



From Orbital Varieties to Alternating Sign Matrices

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ABSTRACT. We study a one-parameter family of vector-valued polynomials associated to each simple Lie algebra. When this parameter q equals -1 one recovers Joseph polynomials, whereas at q cubic root of unity one obtains ground state eigenvectors of some integrable models with boundary conditions depending on the Lie algebra; in particular, we find that the sum of its entries is related to numbers of Alternating Sign Matrices and/or Plane Partitions in various symmetry classes.

RÉSUMÉ. Nous étudions une famille à un paramètre de polynômes à valeurs vectorielles qui est associée à chaque algèbre de Lie simple. Quand ce paramètre q vaut -1 on retrouve les polynômes de Joseph, tandis que quand q est racine cubique de l'unité on obtient les états fondamentaux de certains modèles intégrables avec des conditions aux bords dépendant de l'algèbre de Lie; en particulier, nous trouvons que la somme de ses composantes est reliée aux nombres de Matrices de Signe Alterné et/ou de Partitions Planes dans diverses classes de symétrie.

1. Introduction

Recently, a remarkable connection between integrable models and combinatorics has emerged. It first appeared in a series of papers concerning the XXZ spin chain and the Temperley–Lieb (TL) loop model [1, 2] and which culminated with the so-called Razumov–Stroganov (RS) conjecture [3]. One of the main observations of [1], a weak corollary of the RS conjecture, is that the sum of entries of the properly normalized ground state vector of the TL(1) loop model is (unexpectedly!) equal to the number of Alternating Sign Matrices. This result was eventually proved in [4] by using the *integrability* of the TL loop model in the following way: the model is generalized by introducing N complex numbers (spectral parameters, or inhomogeneities) in the problem, where N is the size of the system. The ground state entries become polynomials in these variables, and integrability provides many new tools for analyzing them, leading eventually to the exact computation of their sum, identified as the so-called Izergin–Korepin (IK) determinant, known to specialize to the number of Alternating Sign Matrices in the homogeneous limit [5]. Note that in this work, the meaning of the spectral parameters is not very transparent; in particular, it is unclear how to generalize the full RS conjecture in their presence.

Next, it was observed in [6] that the polynomials obtained above really belong to a one-parameter family of solutions of a certain set of linear equations, in which the parameter q has been set equal to a cubic root of unity. This observation is not obvious because the equations for generic q are not a simple eigenvector equation; in fact, as explained in [7], they are precisely the quantum Knizhnik–Zamolodchikov (q KZ) equations at level 1 for the algebra $U_q(\widehat{\mathfrak{sl}(2)})$. Furthermore, in the “rational” limit $q \rightarrow -1$, these polynomials have a remarkable geometric interpretation: they are equivariant Hilbert polynomials (or “multidegrees”) of A_{N-1} orbital varieties $M^2 = 0$ ([7], see also [8]), which are extensions of the Joseph polynomials [11]. Note that here, the spectral parameters quite naturally appear as the basis of weights of $\mathfrak{gl}(N)$. In [7], these ideas were generalized to higher algebras $U_q(\widehat{\mathfrak{sl}(k)})$, which correspond to the orbital varieties $M^k = 0$.

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Here, we pursue a *different* type of generalization: we investigate orbital varieties corresponding to the other infinite series of simple Lie algebras: B_r, C_r, D_r ; but we stick to the $U_q(\widehat{\mathfrak{sl}(2)})$ case by choosing the orbital varieties $M^2 = 0$, M a complex matrix in the fundamental representation. Indeed, we show below that such orbital varieties are related to the same loop model, but with different *boundary conditions* (corresponding to variants of the Temperley–Lieb algebra). Furthermore, one can now q -deform the resulting polynomials to produce solutions of q KZ equations of type B, C, D and set q to be a cubic root of unity. Taking the homogeneous limit, the entries become integer numbers, which we conjecture to be related to *symmetry classes* of Alternating Sign Matrices and/or Plane Partitions; in particular we identify the sums of entries.

In what follows we state most results without proofs; some will appear in a joint paper with A. Knutson [15] on a closely related subject.

2. General setup

2.1. Orbital varieties. Let \mathfrak{g} be a simple complex Lie algebra of rank r , \mathfrak{b} a Borel subalgebra. $\mathfrak{b} = \mathfrak{t} \oplus \mathfrak{n}$ where \mathfrak{t} is the corresponding Cartan subalgebra and \mathfrak{n} is the space of nilpotent elements of \mathfrak{b} . B and T are Borel and Cartan subgroups. Let W denote the Weyl group of \mathfrak{g} , and s_α its standard generators, where α runs over the set of simple roots of \mathfrak{g} .

Fixing an orbit $G \cdot x$, with $x \in \mathfrak{n}$ and G acting by conjugation, one can consider the irreducible components of $\overline{\mathfrak{b} \cap (G \cdot x)}$, which are called orbital varieties.

Even though much of what follows can be done for any orbital varieties, we focus below on the following special case: we fix an irreducible representation ρ (of dimension N) and consider the scheme $E = \{x \in \mathfrak{b} \mid \rho(x)^2 = 0\}$. The underlying set is precisely a $\overline{\mathfrak{b} \cap (G \cdot x)}$, where x is any element of E such that $\rho(x)$ is of maximal rank. In some sense, its components are the “simplest possible” orbital varieties.

2.2. Hotta construction. It is known that there exists a representation of the Weyl group W on the vector space V of formal linear combinations of orbital varieties (Springer/Joseph representation); for each G -orbit, it is an irreducible representation. We use the following explicit form of the representation: note that orbital varieties are invariant under $T \times \mathbb{C}^\times$, where T acts by conjugation and \mathbb{C}^\times acts by overall scaling. We can therefore consider equivariant cohomology $H_{T \times \mathbb{C}^\times}^*(\cdot)$ and in particular via the inclusion map from each orbital variety π to the space \mathfrak{n} , the unit of $H_{T \times \mathbb{C}^\times}^*(\pi)$ is pushed forward to some cohomology class Ψ_π in $H_{T \times \mathbb{C}^\times}^*(\mathfrak{n}) = \mathbb{C}[t, A]$, that is a polynomial in $r + 1$ variables $\alpha_1, \dots, \alpha_r, A$ (the r simple roots plus the \mathbb{C}^\times weight), sometimes called *multidegree* of π . Suppressing the \mathbb{C}^\times action, that is setting $A = 0$, one recovers the Joseph polynomials [11].

The way that W acts on these polynomials can be described explicitly, by extending slightly the results of Hotta [12] to include the additional \mathbb{C}^\times action. One starts by associating to each simple root α a certain geometric construction, which we briefly recall. For $x \in \mathfrak{b}$ write $x = \sum_\alpha x_\alpha e_\alpha$ where α runs over positive roots, $e_\alpha \in \mathfrak{g}$ being a vector of weight α . Define $\mathfrak{b}_\alpha = \{x \in \mathfrak{b} \mid x_\alpha = 0\}$, and L_α to be Lévy subgroup whose Lie algebra is $\mathfrak{b} \oplus \mathbb{C}e_{-\alpha}$. Starting from an orbital variety π , we distinguish two cases:

- $\pi \subset \mathfrak{b}_\alpha$. Then set $s_\alpha \pi = \pi$.
- $\pi \not\subset \mathfrak{b}_\alpha$. Then let L_α acts by conjugation: the top-dimensional components of $L_\alpha \cdot (\pi \cap \mathfrak{b}_\alpha)$ are again orbital varieties; set $s_\alpha \pi = -\pi - \sum_{\pi'} \mu_{\alpha \pi'} \pi'$ where $\mu_{\alpha \pi'}$ is the multiplicity of π' in $L_\alpha \cdot (\pi \cap \mathfrak{b}_\alpha)$.

These elementary operations have a counterpart when acting on multidegrees, and a simple calculation shows that both cases are covered by a single formula:

$$(2.1) \quad s_\alpha \Psi_\pi = (-\tau_\alpha + A \partial_\alpha) \Psi_\pi$$

where τ_α is the reflection orthogonal to the root α in $\mathbb{C}[\alpha_1, \dots, \alpha_r, A]$, and $\partial_\alpha = \frac{1}{\alpha}(\tau_\alpha - 1)$ is the associated *divided difference operator*, whereas on the left hand side s_α implements right action on the Ψ_π , namely $s_\alpha \Psi_\pi := -\Psi_\pi - \sum_{\pi'} \mu_{\alpha \pi'} \Psi_{\pi'}$. One can check that $s_\alpha \mapsto -\tau_\alpha + A \partial_\alpha$ is a representation of the Weyl group W on polynomials. Note that at $A = 0$, we recover the natural action of W (up to a sign, with our conventions).

2.3. Yang–Baxter equation and integrable models. Let us define the operator

$$(2.2) \quad R_\alpha(u) := \frac{A - us_\alpha}{A + u}$$

which acts in the space $V \otimes \mathbb{C}[\alpha_1, \dots, \alpha_r, A]$, u being a formal parameter. Rewriting slightly the relation (2.1) above we find that τ_α acts as $R_\alpha(\alpha)$. Using the fact that τ_α , just like the s_α , satisfy the Weyl group relations, we find that the operators $\tau_\alpha R_\alpha(\alpha)$ also satisfy those. In the case of non-exceptional Lie algebras, there are only 2 types of edges in the Dynkin diagram, and therefore we have Coxeter relations of the form $(s_\alpha s_\beta)^{m_{\alpha\beta}} = 1$, where $m_{\alpha\beta} = 1, 2, 3, 4$ depending on whether $\alpha = \beta$, there is no edge, a single or a double edge between α and β . Writing these relations for $\tau_\alpha R_\alpha$ and eliminating the τ_α , we find that relations with $m_{\alpha\beta} = 1, 3, 4$ correspond respectively to the *unitarity* equation:

$$(2.3) \quad R_\alpha(\alpha)R_\alpha(-\alpha) = 1 ,$$

the *Yang–Baxter* equation:

$$(2.4) \quad R_\alpha(\alpha)R_\beta(\alpha + \beta)R_\alpha(\beta) = R_\beta(\beta)R_\alpha(\alpha + \beta)R_\beta(\alpha) \quad \alpha \text{ --- } \beta$$

and the *boundary Yang–Baxter* (or reflection) equation:

$$(2.5) \quad R_\alpha(\alpha)R_\beta(\beta + \alpha)R_\alpha(\alpha + 2\beta)R_\beta(\beta) = R_\beta(\beta)R_\alpha(\alpha + 2\beta)R_\beta(\beta + \alpha)R_\alpha(\alpha) \quad \alpha \text{ --- } \beta$$

whereas the case $m_{\alpha\beta} = 2$ expresses a simple commutation relation for distant vertices. Indeed one recognizes in $R_\alpha(u)$ a standard form of the rational solution of the Yang–Baxter equation, the parameter u playing the role of difference of spectral parameters. Thus the multidegrees Ψ_α are closely connected to integrable models with rational dependence on spectral parameters, as will be discussed now.

Before doing so, let us remark that in the special case investigated here of orbital varieties associated to $M^2 = 0$, the s_α obey more than just the Coxeter relations. In the A_r case they actually generate a quotient of the symmetric group algebra S_{r+1} known as the Temperley–Lieb algebra $TL_{r+1}(2)$ (here 2 is the value of the parameter in the definition of the algebra, as will be explained below). The same type of phenomena will be described for other simple Lie algebras, and will lead to variants of the Temperley–Lieb algebra; in particular, the “bulk” (i.e. everything but a finite number of edges at the boundary) of the Dynkin diagrams being sequences of simple edges, these variants will only differ at the level of “boundary conditions” of the model.

2.4. Affinization and rational q KZ equation. Let us now discuss the meaning of the equation

$$(2.6) \quad R_\alpha(\alpha)\Psi = \tau_\alpha\Psi$$

where τ_α is the reflection associated to the root α acting on the “spectral parameters” $\alpha_1, \dots, \alpha_r$, $R_\alpha(\alpha)$ is a certain linear operator defined above acting in the space $V \otimes \mathbb{C}[\alpha_1, \dots, \alpha_r, A]$ and $\Psi = \sum_\pi \pi \otimes \Psi_\pi$ is a vector in that space.

When $R_\alpha(u)$ is the R -matrix (or boundary R -matrix) of some integrable model, such equations are satisfied by eigenvectors of the corresponding integrable transfer matrix. More generally, these equations appear in the context of the quantum Knizhnik–Zamolodchikov (q KZ) equation, in connection with the representation theory of affine quantum groups [13]. In either case, it is known that we need an additional equation to fix the Ψ_π entirely.

Define \hat{W} to be the semi-direct product of W and of the weight lattice of \mathfrak{g} . It contains as a finite index subgroup the usual affine Weyl group defined as the Coxeter group of the affinized Dynkin diagram. Just like the affine Weyl group, it has a natural action on \mathfrak{t} and therefore on $\mathbb{C}[\alpha_1, \dots, \alpha_r, A]$ which extends the action of W generated by the reflections τ_i ; by definition, in this representation, an element of the weight lattice acts as translation in \mathfrak{t} of the weight multiplied by $3A$ ($3 = l + \check{h}$ where $l = 1$ is the level of the q KZ equation and $\check{h} = 2$ is the dual Coxeter number of $\mathfrak{sl}(2)$).

Then we claim that one can extend the representation of W on $V \otimes \mathbb{C}[\alpha_1, \dots, \alpha_r, A]$ (the operators $\tau_\alpha R_\alpha(\alpha)$) into a representation of \hat{W} , in such a way that each element of \hat{W} is the product of its natural action on $\mathbb{C}[\alpha_1, \dots, \alpha_r, A]$ and of a $\mathbb{C}[\alpha_1, \dots, \alpha_r, A]$ -linear operator. Describing here the geometric procedure that leads to this action is beyond the scope of this paper. The action will however be described explicitly in each of the cases below. An important property is that if one sets $A = 0$ the representation of \hat{W} factors through the projection $\hat{W} \rightarrow W$. So the \mathbb{C}^\times action actually produces the affinization.

Imposing that Ψ be invariant under the action of the whole group \hat{W} leads to a full set of equations, which are precisely equivalent to the so-called rational q KZ equation (or more precisely, a generalization of it for arbitrary Dynkin diagram, the original q KZ equation corresponding to the case A_r) at level 1; and it

turns out that they have a unique polynomial solution of the prescribed degree (up to multiplication by a scalar).

2.5. q -deformation and Razumov–Stroganov point. The integrability suggests how to q -deform the above construction. Indeed, we have considered thus far R -matrices that form so-called *rational* solutions of the Yang–Baxter Equation, and Ψ 's that are solutions of the rational q KZ equation. It is known however that the trigonometric R -matrices are a special degeneration of a one-parameter family of *trigonometric* solutions of the Yang–Baxter Equation, depending on a parameter q . Setting $q = -e^{-\hbar A/2}$, one customarily uses exponentiated “multiplicative” spectral parameters of the form $e^{-\hbar\alpha_i}$. We then look for polynomial solutions Ψ of these parameters, to the corresponding trigonometric q KZ equations. The rational solutions are then recovered from the trigonometric ones via the limit $\hbar \rightarrow 0$, at the first non-trivial order in \hbar . The details of the bulk and boundary R -matrices will be given below for the cases A_r , B_r , C_r and D_r . We thus obtain, for any q , a representation of the group \hat{W} , the W relations satisfied by the $\tau_\alpha R_\alpha(\alpha)$ and more generally the \hat{W} relations being undeformed.

In terms of the new variables $e^{-\hbar\alpha_i}$ living in T , the natural action of an element of the weight lattice ω (as the abelian subgroup of \hat{W}) is the multiplication by $q^{6\omega}$. Since for all simple Lie algebras, ω has half-integer coordinates, we reach the important conclusion that when $q^3 = 1$, this action becomes trivial. Therefore, all operators associated to the weight lattice by the procedure outlined in the previous section become $\mathbb{C}[\alpha_1, \dots, \alpha_r, A]$ -linear (i.e. correspond to finite-dimensional operators on V after evaluation of the parameters $\alpha_1, \dots, \alpha_r, A$). In this case they are simply the scattering matrices of [19], and they commute with the usual (inhomogeneous) integrable transfer matrix of the model. This implies that Ψ is an eigenvector of the latter; in fact, we can call it “ground state eigenvector” because in the physical situation where the transfer matrix elements are positive, the Perron–Frobenius theorem applies and the eigenvalue 1 of Ψ is the largest eigenvalue in modulus.

The value $q = e^{2i\pi/3}$ (also called “Razumov–Stroganov point”) is henceforth quite special and deserves a particular study. In particular, in the homogeneous limit where the spectral parameters α_i are specialized to zero, Ψ can be normalized so that its entries are all *non-negative integers*, and we are interested in their combinatorial significance, in relation to the counting of Alternating Sign Matrices and/or Plane Partitions. We do not claim to have a full understanding of the general correspondence principle between simple Lie algebras and these combinatorial problems, but we will perform a case-by-case study for A_r , B_r , C_r and D_r .

A last remark is in order. As we shall see, it is simple to see that the solutions Ψ to the A , B , C , D q KZ equations obey recursion relations, that allow to obtain the rank r case from rank $r + 1$, hence we will content ourselves with the detailed description for r with a given parity, namely A_{2n-1} , B_{2n} , C_{2n+1} , D_{2n+1} .

3. A_r case

We review the A_r case, already explored in [7]. We set $\alpha_i = z_i - z_{i+1}$, $i = 1, \dots, r$. The fact that there are $r + 1 \equiv N$ of these new variables z_i , the spectral parameters, as opposed to the r simple roots, is a reflection of the usual embedding $\mathfrak{sl}(N) \subset \mathfrak{gl}(N)$. \mathfrak{b} (resp. \mathfrak{n}) is simply the space of upper triangular (resp. strictly upper triangular) matrices of size N , and the orbital varieties under consideration are the irreducible components of the scheme $\{M \in \mathfrak{n} \mid M^2 = 0\}$. We also restrict ourselves to the case of $N = 2n$ even, which is technically simpler.

3.1. Orbital varieties and Temperley–Lieb algebra. In general, $\mathfrak{sl}(N)$ nilpotent orbits are classified by their Jordan decomposition type, which can be expressed as a Young diagram; the orbital varieties are then indexed by Standard Young Tableaux (SYT). The condition $M^2 = 0$ ensures that only Young diagrams with at most 2 rows can appear (blocks in the Jordan decomposition are of size at most 2), and it is easy to check that all orbits are in the closure of the largest orbit, whose Young diagram is of the form (n, n) . It is convenient to describe the corresponding SYT by “link patterns”, that is N points on a line connected in the upper-half plane via n non-intersecting arches, see fig. 1. The numbers in the first (resp. second) row of the SYT are the labels of the openings (resp. closings) of the arches. There are $\frac{(2n)!}{n!(n+1)!}$ such configurations.

In this language, one has a rather convenient description of orbital varieties [25, 26], which we mention for the sake of completeness. Indeed, to each orbital variety π we associate the upper triangular matrix $\pi^<$ with $\pi_{ij}^< = 1$ if points labelled i and j are connected by an arch, $i < j$, 0 otherwise. Then $\pi = \overline{B \cdot \pi^<}$,

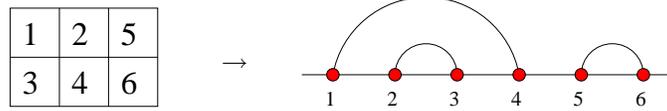
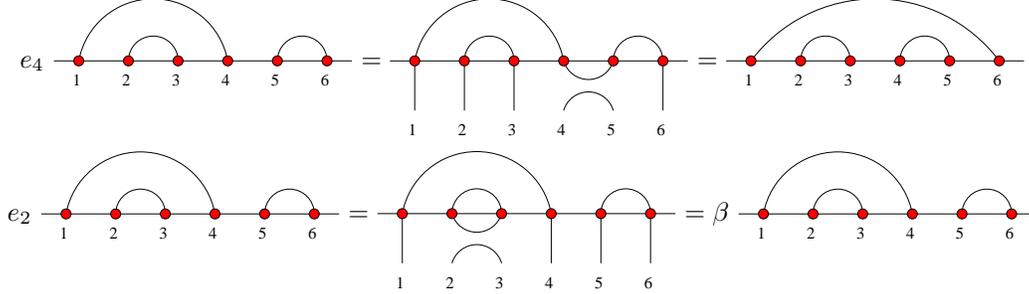


FIGURE 1. A Standard Young Tableau and the corresponding link pattern.


 FIGURE 2. Action of the Temperley-Lieb algebra $TL(\beta)$ on link patterns.

B acting by conjugation. Equivalently, π is given by the following set of equations: (i) $M^2 = 0$ and (ii) $r_{ij}(M) \leq r_{ij}(\pi^<)$, $i, j = 1, \dots, N$, where r_{ij} is the rank of the $i \times j$ lower-left rectangle.

It is equally simple to describe the action of the Weyl group, namely the symmetric group S_N . Rather than the generators corresponding to the simple roots: $s_i \equiv s_{\alpha_i}$, $i = 1, \dots, r$ used so far, it proves simpler to consider the action of the projectors $e_i = 1 - s_i$ in the symmetric group algebra. The operator e_i acts on link patterns π by connecting the arches ending at i and $i + 1$ and creates a new little arch between these 2 points; this action is described on Fig. 2. When a closed loop is formed, it is erased but contributes a weight $\beta = 2$. The q -deformed version of this is obtained by attaching a weight $\beta = -(q + q^{-1})$ to each erased loop, thus leading to the following (pictorially clear) relations:

$$(3.1) \quad e_i^2 = \beta e_i \quad e_i = e_i e_{i \pm 1} e_i \quad [e_i, e_j] = 0 \quad |i - j| > 1$$

all indices taking values in $1, \dots, r$. These are the defining relations of the Temperley-Lieb algebra $TL_{r+1}(\beta)$. When $q = -1$, i.e. $\beta = 2$, it is simply a quotient of the symmetric group algebra. Alternatively, the deformed generators $s_i = -q^{-1} - e_i$ satisfy the usual relations of the Hecke algebra (of which the Temperley-Lieb algebra is a quotient).

In what follows, one special element of $TL_N(\beta)$ will be needed: it is the cyclic rotation S . Its effect is to rotate the endpoints of the link patterns: $1 \rightarrow 2 \rightarrow \dots \rightarrow N \rightarrow 1$ without changing their connectivity. It can also be expressed as: $S = q^{n-2} s_1 \dots s_{N-1}$.

3.2. q KZ equation. For each simple root α_i , we have the trigonometric R -matrix:

$$(3.2) \quad R_i(w) \equiv R_{\alpha_i}(w) = \frac{(qw - q^{-1}) + (w - 1)e_i}{q - q^{-1}w},$$

where the $e_i = -q^{-1} - s_i$ generate $TL_N(\beta)$ and act in the space of link patterns as explained above. We first write the system of equations:

$$(3.3) \quad R_i(w_{i+1}/w_i)\Psi = \tau_i \Psi \quad i = 1, \dots, N - 1$$

where $\tau_i \equiv \tau_{\alpha_i}$ acts by interchanging multiplicative spectral parameters $w_i := e^{-\hbar z_i}$ and w_{i+1} in the polynomial Ψ of the w 's, homogeneous of degree $n(n - 1)$.

These equations are supplemented by the ‘‘affinized’’ equation satisfied by Ψ . Since the affine Dynkin diagram $A_r^{(1)}$ is a circular chain, this equation quite naturally involves the cyclic rotation S . Define the operator ρ on $\mathbb{C}[w_1, \dots, w_N]$ which shifts the variables w_i according to the rule: $w_i \rightarrow w_{i+1}$, $i = 1, \dots, N - 1$ and $w_N \rightarrow q^6 w_1$. Then the additional equation is

$$(3.4) \quad q^{3(n-1)} S^{-1} \Psi = \rho \Psi$$

Together with this equation, the above system forms the so-called level one q KZ equation.

We claim that the $\mathbf{R}_i := \tau_i R_i(w_{i+1}/w_i)$ and $\mathbf{S} := q^{3(1-n)} \rho S$ generate together \hat{W} . In order to see that, it is sufficient to build the N generators \mathbf{T}_i of the abelian subgroup (the lattice of weights). They are given by $\mathbf{T}_i = \mathbf{R}_{i-1} \mathbf{R}_{i-2} \cdots \mathbf{R}_1 \mathbf{S} \mathbf{R}_{N-1} \cdots \mathbf{R}_{i+1} \mathbf{R}_i$, $i = 1, \dots, N$. The original definition of the q KZ equation is in fact the eigenvector equation for these “scattering” matrices; with reasonable assumptions it is equivalent to the above system. Also, note that if one defines $\mathbf{R}_N := \mathbf{S}^{-1} \mathbf{R}_1 \mathbf{S}$, then the \mathbf{R}_i , $i = 1, \dots, N$ generate the usual affine Weyl group (a subgroup of order N of \hat{W}).

The minimal degree polynomial solution of the level one q KZ equation was obtained in [6, 7], and is characterized by its “base” entry Ψ_{π_0} corresponding to the link pattern π_0 that connects points $i \leftrightarrow 2n+1-i$, with the value

$$(3.5) \quad \Psi_{\pi_0} = \prod_{1 \leq i < j \leq n} (qw_i - q^{-1}w_j) \prod_{n+1 \leq i < j \leq 2n} (qw_i - q^{-1}w_j)$$

in which all factors are a direct consequence of the $\tau_i \Psi = R_i \Psi$ equations. It is then easy to prove that all the other entries of Ψ may be obtained from Ψ_{π_0} in a triangular way.

Example: at $N = 6$, there are 5 link patterns. The minimal degree polynomial solution of the level one q KZ equation reads:

$$\begin{aligned} \Psi_{\text{diag 1}} &= (qw_1 - q^{-1}w_2)(qw_2 - q^{-1}w_3)(qw_1 - q^{-1}w_3)(qw_4 - q^{-1}w_5)(qw_5 - q^{-1}w_6)(qw_4 - q^{-1}w_6) \\ \Psi_{\text{diag 2}} &= (qw_1 - q^{-1}w_2)(qw_3 - q^{-1}w_4)(qw_5 - q^{-1}w_6) \\ &\times \left((w_1 + w_2)(q^2 w_3 w_4 - q^{-2} w_5 w_6) - (w_3 + w_4)(q^4 w_1 w_2 - q^{-4} w_5 w_6) + (w_5 + w_6)(q^2 w_1 w_2 - q^{-2} w_3 w_4) \right) \\ \Psi_{\text{diag 3}} &= (qw_2 - q^{-1}w_3)(qw_2 - q^{-1}w_4)(qw_3 - q^{-1}w_4)(qw_5 - q^{-1}w_6)(q^{-2}w_6 - q^2 w_1)(q^{-2}w_5 - q^2 w_1) \\ \Psi_{\text{diag 4}} &= (qw_1 - q^{-1}w_2)(qw_3 - q^{-1}w_4)(qw_4 - q^{-1}w_5)(qw_3 - q^{-1}w_5)(q^{-2}w_6 - q^2 w_1)(q^{-2}w_6 - q^2 w_2) \\ \Psi_{\text{diag 5}} &= (qw_2 - q^{-1}w_3)(qw_4 - q^{-1}w_5)(q^{-2}w_6 - q^2 w_1) \\ &\times \left((q^3 w_1 + q^{-3} w_6)(q^2 w_2 w_3 - q^{-2} w_4 w_5) - (w_2 + w_3)(qw_1 w_6 - q^{-1} w_4 w_5) - (w_4 + w_5)(qw_2 w_3 - q^{-1} w_1 w_6) \right) \end{aligned}$$

Performing the rational limit $\hbar \rightarrow 0$, $z_i = e^{-\hbar w_i}$, $q = -e^{-\hbar A/2}$ yields the following multidegrees:

$$\begin{aligned} \Psi_{\text{diag 1}} &= (A + z_1 - z_2)(A + z_2 - z_3)(A + z_1 - z_3)(A + z_4 - z_5)(A + z_5 - z_6)(A + z_4 - z_6) \\ \Psi_{\text{diag 2}} &= (A + z_1 - z_2)(A + z_3 - z_4)(A + z_5 - z_6) \left(4A^3 + 3A^2(z_1 + z_2 - z_5 - z_6) + \right. \\ &+ A(2(z_1 z_2 - 2z_3 z_4 - z_1 z_5 - z_2 z_5 - z_1 z_6 - z_2 z_6 + z_5 z_6) + (z_3 + z_4)(z_1 + z_2 + z_5 + z_6)) \\ &+ (z_1 + z_2)(z_5 z_6 - z_3 z_4) + (z_3 + z_4)(z_1 z_2 - z_5 z_6) + (z_5 + z_6)(z_3 z_4 - z_1 z_2) \left. \right) \\ \Psi_{\text{diag 3}} &= (A + z_2 - z_3)(A + z_2 - z_4)(A + z_3 - z_4)(A + z_5 - z_6)(2A + z_1 - z_6)(2A + z_1 - z_5) \\ \Psi_{\text{diag 4}} &= (A + z_1 - z_2)(A + z_3 - z_4)(A + z_4 - z_5)(A + z_3 - z_5)(2A + z_1 - z_6)(2A + z_2 - z_6) \\ \Psi_{\text{diag 5}} &= (A + z_2 - z_3)(A + z_4 - z_5)(2A + z_1 - z_6) \left(5A^3 + 3A^2(z_1 + z_2 + z_3 - z_4 - z_5 - z_6) + \right. \\ &+ A(2z_1(z_2 + z_3 - z_6) + z_2 z_3 + z_4 z_5 - (z_2 + z_3)z_6 + (z_4 + z_5)(2z_6 - z_1 - z_2 - z_3)) \\ &+ (z_1 + z_6)(z_2 z_3 - z_4 z_5) + (z_2 + z_3)(z_4 z_5 - z_1 z_6) + (z_4 + z_5)(z_1 z_6 - z_2 z_3) \left. \right) \end{aligned}$$

and in particular the degrees 1, 4, 4, 4, 10 respectively, upon taking $z_i = 0$ and $A = 1$.

3.3. Razumov–Stroganov point and ASM. At $q = e^{2i\pi/3}$, Ψ becomes the ground state eigenvector of the integrable transfer matrix with periodic boundary conditions and inhomogeneities w_1, \dots, w_N , or equivalently of the scattering matrices $\mathbf{T}_i = R_{i-1}(w_{i-1}/w_i) \cdots R_1(w_1/w_i) S R_{N-1}(w_{N-1}/w_i) \cdots R_i(w_{i+1}/w_i)$. Consider now the particular case $w_1 = \cdots = w_N = 1$, when Ψ is the Perron–Frobenius eigenvector of the

Hamiltonian $H = e_1 + \dots + e_N$ where $e_N = S^{-1}e_1S$. Note that the periodic boundary conditions mean that H is cyclic-invariant: $SH = HS$. Normalizing Ψ so that its smallest entry Ψ_{π_0} is 1, we have the following

THEOREM. [4] The sum of entries $\sum_{\pi} \Psi_{\pi}$ is equal to the number of Alternating Sign Matrices, $A(n)$.

The result of [4] is actually much more general, as the sum $\sum_{\pi} \Psi_{\pi}$ was evaluated in the presence of all the spectral parameters w_i , and identified with proper normalization to the so-called Izergin–Korepin determinant [20, 21], also equal to a particular Schur function [22]. Still unproven, however, is the

CONJECTURE. [1] The largest entry of Ψ , with arches connecting consecutive points, is $A(n-1)$.

For instance, plugging $w_i = 1$ and $q = e^{2i\pi/3}$ into the above example, we get for $N = 6$, $\Psi = (1, 2, 1, 1, 2)$ and $\sum_{\pi} \Psi_{\pi} = 7 = A(3)$, the total number of 3×3 ASMs.

4. B_r case

We now develop the B_r case, which allows us to recover and interpret geometrically the results of [16]. We concentrate on the even case $r = 2n$. We parametrize as usual the roots $\alpha_i = z_i - z_{i+1}$ for $i = 1, 2, \dots, r-1$ and $\alpha_r = z_r$.

We consider matrices that square to zero in the fundamental representation of dimension $N = 2r + 1$: a possible choice is to select upper triangular matrices satisfying $M^T J + JM = 0$, J antidiagonal matrix with 1's on the second diagonal. It turns out that the orbital varieties are indexed by the same link patterns as before, of size r ; and that the Weyl group representation is actually a representation of the same quotient, the Temperley–Lieb algebra $TL_r(\beta)$, the additional reflection s_r being represented by a multiple of the identity.

4.1. B-type $q\mathbf{KZ}$ equation. According to the discussion above, the B $q\mathbf{KZ}$ system reads:

$$(4.1) \quad R_i(w_{i+1}/w_i)\Psi = \tau_i\Psi, \quad i = 1, 2, \dots, r-1$$

$$(4.2) \quad w_r^{-m_r} \frac{q^{-1}w_r - q}{q^{-1} - qw_r} \Psi = \tau_r\Psi$$

where τ_r stands for the inversion of the last spectral parameter, namely $\tau_r\Psi(w_1, \dots, w_{r-1}, w_r) = \Psi(w_1, \dots, w_{r-1}, 1/w_r)$ and m_r is the degree of Ψ in w_r .

Finally, these equations are to be supplemented by the affinization relation. The latter is expressed by considering the reflection with respect to the extra root z_1 . One finds that

$$(4.3) \quad (q^3w_1)^{-m_1} \frac{q^{-2} - q^2w_1}{qw_1 - q^{-1}} \Psi(w_1, w_2, \dots, w_r) = \Psi\left(\frac{1}{q^6w_1}, w_2, \dots, w_r\right)$$

where m_1 is the degree of Ψ in z_1 .

Introducing the boundary operators \mathbf{K}_1 and \mathbf{K}_r so that Eqs. (4.2–4.3) reduce to $\mathbf{K}_1\Psi = \mathbf{K}_2\Psi = \Psi$, as well as the usual $\mathbf{R}_i = \tau_i R_i(w_{i+1}/w_i)$, the generators of the weight lattice (as abelian subgroup of \hat{W}) are: (i) $\mathbf{T}_i = \mathbf{R}_i\mathbf{R}_{i+1}\dots\mathbf{R}_{r-1}\mathbf{K}_r\mathbf{R}_{r-1}\dots\mathbf{R}_1\mathbf{K}_1\mathbf{R}_1\dots\mathbf{R}_{i-1}$ that implements $w_i \rightarrow q^6w_i$ and (ii) one additional generator implementing $w_i \rightarrow q^3w_i$ simultaneously for all i . The latter is a combination of \mathbf{R} and \mathbf{K} matrices as well as an additional operator implementing the reflection $w_i \leftrightarrow q^{-3}/w_{r+1-i}$ for all i .

The minimal polynomial solution to the system (4.1–4.3) has degree $m_1 = m_r = r - 1 = 2n - 1$ in each spectral parameter and total degree $n(3n - 1)$. As before it has a simple factorized base entry

$$(4.4) \quad \Psi_{\pi_0} = C \prod_{1 \leq i < j \leq n} (qw_i - q^{-1}w_j)(q^{-2} - q^2w_iw_j) \prod_{n+1 \leq i < j \leq 2n} (qw_i - q^{-1}w_j)(qw_iw_j - q^{-1})$$

where $C = 2^n \prod_{i=1}^r (qw_i - q^{-1})$ is a common (symmetric) factor to all entries of Ψ . All other entries may be obtained from this one in a triangular manner.

Example: For B_4 , there are 2 link patterns as for the case A_3 . The minimal degree polynomial solution of the level one B_4 $q\mathbf{KZ}$ equation reads:

$$\begin{aligned} \Psi_{\text{link 1}} &= C(qw_1 - q^{-1}w_2)(q^{-2} - q^2w_1w_2)(qw_3 - q^{-1}w_4)(qw_3w_4 - q^{-1}) \\ \Psi_{\text{link 2}} &= C(qw_2 - q^{-1}w_3)(q^{-1}w_1 - qw_1w_2w_3 - q^{-5}w_4 - qw_1^2w_4 + (q^{-1} - q)w_1(w_2 + w_3)w_4 \\ &\quad + q^{-1}w_2w_3w_4 + q^5w_1^2w_2w_3w_4 + q^{-1}w_1w_4^2 - qw_1w_2w_3w_4^2) \end{aligned}$$

As before, we get the corresponding multidegrees upon taking the rational limit, with the result:

$$\begin{aligned} \Psi_{\text{---}\overset{\frown}{\text{---}}\text{---}} &= C'(A + z_1 - z_2)(2A + z_1 + z_2)(A + z_3 - z_4)(A + z_3 + z_4) \\ \Psi_{\text{---}\overset{\frown}{\text{---}}\overset{\frown}{\text{---}}\text{---}} &= C'(A + z_2 - z_3) \left(5A^3 + 3A^2(2z_1 + z_2 + z_3) + A(2z_1^2 + 3z_1(z_2 + z_3) + z_2z_3 - z_4^2) \right. \\ &\quad \left. + (z_2 + z_3)(z_1^2 - z_4^2) \right) \end{aligned}$$

with $C' = 4(A + z_1)(A + z_2)(A + z_3)(A + z_4)$; hence the degrees $4 \times 2, 4 \times 5$ for $A = 1$ and $z_i = 0$.

4.2. RS point, VSASM and CSTCPP. As explained in Sect. 2, the case $q = e^{2i\pi/3}$ is special in that the problem admits a transfer matrix, and its solution Ψ in the homogeneous limit where all $w_i = 1$ is the groundstate of a Hamiltonian

$$(4.5) \quad H_B = e_1 + e_2 + \dots + e_{N-1}$$

which is the open boundary version of the A_r Hamiltonian H .

As shown in [17], at the RS point $q = e^{2i\pi/3}$, and in the homogeneous limit where $w_i = 1$ for all i , and in which Ψ is normalized so that its smallest entry is $\Psi_{\pi_0} = 1$, we have the following

THEOREM. [16] The sum of entries $\sum_{\pi} \Psi_{\pi}$ is equal to the number of Vertically Symmetric Alternating Sign Matrices (VSASM), $A_V(2n + 1)$.

This was actually proved in the same spirit as for the A_r case, by identifying the sum of components including all spectral parameters w_i as yet another determinant, which takes the form of a particular symplectic Schur function. A similar result holds for the case of odd $r = 2n - 1$, namely once properly normalized, the sum of entries $\sum_{\pi} \Psi_{\pi}$ is equal to an integer we call $A_V(2n)$ by analogy. It turns out that $A_V(2n) = N_8(2n)$ is the number of Cyclically Symmetric Transpose Complement Plane Partitions (CSTCPP) in an hexagon of size $2n \times 2n \times 2n$ [24]. The numbers $A_V(i)$ both have determinant formulae, namely $A_V(2n) = \det \binom{i+j}{2i-j}_{0 \leq i, j \leq n-1}$, and $A_V(2n + 1) = \det \binom{i+j+1}{2i-j}_{0 \leq i, j \leq n-1}$.

As in the A case, we have the

CONJECTURE. [1] The largest entry of Ψ , with arches connecting consecutive points, is $A_V(r)$.

Example: for $r = 2n = 4$, taking $w_i \rightarrow 1$ and $q = e^{2i\pi/3}$ in the above expressions, we get the components $\Psi = (1, 2)$, which sum to $3 = A_V(5)$, the number of 5×5 VSASMs, and the maximal entry of Ψ is $2 = N_8(4)$.

5. C_r case

The simple roots of C_r are $\alpha_i = z_i - z_{i+1}$, $i = 1, 2, \dots, r - 1$ and $\alpha_r = 2z_r$. We concentrate on the odd case $r = 2n + 1$, and consider the fundamental representation of dimension $N = 2r$. One choice is to select upper triangular matrices satisfying $M^T J + JM = 0$, J antidiagonal matrix with 1's (resp. -1 's) in the upper (resp. lower) triangle.

5.1. Orbital varieties and C -type Temperley–Lieb algebra. There are $\binom{r}{\lfloor \frac{r+1}{2} \rfloor}$ orbital varieties, which are now indexed by *open link patterns*, that is configurations of r points on a line connected in the upper-half plane either in pairs via (closed) arches or to infinity via half-lines (open arches).

The representation of the Weyl group on these open link patterns takes the form of a modified Temperley–Lieb algebra. We describe now its q -deformed version, $CTL(\beta)$ (see also [23] for other variants of Temperley–Lieb algebra). The generators e_1, e_2, \dots, e_{r-1} obey the standard $TL(\beta)$ relations (3.1) and the additional “boundary” generator e_r satisfies: $e_r^2 = \beta e_r$, $e_{r-1} e_r e_{r-1} = 2e_{r-1}$.

These generators act on open link patterns as follows. Open link patterns are represented with their open arches connected to a vertical line on the right. The e_i , $i = 1, 2, \dots, r - 1$ act as usual, and e_r like the left half of an e , connecting the point $2n + 1$ to the vertical line (first line of Fig. 3). The rule is that any loop may be erased and replaced by a factor β . Moreover, whenever a connection between points on the vertical line (consecutive open arches) is created, they may also be erased and replaced by a factor β (resp. 2) if this is created by the action of some e_{2i-1} (resp. e_{2i}). As r is odd, the loop created by e_r^2 yields a weight β , while that created by $e_{r-1} e_r e_{r-1}$ yields a weight 2, hence the result $2e_{n-1}$ (second line of Fig. 3).

which, upon taking the rational limit yields the multidegrees:

$$\begin{aligned} \Psi \begin{array}{c} | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \end{array} &= (A + z_1 - z_2)(A + z_1 - z_3)(A + z_2 - z_3) \\ \Psi \begin{array}{c} | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \\ \text{---} \\ | \\ \cdot \\ | \\ \cdot \end{array} &= (A + z_1 - z_2)(2A + z_1 + z_2)(A + 2z_3) \\ \Psi \begin{array}{c} | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \\ | \\ \cdot \\ \text{---} \\ | \\ \cdot \\ | \\ \cdot \end{array} &= (3A + 2z_1)(A + z_2 - z_3)(A + z_2 + z_3) \end{aligned}$$

and the degrees $\Psi = (1, 2, 3)$ for $A = 1$ and $z_i = 0$.

5.3. RS point and CSSCPP. At the point $q = e^{2i\pi/3}$, Ψ may be viewed as the ground state eigenvector of a transfer matrix, corresponding in the homogeneous limit to the Hamiltonian

$$(5.6) \quad H_C = \frac{e_1 + e'_1}{2} + \sum_{i=2}^{r-1} e_i + e_r$$

Normalizing Ψ so that its smallest entry $\Psi_{\pi_0} = 1$, we have been able to compute the sum of entries to be $A(n)A(n+1)$. In the case of even $r = 2n$, the above may be repeated almost identically: in the presence of spectral parameters, the even case may be recovered from the odd one by taking $w_{2n+1} \rightarrow -q^{-1}$, and dividing out the result by $\prod_{1 \leq i \leq 2n} (1 + q^3 w_i)$. Indeed, this specialization leaves us with only non-vanishing components with an open arch at the rightmost point, in bijection with open link patterns with that point erased, hence the projection onto the case of size one less. This leads us to the

CONJECTURE.

$$(5.7) \quad \sum_{\pi} \Psi_{\pi} = A(\lfloor r/2 \rfloor)A(\lceil r/2 \rceil)$$

Note that the sum in the even case, $A(n)^2$, also counts the Cyclically Symmetric Self-Complementary Plane Partitions (CSSCPP) in an hexagon of size $2n \times 2n \times 2n$ [24]. Also note the determinant formulae $A(n)^2 = \det \left(\binom{i+j}{2i-j-1} + \binom{i+j+1}{2i-j} \right)_{0 \leq i, j \leq n-1}$ and $A(n)A(n+1) = \det \left(\binom{i+j+1}{2i-j} + \binom{i+j+2}{2i-j} \right)_{0 \leq i, j \leq n-1}$.

Furthermore, consider the left eigenvector v of H_C with the same eigenvalue (r for r odd, $r+1/2$ for r even). Normalize v so that its entries are coprime positive integers. We have found empirically the following

CONJECTURE.

$$(5.8) \quad \sum_{\pi} v_{\pi} \Psi_{\pi} = A(r).$$

Finally, we formulate the

CONJECTURE. The largest entry of Ψ for C_r is the sum of entries for C_{r-1} .

Example: at $r = 5$, $\Psi = (1, 2, 3, 3, 0, 1, 4, 0, 0, 0)$, $v = (48, 36, 28, 34, 24, 23, 25, 18, 17, 14)$, $\sum_{\pi} \Psi_{\pi} = 14 = 2 \times 7 = A(2)A(3)$, $\sum_{\pi} v_{\pi} \Psi_{\pi} = 429 = A(5)$, and the maximal entry of Ψ is $4 = A(2)^2$.

6. D_r case

The simple roots of D_r are $\alpha_i = z_i - z_{i+1}$ for $i = 1, 2, \dots, n-1$ and $\alpha_r = z_{r-1} + z_r$. We concentrate on the odd case $r = 2n+1$, and consider again the fundamental representation of dimension $N = 2r$. Just like in the B_r case, one choice is to select upper triangular matrices satisfying $M^T J + J M = 0$, J antidiagonal matrix with 1's on the second diagonal.

6.1. Orbital varieties and D -type Temperley–Lieb algebra. Just as in the case C , there are $\binom{r}{\lfloor \frac{r+1}{2} \rfloor}$ orbital varieties, indexed by open link patterns.

We now deal with D -type Temperley–Lieb algebras, denoted $DTL(\beta)$, with generators e_i , $i = 1, 2, \dots, r-1$ obeying the $TL(\beta)$ relations (3.1) and an extra generator e'_{r-1} , satisfying the relations:

$$(6.1) \quad (e'_{r-1})^2 = \beta e_{r-1}, \quad e_{r-1} e'_{r-1} = e'_{r-1} e_{r-1} = e_{r-2} e'_{r-1} e_{r-2} - e_{r-2} = e'_{r-1} e_{r-2} e'_{r-1} - e'_{r-1} = 0$$

These operators act on open link patterns as follows. The e_i , $i = 1, 2, \dots, r-1$ act in the usual way, by creating a little arch between points i and $i+1$ and by gluing the two former points. To describe the

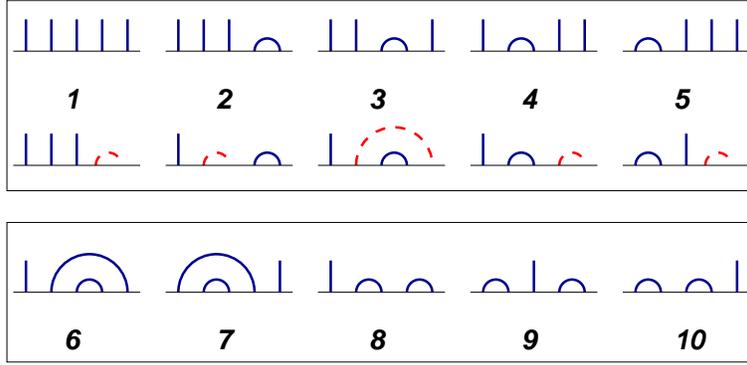


FIGURE 4. The ten open link patterns for D_5 . In the second line, we have transformed the open link patterns by connecting the two rightmost open arches into a (dashed) arch. The involution s simply switches the color of the rightmost arch (if it is closed) in this representation, namely exchanges $1 \leftrightarrow 2$, $3 \leftrightarrow 6$, $4 \leftrightarrow 8$, $5 \leftrightarrow 9$, and leaves 7 and 10 invariant (as their rightmost arch is open).

action of e'_{r-1} , let us first connect the open arches of the open link patterns by pairs of consecutive open arches from the left to the right, and represent the newly formed arches in a different color (dashed lines, cf Fig. 4 for the D_5 example). We then define an involution s on open link patterns that simply switches the color (solid \leftrightarrow dashed) of the rightmost arch if it is closed, and leaves it invariant if it is open. Then $e'_{r-1} = se_{r-1}s$.

Finally, we introduce an extra boundary operator e_0 , which is the right half of an e (like a reflected e_r of C_r), with its open end connected to the vertical line, and acts as such, with the same rules as for C_r , but upon reflection of indices $i \leftrightarrow r - i$. It satisfies the relations: $e_0^2 = \beta e_0$ and $e_1 e_0 e_1 = 2e_1$.

6.2. D -type q KZ equation. We associate to the roots the R -matrices $R_i(w_{i+1}/w_i)$ of Eq. (3.2), and $R_r(1/(w_r w_{r-1}))$ defined by the same equation in which e_i is replaced with e'_{r-1} , so that $R_r(w) = sR_{r-1}(w)s$. The level one D q KZ equation consists of the following system

$$\begin{aligned} R_i(w_{i+1}/w_i)\Psi &= \tau_i \Psi, & i = 1, 2, \dots, r-1 \\ R_r(1/(w_r w_{r-1}))\Psi &= \tau'_{r-1} \Psi \end{aligned}$$

where as usual τ_i acts by interchanging the spectral parameters w_i and w_{i+1} , $i = 1, 2, \dots, r-1$ and τ'_r acts on Ψ by interchanging w_{r-1} and $1/w_r$. Upon using the above relation $e'_{r-1} = se_{r-1}s$, the latter equation may be equivalently replaced by

$$(6.2) \quad z_r^{-m_r} s \Psi(z_1, \dots, z_r) = \Psi\left(z_1, \dots, z_{r-1}, \frac{1}{z_r}\right)$$

These are finally supplemented by the affinization relation, obtained by considering the extra root $\alpha_0 = -2z_1$, and the associated boundary operator $R_0(q^6 w_1^2)$ involving the extra operator e_0 :

$$(6.3) \quad w_1^{-m_1} R_0(q^6 w_1^2) \Psi = \tau_0 \Psi$$

where $\tau_0 f(w_1) = f(1/(q^6 w_1))$ and m_1 the degree of Ψ in w_1 .

The construction of the abelian subgroup of \hat{W} is similar to the cases B and C , and is skipped for the sake of brevity.

The minimal degree polynomial solution to the level one D_r q KZ system has total degree $r(r-1)/2$ and partial degree $m_1 = m_r = r-1$ in all variables. Its base entry, corresponding to the open link pattern π_0 with only open arches reads

$$(6.4) \quad \Psi_{\pi_0} = \prod_{1 \leq i < j \leq 2n+1} (qz_i - q^{-1}z_j)$$

and all the other entries of Ψ may be obtained in a triangular way from this one.

FROM ORBITAL VARIETIES TO ALTERNATING SIGN MATRICES

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