

# On Binary Jumbled Pattern Matching

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Text  $s$  over  $\{a, b\}$  of length  $|s| = n$ .

**Binary Jumbled Pattern Matching Problem:** Given  $(x, y) \in \mathbb{N} \times \mathbb{N}$ , decide whether a substring occurs in  $s$  with  $x$   $a$ 's and  $y$   $b$ 's.

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$s = aabababbaaabbbaabb$ .

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It is a kind of approximate string matching in which anagrams are allowed.

**Simple Solution:** Slide a window of size  $x + y$  along the text and count the number of  $a$ 's.  $O(n)$  time (optimal), on-line.

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**Our approach:** Build an index on the text  $s$ .

**First solution:** [Naive] Compute and store the **Parikh Set** of  $s$  (i.e., the set of Parikh vectors of all the substrings of  $s$ ).

- $O(n^2)$  preprocessing time,
- $O(n^2)$  index size,
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**New goal:** Reduce index size and preprocessing time.



## Lemma (Cicalese, F., Lipták, PSC 2009)

*If  $(x, y)$  and  $(x + k, y - k)$  both occur in  $s$ , then so does  $(x + i, y - i)$  for any  $0 \leq i \leq k$ .*

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## Theorem

*To answer BJPM queries for  $s$ , it is sufficient to know, for every  $0 \leq m \leq n$ , the max and the min of  $a$ 's in the substrings of length  $m$  of  $s$ .*

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So we define:

$$F_a(m) = \max\{x : (x, y) \text{ occurs in } s, x + y = m\}$$

$$f_a(m) = \min\{x : (x, y) \text{ occurs in } s, x + y = m\}$$

## Example

$s = aabababbbaaabbbaabbb.$

$m$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$F_a$	0	1	2	3	3	3	4	5	5	5	6	7	7	7	8	9	9	9	9
$f_a$	0	0	0	0	1	2	2	2	3	4	5	5	5	6	6	7	7	8	9

Let  $(x, y) = (1, 4)$ . To answer the query check whether  $f_a(5) \leq 1 \leq F_a(5)$ .

So we have:

**Second solution:** [Cicalese, F., Lipták, PSC 2009] Compute and store the tables of  $F_a$  and  $f_a$ .

- $O(n^2)$  preprocessing time,
- $2n$  index size,
- $O(1)$  query time.

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Best bound known:

- $O(n^2 / \log n)$  (Burcsi, Cicalese, F., Lipták, FUN 2010 & Moosa, Rahman, IPL 2010)
- $O(n^2 / \log^2 n)$  in the RAM model (Moosa, Rahman, JDA 2012)
- $O(n^{1+\epsilon})$  randomized Monte Carlo algorithm (Cicalese, Laber, Weimann, Yuster, CPM 2012)

Alternatively, one can define:

$$G_a(i) = \min\{\#b\text{'s in a substring containing } i \text{ a's}\}$$

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$i$	0	1	2	3	4	5	6	7	8	9
$G_a(i)$	0	0	0	0	2	2	4	4	6	6
$g_a(i)$	3	3	5	5	5	7	8	9	9	9

Let  $(x, y) = (1, 4)$ . To answer the query check whether  $G_a(1) \leq 4 \leq g_a(1)$ .

## Example

$s = aabababbaaabbbaabb$ .

$i$	0	1	2	3	4	5	6	7	8	9
$G_a(i)$	0	0	0	0	2	2	4	4	6	6
$g_a(i)$	3	3	5	5	5	7	8	9	9	9

**Remark:** It is sufficient to store the points where the function changes!  
So we define the (ordered) sets:

$$L_G = \{(3, 0), (5, 2), (7, 4), (9, 6)\}$$

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We call  $L = (L_G, L_g)$  the **Corner Index** of  $s$ .

## Computation of the Corner Index

### Definition

We say that  $(x, y)$  **dominates**  $(x', y')$ , denoted  $(x, y) \triangleright (x', y')$ , if  $(x, y) \neq (x', y')$ ,  $x \geq x'$  and  $y \leq y'$ .

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**Algorithm:** For  $L_G$ : Compute the Parikh vectors of substring starting with the  $i$ th  $a$ -run and spanning  $k$   $a$ -runs. If no element of  $L_G$  dominates  $(x, y)$ , then it is added to  $L_G$ , and all elements of  $L_G$  which  $(x, y)$  dominates are removed from the list.

$s = aabababbaaabbaabbb$

$a$	2	1	1	3	2
$b$	1	1	2	2	3

$k$	
1	$(2, 0)(1, 0)(1, 0)(3, 0)(2, 0)$
2	$(3, 1)(2, 1)(4, 2)(5, 2)$
3	$(4, 2)(5, 3)(6, 4)$
4	$(7, 4)(7, 5)$
5	$(9, 6)$

$L_G : (2, 0), (3, 0), (4, 2), (5, 2),$   
 $(6, 4), (7, 4), (9, 6)$

Let  $\rho$  be the length of the **Run-Length Encoding** of  $s$ . We have:

**Third solution:** [Badkobeh, F., Kroon, Lipták, 2012] Compute and store the Corner Index.

- $O(\rho^2 \log \rho)$  preprocessing time,
- $\leq \min(2n, \rho^2)$  index size,
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The construction time is better than all previous solutions for strings with short RLE (actually, as long as  $\rho = O(n/\log n)$ ).

**Experimental results:** We generated strings consisting of  $r$   $a$ -runs and  $r - 1$   $b$ -runs (so  $\rho = 2r - 1$ ), with run-lengths chosen uniformly from the range  $[1, R]$ , for various choices of  $r$  and  $R$ .

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- 10 000 strings for each pair  $(r, R)$ , with  $r = 10, 100, 200, 300, 500$  and  $R = 10, 2050, 100, 200, 500, 700, 1000$ .



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- In more than 99% of cases, the maximal size  $MaxL$  of the index during the computation never exceeded the final index size  $|L|$ . In the remaining  $< 1\%$  of cases,  $MaxL - |L| \leq 6$ .

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**Open problem 1:** Can the bound  $|L| = O(\rho^2)$  be reduced to  $|L| = O(\rho)$ ?

**Open problem 2:** Does a bound exist on  $MaxL - |L|$ ?

## Prefix Normal Forms

Take the values of the tables  $F_a(s)$  and  $f_a(s)$  and write  $a$  when the value increases,  $b$  otherwise. Denote by  $\text{PNF}_a(s)$  and  $\text{PNF}_b(s)$  the words so obtained.

### Example

$s = aabababbbaaabbbaabbb$ .

$m$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
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$$\text{PNF}_a(s) = aaabbaabbaabbaabbb$$

$$\text{PNF}_b(s) = bbbaabbaaabbababaa$$

**Question:** What is the relationship between the  $\text{PNF}$ s of  $s$  and  $s$ ?

## Theorem (F., Lipták, DLT 2011)

*$PNF_a(s)$  is the unique word having the same table  $F_a$  as  $s$  and realizing the maxima on its prefixes (i.e., for each  $m$ , no factor of  $PNF_a(s)$  of length  $m$  contains more  $a$ 's than the prefix of  $PNF_a(s)$  of length  $m$ ).*

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$$\begin{aligned}s &= aabababbaaabbaabbb \\ PNF_a(s) &= aaabbaabbaabbaabbb\end{aligned}$$

## Theorem (F., Lipták, DLT 2011)

Two words  $u, v \in \{a, b\}^*$  have the same Parikh Set if and only if  $PNF_a(u) = PNF_a(v)$  and  $PNF_b(u) = PNF_b(v)$ .



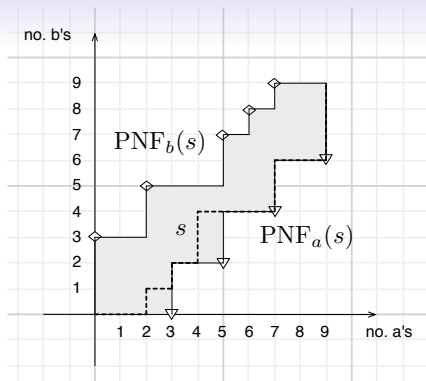


Figure:  $\text{PNF}_a(s) = aaabbaabbaabbaabbb$ ,  $\text{PNF}_b(s) = bbbaabbaaabbababaa$ .

Recall that

$$L_G = \{(3, 0), (5, 2), (7, 4), (9, 6)\}$$

$$L_g = \{(0, 3), (2, 5), (5, 7), (6, 8), (7, 9)\}$$

These are the “corner points” of  $\text{PNF}_a(s)$  and  $\text{PNF}_b(s)$ .

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### Theorem

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### Open problems:

1. What is the relationship between the RLEs of  $s$  and of its PNFs?
2. What are the words with the “worst” PNFs (w.r.t. the RLE)?
3. Is it possible to compute the PNFs in  $o(n^2 / \log n)$  time?