

# Combinatorial Aspects of Elliptic Curves over Finite Fields

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## OUTLINE

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III. Understanding Number Theoretically

$$N_2 = (2 + 2q)N_1 - N_1^2$$

IV. A Geometric Interpretation of  $N_k$

# I. INTRODUCTION

A model for a **Hyperelliptic Curve** (with a rational point) is an equation of the form

$$y^2 = f(x)$$

where  $f(x)$  is a polynomial of degree  $2g + 1$  with all roots distinct, and coefficients in a field  $K$  of characteristic  $\neq 2$ .

We will let  $C$  denote the zero locus of such a curve with  $(x, y)$ -coordinates in  $K$ .

Projectivizing, we also obtain one point at infinity  $P_\infty$ .

The number  $g$  is a positive integer known as the **genus** of the curve.

We let  $K$  be  $\mathbb{F}_q$ , a finite field containing  $q$  elements, where  $q$  is a power of a prime.

We can also let  $K$  be a field extension of  $\mathbb{F}_q$ , such as  $\mathbb{F}_{q^k}$ , or even the algebraic closure  $\overline{\mathbb{F}_q}$ .

$C(\mathbb{F}_q)$ ,  $C(\mathbb{F}_{q^k})$ , or  $C(\overline{\mathbb{F}_q})$  will denote the curves over these fields, respectively.

$$C(\mathbb{F}_q) \subset C(\mathbb{F}_{q^{k_1}}) \subset C(\mathbb{F}_{q^{k_2}}) \subset \cdots \subset C(\overline{\mathbb{F}_q})$$

for any sequence of natural numbers  $1|k_1|k_2|\dots$ .

The Frobenius automorphism  $\pi$  acts on curve  $C$  over finite field  $\mathbb{F}_q$  via

$$\pi(a, b) = (a^q, b^q).$$

**Fact 1** For a point  $P \in C(\overline{\mathbb{F}_q})$ ,

$$\pi(P) \in C(\overline{\mathbb{F}_q}).$$

**Fact 2** For a point  $P \in C(\mathbb{F}_{q^k})$ ,

$$\pi^k(P) = P.$$

Let  $N_m$  signify the number of points on curve  $C$ , over finite field  $\mathbb{F}_{q^m}$ .

Alternatively,  $N_m$  counts the number of points in  $C(\overline{\mathbb{F}_q})$  which are fixed by the  $m$ th power of the Frobenius automorphism,  $\pi^m$ .

Using this sequence, we define the **Zeta Function** as the exponential generating function.

$$Z(C, T) = \exp \left( \sum_{m=1}^{\infty} N_m \frac{T^m}{m} \right)$$

**Theorem 1 (Rationality - Weil 1948)**

$$Z(C, T) = \frac{(1 - \alpha_1 T)(1 - \alpha_2 T) \cdots (1 - \alpha_{2g-1} T)(1 - \alpha_{2g} T)}{(1 - T)(1 - qT)}$$

for complex numbers  $\alpha_i$ 's, where  $g$  is the genus of the curve  $C$ .  
Furthermore, the numerator of  $Z(C, T)$ , which we will denote as  $L(C, T)$ , has integer coefficients.

**Theorem 2 (Functional Equation - Weil 1948)**

$$Z(C, T) = q^{g-1} T^{2g-2} Z(C, 1/qT)$$

As a corollary to Rationality we get

$$\begin{aligned} N_k &= p_k [1 + q - \alpha_1 - \cdots - \alpha_{2g}] \\ &= 1 + q^k - \alpha_1^k - \cdots - \alpha_{2g}^k \end{aligned}$$

and the Functional Equation implies up to permutation,

$$\alpha_{2i-1} \alpha_{2i} = q.$$

By Rationality and the Functional Equation:

The Zeta Function of curve  $C$  of genus  $g$ ,

hence the entire sequence of  $\{N_k\}$ 's,

only depends on  $\{q, N_1, N_2, \dots, N_g\}$ .

Specializing to the case of an elliptic curve  $E$ , where  $g = 1$ , a lot more is known and there is additional structure.

**Fact 3**  $E$  can be represented as the zero locus in  $\mathbb{P}^2$  of the equation

$$y^2 = x^3 + Ax + B$$

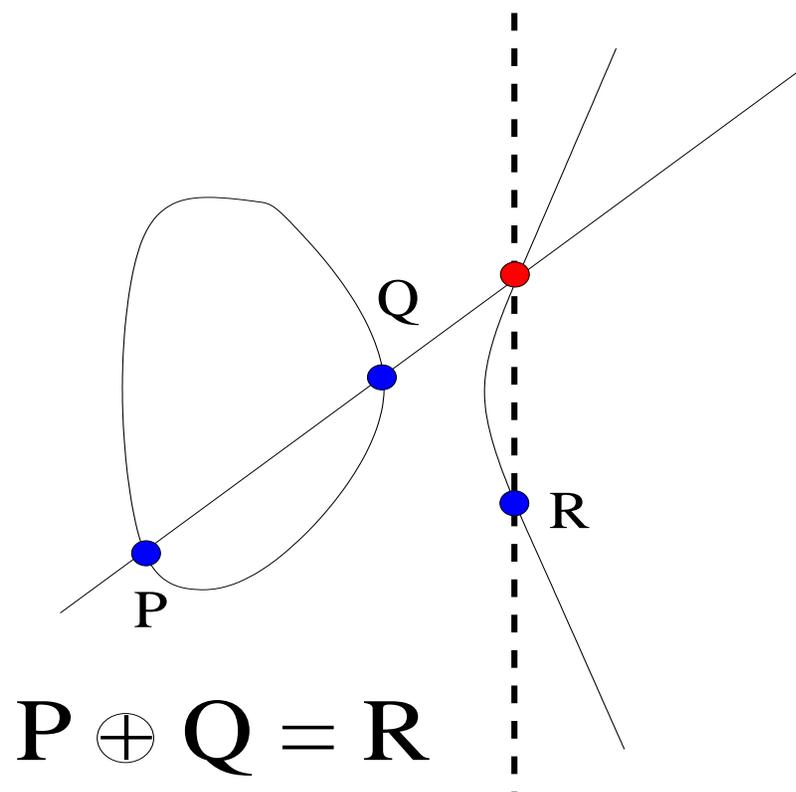
for  $A, B \in \mathbb{F}_q$ . (if  $p \neq 2, 3$ )

**Fact 4**  $E$  has a group structure where two points on  $E$  can be added to yield another point on the curve.

**Fact 5** The Frobenius automorphism is compatible with the group structure:

$$\pi(P \oplus Q) = \pi(P) \oplus \pi(Q).$$

Draw Chord/Tangent Line and then reflect about horizontal axis



If  $P_1 = (x_1, y_1)$ ,  $P_2 = (x_2, y_2)$ , then

$$P_1 \oplus P_2 = P_3 = (x_3, y_3) \text{ where}$$

1) If  $x_1 \neq x_2$  then

$$x_3 = m^2 - x_1 - x_2 \text{ and } y_3 = m(x_1 - x_3) - y_1 \text{ with } m = \frac{y_2 - y_1}{x_2 - x_1}.$$

2) If  $x_1 = x_2$  but  $(y_1 \neq y_2, \text{ or } y_1 = 0 = y_2)$  then  $P_3 = P_\infty$ .

3) If  $P_1 = P_2$  and  $y_1 \neq 0$ , then

$$x_3 = m^2 - 2x_1 \text{ and } y_3 = m(x_1 - x_3) - y_1 \text{ with } m = \frac{3x_1^2 + A}{2y_1}.$$

4)  $P_\infty$  acts as the identity element in this addition.

**Theorem 3 (Garsia ? 2004)** *For an elliptic curve, we can write  $N_k$  as a polynomial in terms of  $N_1$  and  $q$  such that*

$$N_k = \sum_{i=1}^k (-1)^{i-1} P_{k,i}(q) N_1^i$$

*where each  $P_{k,i}$  is a polynomial in  $q$  with positive integer coefficients.*

This can be proven using the fact that

$$N_k = 1 + q^k - \alpha_1^k - \alpha_2^k$$

and this leads to a recursion for  $\alpha_1^k + \alpha_2^k$  in terms of

$$\alpha_1 + \alpha_2 = 1 + q - N_1 \quad \text{and}$$

$$\alpha_1 \alpha_2 = q.$$

We can prove positivity by induction.

$$N_2 = (2 + 2q)N_1 - N_1^2$$

$$N_3 = (3 + 3q + 3q^2)N_1 - (3 + 3q)N_1^2 + N_1^3$$

$$N_4 = (4 + 4q + 4q^2 + 4q^3)N_1 - (6 + 8q + 6q^2)N_1^2 + (4 + 4q)N_1^3 - N_1^4$$

$$N_5 = (5 + 5q + 5q^2 + 5q^3 + 5q^4)N_1 - (10 + 15q + 15q^2 + 10q^3)N_1^2 \\ + (10 + 15q + 10q^2)N_1^3 - (5 + 5q)N_1^4 + N_1^5$$

**Question 1** *What is a combinatorial interpretation of these expressions, i.e. of the  $P_{k,i}$ 's?*

## II. A COMBINATORIAL INTERPRETATION OF $N_k$ .

## Fibonacci Numbers

$$F_n = F_{n-1} + F_{n-2}$$

$$F_0 = 1, \quad F_1 = 1$$

$$1, 1, 2, 3, 5, 8, 13, 21, 34 \dots$$

Counts the number of subsets of  $\{1, 2, \dots, n-1\}$  with no two elements consecutive

e.g.  $F_5 = 8$  :  $\{ \}$ ,  $\{1\}$ ,  $\{2\}$ ,  $\{3\}$ ,  $\{4\}$ ,  $\{1, 3\}$ ,  $\{1, 4\}$ ,  $\{2, 4\}$

## Lucas Numbers

$$L_n = L_{n-1} + L_{n-2}$$

$$L_1 = 1, \quad L_2 = 3$$

$$1, 3, 4, 7, 11, 18, 29, 47, \dots$$

Counts the number of subsets of  $\{1, 2, \dots, \mathbf{n}\}$  with no two elements **circularly** consecutive

e.g.  $L_4 = 7$ :  $\{ \}$ ,  $\{1\}$ ,  $\{2\}$ ,  $\{3\}$ ,  $\{4\}$ ,  $\{1, 3\}$ ,  $\{2, 4\}$

By Convention and Recurrence:  $L_0 = 2$

**Definition 1** We define the  $(q, t)$ -Lucas numbers to be a sequence of polynomials in variables  $q$  and  $t$  such that  $L_n(q, t)$  is defined as

$$L_n(q, t) = \sum_S q^{\#\text{ even elements in } S} t^{\lfloor \frac{n}{2} \rfloor - \#S}$$

where the sum is over subsets  $S$  of  $\{1, 2, \dots, n\}$  such that no two numbers are circularly consecutive.

e.g.  $L_2 = 3$ :  $\{ \}, \{1\}, \{2\}$

$$L_2(q, t) = q^0 t^1 + q^0 t^0 + q^1 t^0 = 1 + q + t$$

e.g.  $L_4 = 7$ :  $\{ \}, \{1\}, \{2\}, \{3\}, \{4\}, \{1, 3\}, \{2, 4\}$

$$\begin{aligned} L_4(q, t) &= q^0 t^2 + q^0 t^1 + q^1 t^1 + q^0 t^1 + q^1 t^1 + q^0 t^0 + q^2 t^0 \\ &= 1 + q^2 + (2q + 2)t + t^2 \end{aligned}$$

**Theorem 4 (M- 2005)**

$$L_{2k}(q, t) = 1 + q^k - N_k \Big|_{N_1 = -t}$$

We prove this by showing that the left- and right-hand-sides satisfy the same initial conditions and recurrence relations:

$$\begin{aligned} L_2(q, t) &= 1 + q + t \\ L_4(q, t) &= 1 + q^2 + (2 + 2q)t + t^2 \end{aligned}$$

The  $L_{2k}(q, t)$ 's satisfy recurrence relation

$$L_{2k+2}(q, t) = (1 + q + t)L_{2k}(q, t) - qL_{2k-2}(q, t).$$

The right-hand-sides are equal to  $1 + q^k - N_k \Big|_{N_1 = -t} = \alpha_1^k + \alpha_2^k$

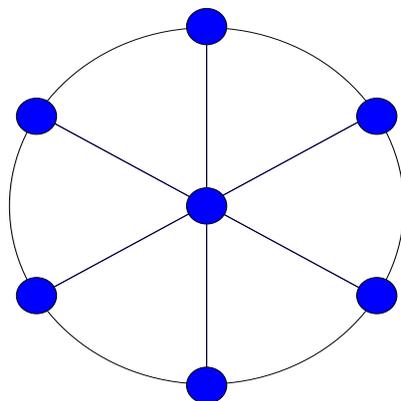
$$\alpha_1^{k+1} + \alpha_2^{k+1} = (1 + q - N_1)(\alpha_1^k + \alpha_2^k) - q(\alpha_1^{k-1} + \alpha_2^{k-1})$$

**Question 2** *Is there a generating function equal to  $N_k$  directly?*

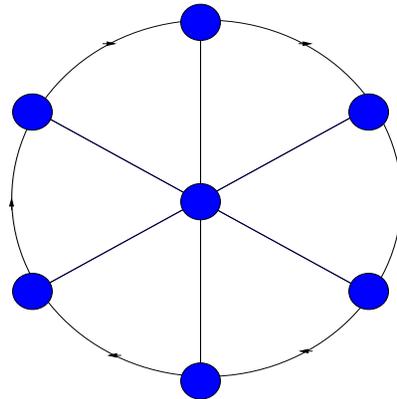
**Question 2** *Is there a generating function equal to  $N_k$  directly?*

We can come close.

We let  $W_n$  denote the wheel graph which consists of  $n$  vertices on a circle and a central vertex which is adjacent to every other vertex.



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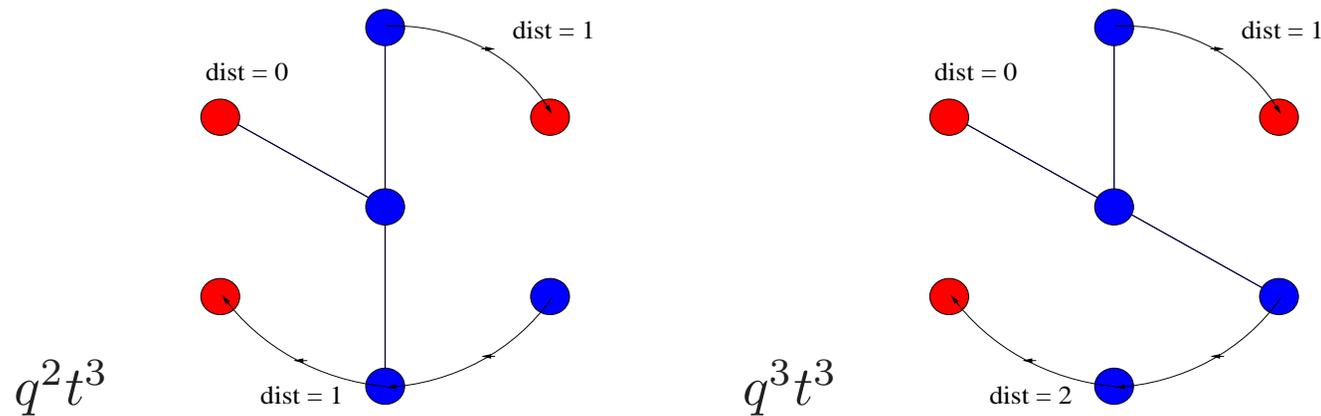
We note that a spanning tree will consist of arcs on the rim and spokes. We orient the arcs clockwise and designate the head of each arc.

## Definition 2

$$\mathcal{W}_k(q, t) = \sum_{\text{spanning trees of } W_k} q^{\text{total dist from spokes to tails}} t^{\# \text{ spokes}}.$$

## Theorem 5 (M- 2005)

$$\mathcal{W}_k(q, t) = -N_k \Big|_{N_1 = -t} = \sum_{i=1}^k P_{k,i}(q) t^i \quad \text{for all } k \geq 1.$$



The proof uses combinatorial facts from [Egecioglu-Remmel 1990] and [Benjamin-Yerger 2004].

Number Theoretic Interpretation of  $N_k(q, N_1)$ 's?

Algebraic Geometric Interpretation of  $N_k(q, N_1)$ 's?

## IV. UNDERSTANDING NUMBER THEORETICALLY

$$N_2 = (2 + 2q)N_1 - N_1^2.$$

Our first observation is the factorization:

$$N_2 = N_1 \cdot (2 + 2q - N_1).$$

$N_1$  clearly counts objects, namely points on elliptic curve  $E$ .

Does the second factor also count something?

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YES,

$2 + 2q - N_1$  counts the number of points on  $E^t$ .

If  $E$  has equation (char  $\neq 2, 3$ )

$$y^2 = x^3 + ax + b,$$

then  $E^t$  has equation  $y^2 = x^3 + a\Lambda^{-2}x + b\Lambda^{-3}$  for  $\Lambda \neq \alpha^2$ ,  $\alpha \in \mathbb{F}_q$ .

The isomorphism class of  $E^t$  doesn't depend on the choice of  $\Lambda$ , as long as it is a non-square, and

$$E^t : y^2 = x^3 + a\Lambda^{-2}x + b\Lambda^{-3}$$

is also isomorphic to the curve with equation

$$y^2 = \Lambda \cdot (x^3 + ax + b).$$

$E^t$  also isomorphic to  $E'(\mathbb{F}_q) \leq E(\mathbb{F}_{q^2})$ ,

the set

$$\left\{ (\alpha, \lambda\beta) \in E(\mathbb{F}_{q^2}) : \alpha, \beta \in \mathbb{F}_q, \lambda \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q \right\}$$

We follow [Stark 1973] and partition the set  $\mathbb{F}_q$  into three sets:

We let  $\mathcal{I}_1$  denote the number of  $\alpha \in \mathbb{F}_q$  such that  $\alpha$  is the  $x$ -coordinate of some ordinary point on  $E$ , i.e.  $(\alpha, \beta)$ ,  $\beta \neq 0$ .

We let  $\mathcal{I}_0$  denote the number of  $\alpha \in \mathbb{F}_q$  such that  $\alpha$  is the  $x$ -coordinate of a special point on  $E$ , i.e.  $(\alpha, 0)$ .

We let  $\mathcal{I}_{-1}$  denote the number of  $\alpha \in \mathbb{F}_q$  such that  $\alpha$  is **not** the  $x$ -coordinate of some point on  $E$ .

If the equation of  $E$  is  $y^2 = f(x)$ , these can also be described as:

$$\mathcal{I}_i = \#\{\alpha \in \mathbb{F}_q \text{ such that } f(\alpha)^{\frac{q-1}{2}} = i\}$$

Since these three possibilities partition the set  $\mathbb{F}_q$ , we obtain

$$\mathcal{I}_{-1} + \mathcal{I}_0 + \mathcal{I}_1 = q.$$

Since ordinary points come in conjugate pairs, and special and infinite points come singleton, we get further

$$N_1(E) = 2\mathcal{I}_1 + \mathcal{I}_0 + 1.$$

Lastly, by the definition of  $E^t$ , we conclude

$$N_1(E^t) = 2\mathcal{I}_{-1} + \mathcal{I}_0 + 1.$$

$$\begin{aligned}2q + 2 - N_1(E) &= 2\mathcal{I}_{-1} + 2\mathcal{I}_0 + 2\mathcal{I}_1 + 2 - N_1(E) \\ &= (2\mathcal{I}_{-1} + 2\mathcal{I}_0 + 2\mathcal{I}_1 + 2) - (2\mathcal{I}_1 + \mathcal{I}_0 + 1) \\ &= 2\mathcal{I}_{-1} + \mathcal{I}_0 + 1\end{aligned}$$

which we note is now a positive sum, rather than an alternating one, and in fact this sum is exactly  $N_1(E^t)$ .

Thus

$$N_2 = |E(\mathbb{F}_{q^2})| = |E(\mathbb{F}_q)| \cdot |E^t(\mathbb{F}_q)|.$$

This can also be proven via considering the trace of the Frobenius.

**Question 3** *Is there a direct bijective proof of this identity?*

$$\begin{aligned}2q + 2 - N_1(E) &= 2\mathcal{I}_{-1} + 2\mathcal{I}_0 + 2\mathcal{I}_1 + 2 - N_1(E) \\ &= (2\mathcal{I}_{-1} + 2\mathcal{I}_0 + 2\mathcal{I}_1 + 2) - (2\mathcal{I}_1 + \mathcal{I}_0 + 1) \\ &= 2\mathcal{I}_{-1} + \mathcal{I}_0 + 1\end{aligned}$$

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YES

**Theorem 6 (M- 2005)** *We have an explicit bijection  $\theta$  in all cases between  $E(\mathbb{F}_q) \times E'(\mathbb{F}_q)$  and  $E(\mathbb{F}_{q^2})$ . In some cases, it is additionally an isomorphism of groups.*

For example, when  $I_0 = 0$  this bijection is an isomorphism. In this case, the bijection is given by

$$(P, Q) \mapsto P \oplus Q \text{ in } E(\mathbb{F}_{q^2}).$$

If  $\mathcal{I}_0 = 1$ , the addition map is a 2-to-1 map

If  $\mathcal{I}_0 = 3$ , the addition map is a 4-to-1 map

In these last two cases, explicit bijection  $\theta$  is not just the addition map, but can be constructed by coset decomposition.

When  $\mathcal{I}_0 = 0$ , map  $\theta$  is group theoretic as given above.

When  $\mathcal{I}_0 = 3$ , map  $\theta$  is NEVER group theoretic.

When  $\mathcal{I}_0 = 1$ , we can choose the coset representatives to make  $\theta$  an isomorphism depending on whether or not

$$\text{ord}_2(|E(\mathbb{F}_q)|) = \text{ord}_2(|E'(\mathbb{F}_q)|).$$

**Note :**  $\text{ord}_2(n) = k$  if  $n = 2^k m$  where  $m$  is odd.

### III. A GEOMETRIC INTERPRETATION OF $N_k$ .

$$N_k = \sum_{i=1}^k (-1)^{i-1} P_{k,i}(q) N_1^i$$

True in general:  $N_1 \mid N_k$  so want to understand second factor of

$$N_k = N_1 \cdot \tilde{N}_k.$$

In fact, we can define sets  $E^{(k)}(\mathbb{F}_q)$  for all  $k$  so that

$$|E^{(k)}(\mathbb{F}_q)| = \frac{N_k}{N_1}.$$

Let  $E^{(k)}(\mathbb{F}_q)$  be the kernel of the Trace Map

$$\begin{aligned} \Phi_k : E(\overline{\mathbb{F}_q}) &\rightarrow E(\overline{\mathbb{F}_q}) \\ P &\mapsto P \oplus \pi(P) \oplus \pi^2(P) \oplus \cdots \oplus \pi^{k-1}(P). \end{aligned}$$

In other words,  $E^{(k)}(\mathbb{F}_q)$  equals the subset of points  $P$  in  $E(\overline{\mathbb{F}_q})$  such that  $\Phi_k(P) = P_\infty$ .

$$\text{If } P \oplus \pi(P) \oplus \pi^2(P) \oplus \cdots \oplus \pi^{k-1}(P) = P_\infty$$

$$\text{Then } \pi(P) \oplus \pi^2(P) \oplus \pi^3(P) \oplus \cdots \oplus \pi^k(P) = \pi(P_\infty) = P_\infty$$

$$\text{Hence } \pi^k(P) = P \quad \text{and thus } E^{(k)}(\mathbb{F}_q) \subseteq E(\mathbb{F}_{q^k})$$

$$\text{Also } \pi(\Phi_k(P)) = \Phi_k(P) \quad \text{and thus } \text{Im } \Phi_k \subseteq E(\mathbb{F}_q)$$

We now wish to prove  $E^{(k)}(\mathbb{F}_q) = \text{Ker } \Phi_k$  really satisfies

$$|E^{(k)}(\mathbb{F}_q)| = \frac{N_k}{N_1}.$$

We consider the chain complex

$$0 \longrightarrow E^{(k)}(\mathbb{F}_q) \longrightarrow E(\mathbb{F}_{q^k}) \xrightarrow{\Phi_k} E(\mathbb{F}_q) \longrightarrow 0$$

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Recall for  $P \in E^{(k)}(\mathbb{F}_q)$ ,

$$\begin{aligned} \pi^k(P) &= P & \text{and thus } E^{(k)}(\mathbb{F}_q) &\subseteq E(\mathbb{F}_{q^k}) \\ \pi(\Phi_k(P)) &= \Phi_k(P) & \text{and thus } \text{Im } \Phi_k &\subseteq E(\mathbb{F}_q) \end{aligned}$$

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Exactness if and only if

$$\text{Im } \Phi_k = E(\mathbb{F}_q).$$

One way to see this is to notice the following sequence is exact:

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Hilbert's Theorem 90 tells us that

$$\text{Ker } \Phi_k = E^{(k)}(\mathbb{F}_q) = \text{Im } (1 - \pi)$$

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Furthermore,

$$\text{Ker } (1 - \pi) = E(\mathbb{F}_q) = \text{Im } \Phi_k,$$

which implies the exactness of

$$0 \longrightarrow E^{(k)}(\mathbb{F}_q) \longrightarrow E(\mathbb{F}_{q^k}) \xrightarrow{\Phi_k} E(\mathbb{F}_q) \longrightarrow 0.$$

Factoring  $N_k$  Completely:

**Theorem 7 (M- 2005)** *There exists polynomials, which we will denote as  $ECyc_d$ , in  $N_1$  and  $q$ , only depending on  $d$  such that*

$$N_k(N_1, q) = \prod_{d|k} ECyc_d.$$

Moreover,

$$ECyc_d = \left| \text{Ker } Cyc_d(\pi) : E(\overline{\mathbb{F}}_q) \curvearrowright \right|$$

where  $Cyc_d(\pi)$  denotes the isogeny obtained from the  $d$ th Cyclotomic polynomial of the Frobenius map.

## Example: Factoring $N_6$ Completely

$$N_6 = N_1 \left( 2 + 2q - N_1 \right) \left( (3 + 3q + 3q^2) - (3 + 3q)N_1 + N_1^2 \right) \left( (1 - q + q^2) - (1 + q)N_1 + N_1^2 \right)$$

$$N_6 = E(\mathbb{F}_{q^6}) = \text{Ker}(1 - \pi^6)$$

$$N_2 = E(\mathbb{F}_{q^2}) = \text{Ker}(1 - \pi^2)$$

$$N_3 = E(\mathbb{F}_{q^3}) = \text{Ker}(1 - \pi^3)$$

$$N_1 = E(\mathbb{F}_q) = \text{Ker}(1 - \pi)$$

$$\text{Cyc}_d(\pi) = \prod_{k|d} (1 - \pi^k)^{\mu(d/k)}$$

$$1 - \pi^6 = (1 - \pi)(1 + \pi)(1 + \pi + \pi^2)(1 - \pi + \pi^2)$$

$$ECyc_1 = N_1$$

$$ECyc_2 = 2 + 2q - N_1$$

$$ECyc_3 = (3 + 3q + 3q^2) - (3 + 3q)N_1 + N_1^2$$

$$ECyc_4 = (2q^2 + 2) - (2q + 2)N_1 + N_1^2$$

$$ECyc_5 = (5 + 5q + 5q^2 + 5q^3 + 5q^4) - (10 + 15q + 15q^2 + 10q^3)N_1 \\ + (10 + 15q + 10q^2)N_1^2 - (5 + 5q)N_1^3 + N_1^4$$

$$ECyc_6 = (q^2 - q + 1) - (q + 1)N_1 + N_1^2$$

**Question 4** *Is there a combinatorial interpretation for these polynomials?*

**Question 5** *How do these various combinatorial and geometric interpretations, including the original one of  $|E(\mathbb{F}_{q^k})|$  all relate to each other?*