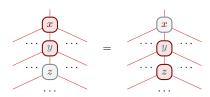




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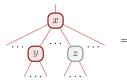
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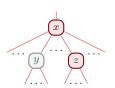
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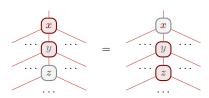




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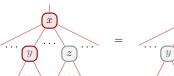
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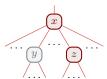
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Complete composition

Let O be an operad

The complete composition map of \mathcal{O} is the map

$$\circ: \mathcal{O}(n) \times \mathcal{O}(m_1) \times \cdots \times \mathcal{O}(m_n) \to \mathcal{O}(m_1 + \cdots + m_n)$$

defined by

$$x \circ [y_1, \ldots, y_n] := (\ldots ((x \circ_n y_n) \circ_{n-1} y_{n-1}) \ldots) \circ_1 y_1.$$

Complete composition

Let \mathcal{O} be an operad

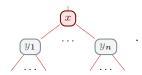
The complete composition map of \mathcal{O} is the map

$$\circ: \mathcal{O}(n) \times \mathcal{O}(m_1) \times \cdots \times \mathcal{O}(m_n) \to \mathcal{O}(m_1 + \cdots + m_n)$$

defined by

$$\mathbf{x} \circ [y_1, \dots, y_n] := (\dots ((\mathbf{x} \circ_n y_n) \circ_{n-1} y_{n-1}) \dots) \circ_1 y_1.$$

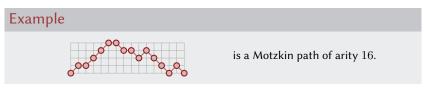
Then, $x \circ [y_1, \dots, y_n]$ is the operator



An operad of Motzkin paths

Let Motz be an operad wherein:

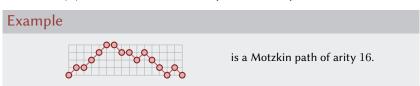
▶ Motz(n) is the set of all Motzkin paths with n points.



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Example is a Motzkin path of arity 16.

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► The unit is o.

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$$\bar{\circ}: \mathbb{K} \langle \langle \mathcal{O} \rangle \rangle \otimes \mathbb{K} \langle \langle \mathcal{O} \rangle \rangle^{\otimes n} \to \mathbb{K} \langle \langle \mathcal{O} \rangle \rangle, \qquad n \geqslant 1,$$

on \mathcal{O} -series, satisfying for any $\mathbf{f}, \mathbf{g}_1, \dots, \mathbf{g}_n \in \mathbb{K} \langle \langle \mathcal{O} \rangle \rangle$ and $x \in \mathcal{O}$,

$$\langle x, \mathbf{f} \bar{\circ} [g_1, \dots, g_n] \rangle = \sum_{\substack{y \in \mathcal{O}(n) \\ z_1, \dots, z_n \in \mathcal{O} \\ \mathbf{x} = y \circ [z_1, \dots, z_n]}} \langle y, \mathbf{f} \rangle \prod_{1 \leqslant i \leqslant n} \langle z_i, g_i \rangle.$$

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defined, for any $\mathbf{f}, \mathbf{g} \in \mathbb{K} \langle \langle \mathcal{O} \rangle \rangle$ and $x \in \mathcal{O}$, by

$$\langle x, \mathbf{f} \odot \mathbf{g} \rangle := \sum_{1 \leq n \leq |x|} \left\langle x, \mathbf{f} \circ \left[\underbrace{\mathbf{g}, \dots, \mathbf{g}}_{\mathbf{y}n} \right] \right\rangle$$

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$$\langle x, \mathbf{f} \odot \mathbf{g} \rangle := \sum_{1 \leqslant n \leqslant |x|} \left\langle x, \mathbf{f} \bar{\circ} \left[\underbrace{\mathbf{g}, \dots, \mathbf{g}}_{\times n} \right] \right\rangle = \sum_{\substack{y \in \mathcal{O} \\ z_1, \dots, z_{|y|} \in \mathcal{O}}} \left\langle y, \mathbf{f} \right\rangle \prod_{1 \leqslant i \leqslant |y|} \left\langle z_i, \mathbf{g} \right\rangle.$$

Generalizing the composition of generating series

Proposition

The map ev is a monoid morphism between $(\mathbb{K}\left<\left<\mathcal{O}\right>\right>,\odot,\mathbb{1})$ and $(t\mathbb{K}\left<\left< t\right>\right>,\circ,t)$.

Moreover, this morphism is surjective when $\mathcal{O}(n) \neq \emptyset$ for all $n \geqslant 1$.

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Proof.

$$\operatorname{ev}(\mathbf{f} \odot \mathbf{g}) = \sum_{\substack{y \in \mathcal{O} \\ z_1, \dots, z_{|y|} \in \mathcal{O}}} \langle y, \mathbf{f} \rangle \left(\prod_{1 \leqslant i \leqslant |y|} \langle z_i, \mathbf{g} \rangle \right) \operatorname{ev} \left(y \circ \left[z_1, \dots, z_{|y|} \right] \right) \\
= \sum_{\substack{y \in \mathcal{O} \\ z_1, \dots, z_{|y|} \in \mathcal{O}}} \langle y, \mathbf{f} \rangle \left(\prod_{1 \leqslant i \leqslant |y|} \langle z_i, \mathbf{g} \rangle \right) t^{|z_1| + \dots + |z_{|y|}|} \\
= \left(\sum_{y \in \mathcal{O}} \langle y, \mathbf{f} \rangle t^{|y|} \right) \circ \left(\sum_{z \in \mathcal{O}} \langle z, \mathbf{g} \rangle t^{|z|} \right) \\
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Therefore, \odot offers a generalization of the usual composition of generating series.

Example — Motzkin paths

Proposition [G., 2015]

The set

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From this (and a reasoning about unambiguous decompositions of Motzkin paths), we obtain that the characteristic series of Motz satisfies

$$\mathbf{f}_{\mathsf{Motz}} = \mathbf{o} \ + \ \mathbf{o} \text{-} \mathbf{o} \ \bar{\mathbf{o}} \ \left[\mathbf{o}, \mathbf{f}_{\mathsf{Motz}} \right] \ + \ \mathbf{o} \text{-} \mathbf{o} \ \bar{\mathbf{o}} \ \left[\mathbf{o}, \mathbf{f}_{\mathsf{Motz}}, \mathbf{f}_{\mathsf{Motz}} \right].$$

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Now, the evaluation $\mathcal{G}_{Motz}(t)$ of \mathbf{f}_{Motz} satisfies

$$G_{\text{Motz}}(t) = t + tG_{\text{Motz}}(t) + tG_{\text{Motz}}(t)^2$$

and is the generating series of Motzkin paths.

To understand (enumerate, discover statistics, etc.) a combinatorial set C, we

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We have in passing defined generalizations of natural operations on generating series:

Operation on C	Operation on $\mathbb{K}\left\langle\left\langle extbf{ extit{C}} ight angle ight angle$
_	Addition +
Binary graded product \star	Analog $ar{\star}$ of the multiplication
Operadic product o	Analogs ō and ⊙ of the composition